

Relationship between changes in haemoglobin mass and maximal oxygen uptake after hypoxic exposure

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ABSTRACT

Background Endurance athletes have been using altitude training for decades to improve near sea-level performance. The predominant mechanism is thought to be accelerated erythropoiesis increasing haemoglobin mass (Hb_{mass}) resulting in a greater maximal oxygen uptake (VO_{2max}). Not all studies have shown a proportionate increase in \dot{VO}_{2max} as a result of increased Hb_{mass}. The aim of this study was to determine the relationship between the two parameters in a large group of endurance athletes after altitude training. **Methods** 145 elite endurance athletes (94 male and 51 female) who participated in various altitude studies as altitude or control participants were used for the analysis. Participants performed Hb_{mass} and \dot{VO}_{2max} testing before and after intervention.

Results For the pooled data, the correlation between per cent change in Hb_{mass} and per cent change in VO_{2max} was significant (p<0.0001, r^2 =0.15), with a slope (95% CI) of 0.48 (0.30 to 0.67) intercept free to vary and 0.62 (0.46 to 0.77) when constrained through the origin. When separated, the correlations were significant for the altitude and control groups, with the correlation being stronger for the altitude group (slope of 0.57 to 0.72).

Conclusions With high statistical power, we conclude that altitude training of endurance athletes will result in an increase in \dot{VO}_{2max} of more than half the magnitude of the increase in Hb_{mass} , which supports the use of altitude training by athletes. But race performance is not perfectly related to relative \dot{VO}_{2max} , and other non-haematological factors altered from altitude training, such as running economy and lactate threshold, may also be beneficial to performance.

INTRODUCTION

The effects of training at moderate altitude on subsequent performance at altitude and near sea-level became important at the 1968 Mexico City Olympic Games (2300 m), and has subsequently been researched extensively. It is now common practice for elite endurance athletes across a range of sports to use altitude training to improve performance near sea-level. The prevailing paradigm of adaptation to hypoxia is that the lower partial pressure of oxygen associated with moderate altitude induces erythropoietin (EPO) production in the kidneys, which in turn stimulates the production of red blood cells in the bone marrow, facilitating an increase in maximal oxygen uptake (VO_{2max}) and potentially improving endurance performance. 1-3 Since VO_{2max} depends on cardiac output and the arteriovenous O2 difference, all factors that influence these physiological capacities may exert limiting effects on endurance capacity.⁴ The most important factor related to blood supply is the total blood volume, which may limit venous return and thus the stroke volume, as well as haemoglobin mass (Hb_{mass}), which along with the capacity of muscles to extract and use O_2 determines the O_2 -transport capacity and therefore the arteriovenous O_2 difference.⁵

Although some authors have explicitly related the change in near sea-level performance after an altitude training camp (hypoxic intervention) to the change in serum EPO^{1 2} at altitude, the correlation for the change in $\dot{V}O_{2max}$ versus the change in red blood cell volume yielded an r²=0.14. Therefore, 86% of the variance in $\dot{V}O_{2max}$ is attributable to factors other than the change in Hb_{mass}. Further, it is important to be aware that $\dot{V}O_{2max}$ is not the sole determinant of performance. Among elite athletes, other factors such as exercise economy and the fractional utilisation of VO_{2max} are also important determinants of endurance performance. In addition to the increase in Hbmass and the subsequent increase in near sea-level VO_{2max}, altitude training can enhance muscle efficiency, probably at a mitochondrial level, and change the muscle proteins involved in acid-base control; it can also increase the capacity for flux of lactate, bicarbonate and hydrogen ions from muscle to blood, all of which can be associated with better performance in endurance athletes and team sport athletes.^{7 8} A detailed review of non-haematological adaptations to hypoxia that can improve near sea-level endurance performance has been published recently.8 Given an adequate hypoxic dose (high enough, long enough and for enough hours/day),9 there will be an increase in Hb_{mass}, VO_{2max} and possibly performance.8 However, there is evidence that although altitude training increases Hb_{mass}, there may also be a parallel reduction in cardiac output, vascular regulation or some other mechanism that can limit the increase in $\dot{V}O_{2max}$. 10 11

When evaluating the increases in Hb_{mass} and $\dot{V}O_{2max}$ resulting from altitude training, factors such as age, sex, training status and type of sport all must be considered. Many research studies have also used small sample sizes and a major strength of the current study is pooling data across many research studies to increase the sample size and to encompass a range of measures across athletes varying in the aforementioned characteristics. Recently, there has also been a controversy about whether altitude training, particular live high–train low (LHTL), is effective in improving Hb_{mass} , $\dot{V}O_{2max}$ and endurance performance. ¹² Therefore, the aim of the current study was to investigate the



To cite: Saunders PU, Garvