

1 **Title: Neighborhood disadvantage, individual-level socioeconomic position and physical**  
2 **function: a cross-sectional multilevel analysis**

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39

40 **ABSTRACT:**

41 **Introduction:** Understanding associations between physical function and neighborhood  
42 disadvantage may provide insights into which interventions might best contribute to reducing  
43 socioeconomic inequalities in health. This study examines associations between  
44 neighborhood-disadvantage, individual-level socioeconomic position (SEP) and physical  
45 function from a multilevel perspective.

46 **Methods:** Data were obtained from the HABITAT multilevel longitudinal (2007-13) study of  
47 middle-aged adults, using data from the fourth wave (2013). This investigation included  
48 6,004 residents (age 46-71 years) of 535 neighborhoods in Brisbane, Australia. Physical  
49 function was measured using the PF-10 (0 – 100), with higher scores indicating better  
50 function. The data were analyzed using multilevel linear regression and was extended to test  
51 for cross-level interactions by including interaction terms for different combinations of SEP  
52 (education, occupation, household income) and neighborhood disadvantage on physical  
53 function.

54 **Results:** Residents of the most disadvantaged neighborhoods had significantly lower function  
55 (men:  $\beta$  -11.36 95% CI -13.74, -8.99; women:  $\beta$  -11.41 95% CI -13.60, -9.22). These  
56 associations remained after adjustment for individual-level SEP. Individuals with no post-  
57 school education, those permanently unable to work, and members of the lowest household  
58 income had significantly poorer physical function. Cross-level interactions suggested that the  
59 relationship between household income and physical function is different across levels of  
60 neighborhood disadvantage for men; and for education and occupation for women.

61 **Conclusion:** Living in a disadvantaged neighborhood was negatively associated with  
62 physical function after adjustment for individual-level SEP. These results may assist in the  
63 development of policy-relevant targeted interventions to delay the rate of physical function  
64 decline at a community-level.

65

66 **Keywords:** Physical function; neighborhood; multilevel modelling; socioeconomic position

## 67 **Introduction**

68 Physical function is defined as difficulty in performing activities that require physical  
69 capacity, ranging from activities of daily living (e.g., housework, shopping, walking and  
70 climbing stairs) to more vigorous activities that require increasing degrees of mobility,  
71 strength or endurance.<sup>1</sup> Difficulty with physical function, represented by the inability to  
72 perform usual activities of everyday life, is a serious problem among older persons.<sup>2-4</sup> The  
73 magnitude of this problem is likely to become considerably greater with continuing increases  
74 in longevity and in the size of the oldest population in most developed countries.<sup>2,5</sup> In  
75 addition, physical function is associated with an increased risk of falling, cognitive decline  
76 and all-cause mortality.<sup>2</sup>

77

78         According to the World Health Organization,<sup>6</sup> the rate of physical function decline is  
79 not typically the result of a single cause, but arises from an interaction of risk factors in  
80 various domains, both individual and environmental. Traditionally, research on the  
81 determinants of physical function has been based on individual-level factors.<sup>7-10</sup> More  
82 recently, interest in the effects of neighborhood context on physical health has received  
83 growing attention; and multiple studies have shown that poor health is partly a function of  
84 residing in socioeconomically disadvantaged areas.<sup>11-13</sup> Research suggests that the external  
85 environment, such as the neighborhood, is of particular importance for physical function in  
86 older adults as they tend to have a longer duration of exposure to neighborhood influences  
87 than younger individuals, possibly due to retirement.<sup>14</sup> Older adults are also a sub-group with  
88 declining physical and mental health, shrinking social networks, loss of social support and  
89 increased fragility that may reduce their ability to cope with environmental demands.<sup>14</sup> It is  
90 possible that heterogeneity in physical function among this group may be explained by both  
91 individual- and neighborhood-level factors, underlining the importance of any associations  
92 between physical function and neighborhood characteristics.<sup>15</sup>

93

94         Several studies (three single-level and one multi-level)<sup>16-19</sup> have examined the  
95 association between neighborhood disadvantage and physical function. Findings from these  
96 studies are mixed. Among the single-level studies, one<sup>17</sup> found no association between  
97 neighborhood disadvantage and physical function, while the other two<sup>18,19</sup> showed that  
98 residents of socioeconomically disadvantaged neighborhoods exhibited lower function than  
99 their counterparts from more advantaged neighborhoods. However, these two ecological  
100 studies used data that were aggregated to a single geographical scale, hence they couldn't

101 provide a quantification of the variation between areas, or show whether and how much of  
102 the variation was due to the clustering of individuals (a compositional effect) or the  
103 environmental characteristics of the areas (a contextual effect). Given the lack of multilevel  
104 studies, the question of whether the neighborhood socioeconomic environment influences  
105 physical function after adjustment for individual-level socioeconomic position (SEP)  
106 remains. The only known multilevel study of neighborhood disadvantage and physical  
107 function<sup>16</sup> found no significant association between these factors; and whilst this work  
108 provided an important advancement in this field, the study assumed a uniform effect of the  
109 neighborhood environment across individual-level SEP. It is possible however that the  
110 socioeconomic context of the neighborhood environment may affect people differently even  
111 if they have similar individual-level socioeconomic characteristics. For example, an  
112 individual with low educational attainment living in a more advantaged neighborhood might  
113 have better physical function than an individual with the same educational attainment living  
114 in a more disadvantaged neighborhood. This may be due to the benefit of the collective  
115 material and social resources in their neighborhood, such as services, job opportunities and  
116 social supports.<sup>20-22</sup>

117

118 This cross-sectional study investigates associations between neighborhood  
119 disadvantage, individual-level SEP, and self-reported physical function; and further examines  
120 whether the relationship between individual-level SEP and physical function differs by level  
121 of neighborhood disadvantage. It is hypothesized that those residing in more disadvantaged  
122 neighborhoods and those from lower socioeconomic groups will exhibit poorer physical  
123 function than their counterparts from more advantaged backgrounds.

124

## 125 **Methods**

126 This study received ethical clearance from the Queensland University of Technology Human  
127 Research Ethics Committee (Ref. Nos. 3967H & 1300000161).

128

### 129 *Study population*

130 Data were obtained from the **How Areas in Brisbane Influence Health and Activity**  
131 (HABITAT) multilevel longitudinal (2007-13) study in Brisbane, Australia. Brisbane is the  
132 capital city of the state of Queensland, and the third largest city in Australia with a population  
133 of approximately 2.3 million<sup>23</sup> and a median age of 35 in 2014.<sup>24</sup> The average disposable  
134 income of Brisbane population was AU\$52,000 per annum in 2011.<sup>25</sup>

135 Details about HABITAT's baseline sampling design have been published elsewhere.<sup>26</sup>  
136 Briefly, a multi-stage probability sampling design was used to select a stratified random  
137 sample (n=200) of Census Collector's Districts (CCD) in 2007, and from within each CCD, a  
138 random sample of people (on average 85 per CCD) aged 40-65 years. However, as  
139 participants moved to new residences over time, the number of CCDs increased to 535 in  
140 2013.

141  
142 The primary area-level unit-of-analysis for the HABITAT study is the CCD (hereafter  
143 referred to as 'neighborhoods'). At the time the study commenced in 2007, these were the  
144 smallest administrative units used by the Australian Bureau of Statistics (ABS) to collect  
145 census data, and contain an average of 200 private dwellings.

146

147 *Data collection and response rates:*

148 A structured self-administered questionnaire was developed that asked respondents about  
149 their neighborhood; participation in physical activity; correlates of activity, health and well-  
150 being; and socio-demographic characteristics. The questionnaire was sent to sampled  
151 residents during May-July in 2007, 2009, 2011 and 2013 using the mail survey method  
152 developed by Dillman.<sup>27</sup> After excluding out-of-scope respondents (i.e., deceased, no longer  
153 at the address, unable to participate for health-related reasons), the total number of usable  
154 surveys returned in each survey wave was 11,035 (68.3% response), 7,866 (72.3% response  
155 from eligible and contactable participants), 6,900 (66.7% response from eligible and  
156 contactable participants) and 6,520 (69.3% response from eligible and contactable  
157 participants), respectively.

158

159 *Measures:*

160 Neighborhood socioeconomic disadvantage: The neighborhood socioeconomic disadvantage  
161 measure was derived using weighted linear regression, using scores from the ABS' Index of  
162 Relative Socioeconomic Disadvantage (IRSD) from each of the previous six censuses from  
163 1986 to 2011.<sup>28</sup> A neighborhood's IRSD score reflects each area's overall level of  
164 disadvantage measured on the basis of 17 socioeconomic attributes, including: education,  
165 occupation, income, unemployment, household structure and household tenure. HABITAT's  
166 original sample of neighborhoods was stratified by area-level socioeconomic disadvantage  
167 using the 2001 Census boundaries (the Census in Australia is every 5 years). This method  
168 honors the original geographic structure from the baseline sample, while also accommodating

169 for the changes in area boundaries used by the ABS prior to 2011, changes in area-level  
170 sampling units at the 2011 Census, and changes in socioeconomic disadvantage over time.  
171 The derived socioeconomic scores from each of the HABITAT neighborhoods (n=535 in  
172 2013) were then grouped into quintiles based on their IRSD scores with Q1 denoting the 20%  
173 most advantaged areas relative to the whole of Brisbane and Q5 the most disadvantaged 20%.  
174

175 Education: Respondents were asked to provide information about their highest education  
176 qualification completed using a nine-category measure that was subsequently coded as (i)  
177 Bachelor degree or higher (the latter included postgraduate diplomat, master's degree, or  
178 doctorate), (ii) Diploma (associate or undergraduate), (iii) Vocational (trade or business  
179 certificate or apprenticeship), and (iv) No post-secondary school qualification.

180

181 Occupation: Respondents who were employed at the time of completing the survey were  
182 asked to indicate their job title and then to describe the main tasks or duties they performed.  
183 This information was subsequently coded to the Australian Standard Classification of  
184 Occupations (ASCO).<sup>29</sup> The ASCO is a skill-based measure that groups occupations  
185 according to levels of knowledge required, tools and equipment used, materials worked on,  
186 and goods and services produced. The occupational groupings are hierarchically ordered  
187 based on the relative skill levels across these different dimensions, with those occupations  
188 having the most extensive skill requirements located at the top of the hierarchy. For the  
189 purpose of this study, the original 9-level ASCO classification was recoded into 3 categories:  
190 (i) Managers/professionals, (ii) White-collar employees, (iii) Blue-collar employees.  
191 Respondents who were not employed were categorized as follows: (iv) Home duties, (v)  
192 Retired, (vi) Permanently unable to work, (vii) Missing/NEC (unemployed, students or other  
193 classifiable (not easily classifiable)).

194

195 Household income: Respondents were asked to indicate their total annual household income  
196 using a 14-category measure that was subsequently recoded into 6 groups for analysis: (i)  
197 AU\$130,000 or more, (ii) AU\$72,800-129,999, (iii) AU\$41,600-72,799, (iv) AU\$26,000-  
198 41,599, (v), Less than AU\$25,999, and (vi) Missing.

199

200 Self-reported physical function: This was measured using the Physical Function Scale (PF-  
201 10), a component of the Short Form-36 (SF-36) Health survey<sup>30</sup>. The PF-10 was first  
202 included in the most recent wave of HABITAT survey (2013), so only cross-sectional

203 analyses are possible at this point. The stem-question of the PF-10 asks: “*Does your health*  
 204 *now limit you in these activities? If so, how much?*” Respondents were asked to indicate:  
 205 “*Yes, limited a lot*” or “*yes, limited a little*” or “*no, not limited at all*” for each activity. The  
 206 PF-10 measures a hierarchical range of difficulties, from vigorous activities such as lifting  
 207 heavy objects to everyday activities such as bathing and dressing.<sup>31</sup> This measure has been  
 208 extensively validated among community-dwelling adults using convergent validity calculated  
 209 by Pearson Correlations using 3-performance based measures: single limb stance as an  
 210 indicator of balance ( $r=0.42$ ), Time Up and Go test as a measure of mobility ( $r=-0.70$ ) and  
 211 gait speed as an indicator of overall functional capacity ( $r=0.75$ ).<sup>32</sup> The method of data  
 212 cleaning for the physical function score was adapted from Ware and colleagues.<sup>30</sup> The raw  
 213 physical function scores were calculated as the sum of (re-coded) scale items and transformed  
 214 to a 0 to 100 scale according to the Equation 1:

215 Equation 1:

216

$$217 \quad \text{Physical function score} = \frac{\text{raw score} - \text{minimum possible raw score}}{\text{possible raw score range}} \times 100$$

218

219 The standard scoring system was used such that 0 represents minimal functioning and 100  
 220 represents maximal functioning. The scale used for this present study obtained high test-retest  
 221 reliability (Cronbach’s  $\alpha=0.89$ ) in the sample. Although scores were somewhat negatively  
 222 skewed toward maximal function, they are comparable with Australian population norms for  
 223 this scale (age standardized mean = 83.6 for men and 81.5 for women).<sup>33</sup>

224

#### 225 *Statistical analysis*

226 Participants who moved out of Brisbane in 2013 ( $n=391$ ) or had missing data for  
 227 physical function ( $n=92$ ), sex ( $n=19$ ) or education ( $n=14$ ) were excluded. This  
 228 reduced the analytic sample to  $n=6,004$  (92.1% of the total sample). Characteristics  
 229 and physical function profile of the analytic sample are presented in Table 1.

230

231

232 Table 1: Mean physical function (PF) scores (95% CI) for the socio-demographic variables  
 233 used in the analysis<sup>a</sup>

234

N= 6,004	N (%)	Men		N (%)	Women	
		Mean PF score	95% CI		Mean PF score	95% CI
<b>Total Sample</b>	2,551	87.6	86.9, 88.3	3,453	83.7	83.0, 84.4
<b>Age:</b>						
46-50	571 (22.4)	92.2	91.0, 93.3	670 (19.4)	90.1	88.9, 91.3
51-55	551 (21.6)	88.9	87.6, 90.4	742 (21.5)	86.3	84.9, 87.7
56-60	520 (20.4)	86.8	85.3, 88.4	718 (20.8)	84.7	83.4, 86.0
61-65	488 (19.1)	85.5	83.8, 87.2	686 (19.9)	80.9	79.3, 82.5
66-71	421 (16.5)	83.2	81.4, 85.0	637 (18.4)	75.5	73.7, 77.3
<b>Neighborhood disadvantage</b>						
Q1 (most advantaged)	543 (21.3)	91.8	90.7, 92.9	734 (21.3)	88.1	86.9, 89.2
Q2	680 (26.7)	90.0	88.9, 91.1	907 (26.3)	85.9	84.8, 87.1
Q3	516 (20.2)	87.3	85.8, 88.7	664 (19.2)	83.7	82.2, 85.2
Q4	466 (18.3)	85.3	83.6, 87.1	656 (19.0)	81.4	79.8, 82.9
Q5 (most disadvantaged)	346 (13.5)	80.1	77.5, 82.6	492 (14.2)	76.1	73.8, 78.4
<b>Education level:</b>						
Bachelor degree or higher	930 (36.5)	90.9	90.0, 91.8	1,156 (33.5)	86.8	85.7, 87.7
Diploma	312 (12.2)	89.4	87.9, 91.0	398 (11.5)	84.3	82.3, 85.7
Vocational	533 (20.9)	86.4	84.7, 88.1	499 (14.5)	84.0	82.3, 85.7
No post school qualifications	776 (30.4)	83.9	82.4, 85.3	1,400 (40.5)	80.9	79.8, 82.0
<b>Occupation</b>						
Manager/Professionals	928 (36.4)	91.7	90.9, 92.6	1,042 (30.2)	89.6	88.7, 90.5
White Collar	328 (12.9)	90.7	89.3, 92.1	870 (25.2)	86.9	85.8, 87.9
Blue Collar	485 (19.0)	88.1	86.6, 89.6	162 (4.7)	86.5	83.9, 89.1
Home Duties	18 (0.7)	83.3	71.8, 94.8	277 (8.0)	83.3	80.9, 85.7
Retired	510 (20.0)	82.7	81.1, 84.5	784 (22.7)	76.4	74.8, 78.0
Permanently unable to work	57 (2.2)	56.3	48.8, 63.8	62 (1.8)	38.5	30.9, 46.0
Missing/NEC	225 (8.8)	84.3	81.3, 87.3	256 (7.4)	80.2	77.6, 82.8
<b>Household income:</b>						
\$130,000 or more	676 (26.5)	92.5	91.6, 93.4	589 (17.0)	90.9	89.8, 92.0
\$72,800-129,999	631 (24.7)	89.8	88.7, 90.9	794 (23.0)	87.0	85.7, 88.1
\$41,600-72,799	328 (12.9)	87.8	86.0, 89.5	398 (11.5)	84.1	82.2, 85.9
\$26,000-41,599	438 (17.2)	83.6	81.8, 85.5	665 (19.3)	79.1	77.5, 80.7
Less than \$25,999	216 (8.5)	73.6	70.0, 77.2	391 (11.3)	73.6	71.2, 76.0
Missing	262 (10.2)	87.7	85.5, 89.9	619 (17.9)	83.7	81.9, 85.3

235 <sup>a</sup> Unadjusted data

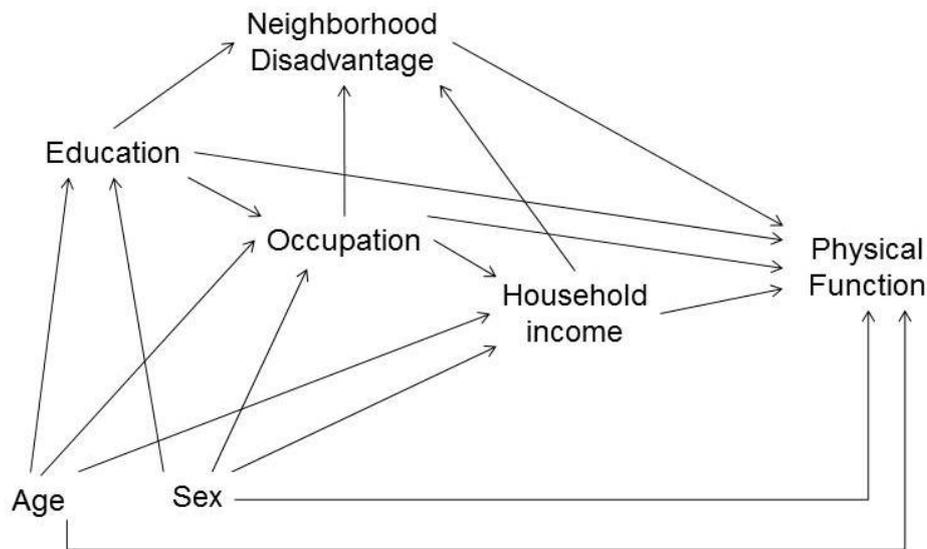
236

237

238 A directed acyclic graph (DAG) was constructed to show contextual and/or  
 239 temporal relationships between the socioeconomic indicators education,  
 240 occupation, household income, neighborhood disadvantage, and physical function  
 241 (Figure 1). The DAG formed the basis for the modelling strategy and specified the  
 242 socioeconomic independent adjustment variables. As presented in Figure 1,

243 education was conceptualized as a common prior cause of occupation, household  
 244 income and neighborhood disadvantage; occupation as a confounder of income and  
 245 neighborhood disadvantage, and household income as a confounder of  
 246 neighborhood disadvantage. The analyses were stratified by gender as physical  
 247 function score differs for men and women (women consistently report more  
 248 functional limitations than their men counterparts).<sup>2,34,35</sup>

249



250

251 Figure 1: Directed acyclic graph conceptualising the relationships between neighborhood  
 252 disadvantage, individual-level SEP and physical function

253

254 Multilevel modelling is the appropriate statistical technique for these analyses as it  
 255 offers a robust and efficient approach to the examination of hierarchical data where  
 256 individuals are nested (clustered) within neighborhoods.<sup>36</sup> Multilevel linear  
 257 regression was undertaken in the following stages: Model 1) neighborhood  
 258 disadvantage and physical function adjusted for age; Model 2) neighborhood  
 259 disadvantage and physical function adjusted for age and individual-level SEP.  
 260 Additional models were then undertaken for individual-level SEP; Model 3)  
 261 education adjusted for age; Model 4) occupation adjusted for age and education;  
 262 and Model 5) household income adjusted for age, education and occupation. The  
 263 Variance Partition Coefficient (VPC) was calculated to estimate the percentage of  
 264 total variance in physical function between neighborhoods.<sup>37</sup> For Model 1 and 2,  
 265 the VPC was calculated by dividing the between neighborhood variance by the total

266 variance, and is interpreted as the proportion of total residual variation that is due to  
267 differences between neighborhoods. The analysis was extended to test for cross-  
268 level interactions by including interaction terms for different combinations of  
269 individual-level SEP and neighborhood disadvantage on physical function score.  
270 The substantive focus of the interaction analyses is on whether associations  
271 between education, occupation, and household income differed across  
272 neighborhoods that varied in their level of socioeconomic disadvantage. The fit of  
273 interaction models was assessed using a deviance test<sup>38</sup> (alpha set at 0.05). Models  
274 1-5 were analyzed with STATA 13.1<sup>39</sup> using the *runMLwiN* command,<sup>40</sup> while  
275 cross-level interaction models were analyzed using MLwiN v.2.30.<sup>38</sup>

276

## 277 **Results**

278 The overall means for physical function score for neighborhood disadvantage, age, education,  
279 occupation and household income are presented in Table 1. Mean physical function were  
280 lowest for women, persons aged 66-71, residents of the most disadvantaged neighborhoods,  
281 the least educated, those who were permanently unable to work, and members of the lowest  
282 income households.

283

284 The associations between neighborhood disadvantage, individual-level SEP and  
285 physical function for men and women are shown in Table 2.

286 Table 2: Multilevel linear regression for the association between neighborhood disadvantage and individual-level socioeconomic position on  
 287 physical function in men and women in Brisbane  
 288

N=535 neighborhoods	Men (n=2,551)		Women (n=3,453)	
	$\beta$ (95% CI)	$\beta$ (95% CI)	$\beta$ (95% CI)	$\beta$ (95% CI)
<b>Neighborhood-level</b>				
<i>Disadvantage</i>				
	<b>Model 1</b>	<b>Model 2</b>	<b>Model 1</b>	<b>Model 2</b>
Q1 (most advantaged) <sup>a</sup>	1.00	1.00	1.00	1.00
Q2	-1.89 (-3.89, 0.10)	-0.74 (-2.67, 1.18)	<b>-1.92 (-3.78, -0.06)</b>	-1.57 (-3.38, 0.23)
Q3	<b>-4.19 (-6.32, -2.06)</b>	<b>-2.69 (-4.78, -0.60)</b>	<b>-3.85 (-5.86, -1.84)</b>	<b>-2.22 (-4.19, -0.23)</b>
Q4	<b>-6.28 (-8.45, -4.11)</b>	<b>-4.36 (-6.53, -2.19)</b>	<b>-5.86 (-7.87, -3.85)</b>	<b>-3.85 (-5.86, -1.83)</b>
Q5 (most disadvantaged)	<b>-11.36 (-13.74, -8.99)</b>	<b>-7.14 (-9.54, -4.73)</b>	<b>-11.41 (-13.60, -9.22)</b>	<b>-8.79 (-11.00, -6.59)</b>
<i>Between neighborhood variance (SE)<sup>b</sup></i>	1.79 (2.47)	1.33 (2.25)	0 (0)	0 (0)
<i>Between individual variance (SE)<sup>c</sup></i>	<b>285.36 (8.31)</b>	<b>255.92 (7.71)</b>	<b>358.97 (8.71)</b>	<b>315.15 (7.65)</b>
<i>VPC (%)<sup>d</sup></i>	0.62	0.53	0	0
<b>Individual-level</b>				
<i>Education</i>				
		<b>Model 3</b>		<b>Model 3</b>
Bachelor degree or higher <sup>a</sup>		1.00		1.00
Diploma		-0.88 (-3.08, 1.31)		-1.48 (-3.68, 0.71)
Vocational		<b>-3.68 (-5.53, -1.84)</b>		-1.83 (-3.87, 0.21)
No post-school qualifications		<b>-5.93 (-7.59, -4.27)</b>		<b>-3.78 (-5.32, -2.25)</b>
<i>Occupation</i>				
		<b>Model 4</b>		<b>Model 4</b>
Manager/professional <sup>a</sup>		1.00		1.00
White collar		0.52 (-1.62, 2.66)		-1.39 (-3.19, 0.40)
Blue collar		-0.96 (-2.95, 1.03)		-1.22 (-4.33, 1.88)
Home duties		-7.04 (-14.65, 0.57)		<b>-4.16 (-6.68, -1.63)</b>
Retired		<b>-5.13 (-7.34, -2.93)</b>		<b>-7.96 (-10.06, -5.85)</b>
Permanently unable to work		<b>-32.21 (-36.68, -27.73)</b>		<b>-48.99 (-53.79, -44.2)</b>
<i>Household income:</i>				
		<b>Model 5</b>		
\$130,000+ <sup>a</sup>		1.00		
\$72,800-129,999		-1.41 (-3.23, 0.41)		
\$41,600-72,799		-2.22 (-4.51, 0.06)		
\$26,000-41,599		<b>-4.07 (-6.36, -1.78)</b>		

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Less than \$25,999

**-10.19 (-13.07, -7.30)**

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289 Note. PF score range from 0-100; boldface indicates  $p < 0.05$ ; missing category is included in the analysis but not reported in the table. Model 1: age and neighborhood disadvantage; Model 2:  
290 Model 1 and education, occupation and household income; Model 3: education and, age; Model 4: Model 3 and occupation; Model 5: Model 4 and household income.

291 <sup>a</sup> Reference group

292 <sup>d</sup> Variance Partition Component (VPC) =  $b/(b+c)$

293 For men, there was no significant between-neighborhood variation in physical  
294 function in either the age-adjusted (Model 1,  $p=0.48$ ) or fully-adjusted models (Model 2,  
295  $p=0.56$ ). Men living in more disadvantaged neighborhoods (Q3, Q4 and Q5) had lower  
296 physical function scores than their counterparts residing in more advantaged neighborhoods.  
297 These associations remained significant after adjustment for individual-level SEP, despite  
298 slight attenuation. Compared to individuals with a bachelor degree or higher, individuals who  
299 had no post-school education, or a vocational level of education attainment had a  
300 significantly lower physical function score. Individuals who are retired and permanently  
301 unable to work had significantly lower physical function scores than managers and  
302 professionals, while individuals in the lower income categories ( $\$26,000-41,599$  and  
303  $<\$25,999$ ) had significantly lower physical function than their counterparts with incomes of  
304  $\$130,000$  or greater.

305

306 Similarly for women, there was no significant between-neighborhood variation in  
307 physical function for either age-adjusted (Model 1) or fully-adjusted models (Model 2).  
308 Women living in more disadvantaged neighborhoods (Q2, Q3, Q4 and Q5) had a  
309 significantly lower physical function score than their counterparts residing in more  
310 advantaged neighborhoods. These associations remained significant after adjustment for  
311 individual-level SEP, despite slight attenuation. Compared to individuals with a bachelor  
312 degree or higher, individuals who had no post-school education had a significantly lower  
313 physical function score. Individuals working as home duties, retired and permanently unable  
314 to work had significantly lower physical function scores than managers and professionals,  
315 while individuals in the lower income categories ( $\$72,800-129,999$ ,  $\$41,600-72,799$ ,  
316  $\$26,000-41,599$  and  $<\$25,999$ ) had significantly lower physical function scores than their  
317 counterparts with incomes of  $\$130,000$  or greater.

318

319 Other than the significant results demonstrated, it is important to note the magnitude  
320 of difference in physical function score in men and women. A previous review found a three  
321 point difference in physical function score measured by SF-36 to be clinically meaningful for  
322 effective intervention.<sup>41</sup> Education attainment and household income appear to be more  
323 important, in terms of physical function, in men than women. Men with the lowest education  
324 attainment appear to have lower physical function scores (2 points) than women, after  
325 adjusting for age. Similarly, men with the lowest household income had physical function  
326 scores that were 4 points lower than low income women. On average, men and women who

327 reported being permanently unable to work had very low physical function scores (<60), but  
328 the magnitude of difference between men and women in this group was notable. Women who  
329 reported being permanently unable to work, had, on average, a physical function score that  
330 was 17 points lower than men.

331         Cross-level interactions were not significant between neighborhood disadvantage and  
332 education and occupation among men; and neighborhood disadvantage and household  
333 income among women. However, a significantly better model fit was found between  
334 neighborhood disadvantage and household income among men ( $p=0.004$ ); and neighborhood  
335 disadvantage and education ( $p=0.01$ ) and occupation ( $p<0.001$ ) among women (Figure 2).

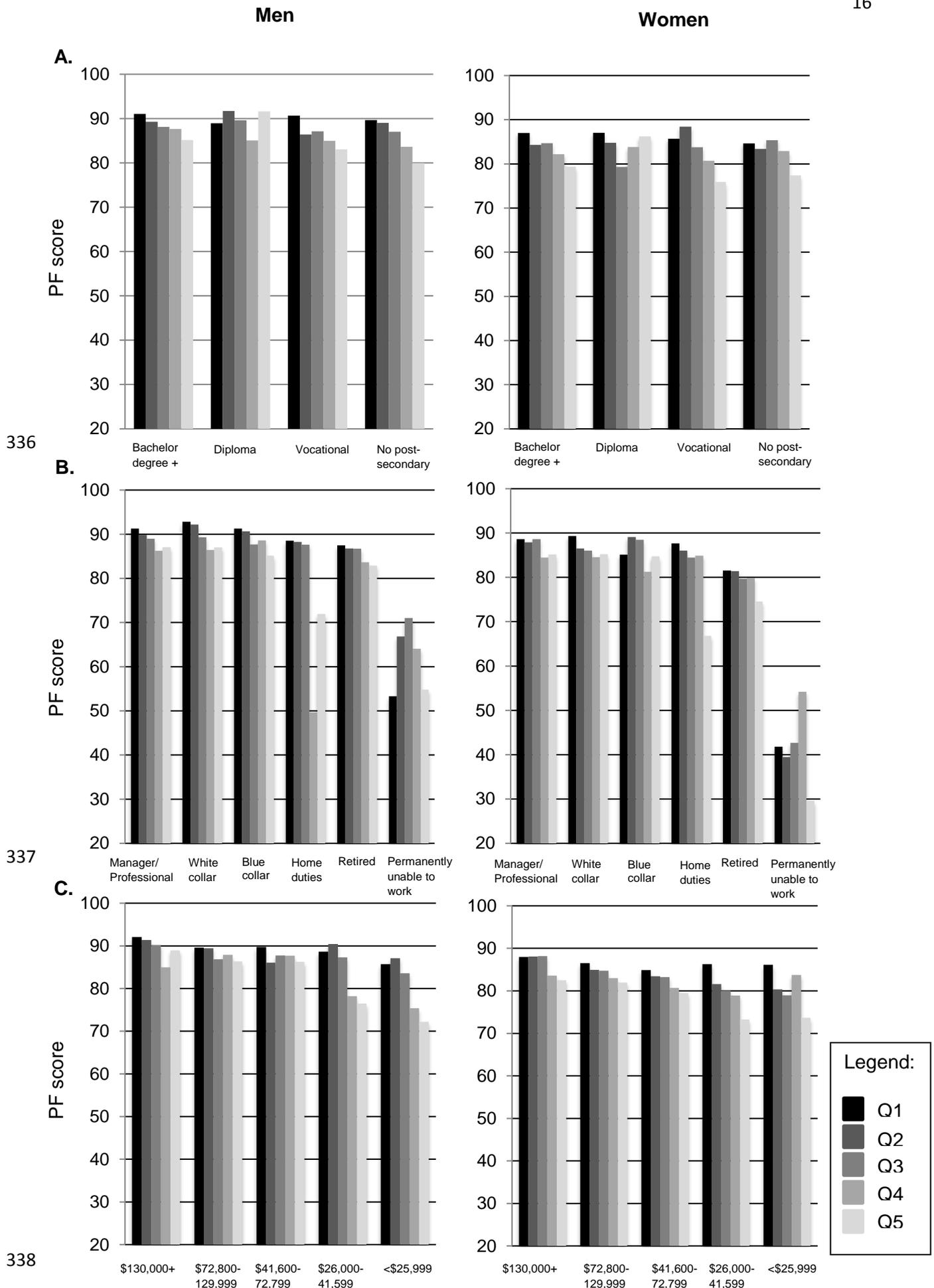


Figure 2: Cross-level interactions and mean physical function score between neighborhood disadvantage and A. education, B. occupation and C. household income. Q1 – most advantaged and Q5 – most disadvantaged neighborhoods.

## 339 Discussion

340 This study examined associations between neighborhood disadvantage, individual SEP and  
341 physical function. Significant and graded associations were found between neighborhood  
342 disadvantage and physical function for both men and women, after adjusting for individual  
343 level SEP, suggesting that the socioeconomic characteristics of the neighborhood  
344 environment may have important implications for physical function. The cross-level  
345 interaction models suggested that there was a protective effect of living in more  
346 socioeconomically advantaged neighborhoods on physical function. The findings of this  
347 study are consistent with previous single-level studies conducted in the United States and the  
348 United Kingdom,<sup>18,19</sup> which found that individuals living in more disadvantaged  
349 neighborhoods experienced poorer physical function than those in more advantaged  
350 neighborhoods. However, the only previous multilevel study<sup>16</sup> from the United States found  
351 no association between neighborhood disadvantage and physical function, after adjusting for  
352 individual-level factors. There are a number of possible explanations for the differences  
353 found between our study and those of Wight et al.<sup>16</sup>: including the sample age at the time at  
354 which data was collected, differences in the method of calculating area-level disadvantage,  
355 and geographical differences in the sampling of participants.

356

357 Consistent with prior research, men in our study were more likely to report better  
358 physical functioning than women.<sup>42-44</sup> The magnitude of difference in physical function score  
359 between men and women was notable in this study. Although this may be due to the well-  
360 documented gender-based reporting bias on physical function,<sup>45</sup> it is also possible that this  
361 discrepancy could be attributed to the differences in biology, control over resources and their  
362 decision making power in family and community, as well as the roles and responsibilities that  
363 society assigns to them.<sup>46</sup>

364

365 Individuals in this study with higher levels of educational attainment, individuals with  
366 a higher level of occupation, and members of high income households reported higher  
367 physical function. Previous studies have shown that income and education are likely to be  
368 closely linked, but with one influencing the other via distinct aetiological pathways.<sup>47,48</sup>  
369 Educational attainment for example, may influence the acquisition of knowledge about  
370 appropriate health practices, which may facilitate or constrain one's ability to maintain good  
371 physical function; whereas household income is likely to reflect the availability of resources  
372 to access health facilities and services.<sup>47,49</sup>

373           This investigation is the first-known study to examine cross-level interactions  
374 between neighborhood disadvantage, individual level SEP and physical function. These  
375 models revealed that associations between individual socioeconomic indicators differed  
376 across levels of neighborhood disadvantage. This finding brings to light interesting trends for  
377 how individuals with the same individual-level characteristics fared while residing in  
378 disadvantaged neighborhoods, when compared with their counterparts in more advantaged  
379 neighborhoods. For example, participants with the lowest education attainment living in the  
380 most disadvantaged neighborhoods were observed to have the lowest physical function score,  
381 signifying double disadvantage. Double disadvantage has also been reported in other social  
382 epidemiological studies.<sup>50-52</sup> For instance, people with disability who live outside major cities  
383 may fare worse than their counterparts living in major cities, or people with no disability who  
384 live outside major cities.<sup>50</sup> These findings suggest that while individual- and neighborhood-  
385 level socioeconomic disadvantage may affect physical function independently, they also  
386 interact with one another to impact physical function in a collective way. Therefore, living in  
387 a socioeconomically advantaged neighborhood or having higher SEP attributes alone may not  
388 be enough to ensure better physical function.

389

390           The neighborhood environment has emerged as an important context for health, by  
391 either facilitating healthy behavior, or acting as a barrier.<sup>14</sup> A number of possible mechanisms  
392 may explain the significant associations found in our study. According to Ross and  
393 colleagues,<sup>53</sup> the lack of economic and social resources in disadvantaged neighborhoods  
394 predisposes residents to physical and social ailments due to limited opportunity, and lack of  
395 social integration and cohesion. Characteristics of disadvantaged neighborhoods exist in both  
396 physical (e.g., lack of proper parks, health services, and tree coverage) and social forms (e.g.,  
397 crime, public smoking or drinking, and conflicts). For example, one study<sup>15</sup> reported that  
398 neighborhoods with multiple physical barriers such as poor access to public transport,  
399 inadequate lighting, trash and litter might trigger a pattern of disuse and subsequent  
400 decrements in functional health. On the other hand, neighborhoods with an adverse social  
401 climate may discourage social ties between neighbors that may influence behavior in ways  
402 that produce negative health outcomes.<sup>54,55</sup> For example, neighborhoods with greater social  
403 ties have higher levels of involvement in community activities, enabling residents to share  
404 ‘norms’ that influence health behaviors such as healthy eating and physical activity, both of  
405 which are important in the maintenance of physical function.<sup>56,57</sup> Also, the physical and social  
406 characteristics that exist in disadvantaged neighborhoods may influence physical function

407 through different pathways such as physical activity,<sup>57-59</sup> diet<sup>60</sup> and smoking.<sup>61,62</sup> Several  
408 studies have suggested that particular neighborhood features, including the presence of parks,  
409 recreational facilities, sidewalks and pleasant landscaping may promote physical activity  
410 among older adults.<sup>63-65</sup> While the lack of access to health food stores and the social norm of  
411 smoking in the neighborhood are associated with poorer diet<sup>66</sup> and smoking behaviour,<sup>67</sup>  
412 respectively. Therefore, living in a disadvantaged neighborhood may not provide the  
413 environmental support for individual lifestyle behaviors that are needed to maintain good  
414 physical function.

415

#### 416 *Limitations*

417 Several methodological and analytical issues need to be considered when interpreting  
418 and understanding this study's findings. First, the study is cross-sectional and thus claims  
419 about causality must be made with caveats. A longitudinal design would have added strength  
420 to the study findings. Second, the study data were obtained from the fourth wave of the  
421 HABITAT survey and sample attrition between baseline and 2013 may have implications for  
422 sample generalizability. The non-response rate in the HABITAT baseline study was 31.5%,  
423 and a comparison of the HABITAT baseline respondent sample with census data indicates an  
424 under-representation of men, those not in the workforce, those with low household income  
425 and those living in disadvantaged area.<sup>68</sup> Previous studies show that low SEP groups and  
426 residents of more deprived neighborhoods are least likely to participate in survey  
427 research.<sup>69,70</sup> As a result, the socioeconomic variation in the sample is likely to be less than  
428 that in the Brisbane population. Hence, it is likely that our results underestimate the 'true'  
429 magnitude of neighborhood disadvantaged in physical function. Third, the findings of this  
430 study may also be confounded by unobserved individual and neighborhood-level factors,  
431 such as social capital, or biased from the misclassification of self-reported responses. Fourth,  
432 the between neighborhood variance for Models 1 and 2 in women was estimated as zero.  
433 Even though this 'null finding' suggests that neighborhoods do not influence self-reports of  
434 physical function, this might be due to the study's statistical power to detect variance  
435 components.<sup>71</sup> In a multilevel analysis of neighborhood effects, the power to detect variance  
436 components is influenced by the number of neighborhoods sampled and the number of  
437 residents per neighborhood. In examining this issue, Diez Roux<sup>71</sup> and Snijder et al.<sup>72</sup> suggest  
438 that even when variance estimates are very small, this does not mean that the data imply  
439 absolute certainty that the population value of the variance estimate is equal to zero, or that

440 the effects of neighborhood variables on individual-level outcomes are not worth  
441 investigating.

442 The findings from the current study can help to inform the development of policy-  
443 relevant interventions directed at both individual- and the neighborhood-level contexts to  
444 delay the rate of physical function decline in ageing populations. Specifically, this study  
445 identified those residing in more disadvantaged neighborhoods as having lower levels of  
446 physical function. This suggests that any targeted neighborhood-level intervention should  
447 focus on neighborhoods with greater levels of socioeconomic disadvantage. For example,  
448 smoking is associated with accelerated declines in physical function,<sup>62</sup> and previous work in  
449 Brisbane has shown that residents of more disadvantaged neighborhood are more likely to  
450 smoke.<sup>67</sup> Interventions such as decreasing the number of tobacco outlets, especially in  
451 disadvantaged neighborhoods, might contribute to a reduction of socioeconomic disparities in  
452 physical function. Establishing the mechanisms between neighborhood disadvantage and  
453 physical function is crucial to the design of community-based interventions, as these  
454 processes are more amenable to change and more sustainable compared to changing  
455 individuals' behavior that tend to be more challenging and short lived.<sup>73,74</sup> This remains a  
456 priority for future research in this field.

457

## 458 **Conclusion**

459 Living in a disadvantaged neighborhood was associated with poorer physical function, even  
460 after adjustment for individual-level factors. Future studies should explore the mechanisms  
461 that explain why residents of advantaged and disadvantaged neighborhoods differ in their  
462 functional status.

463

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465

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478

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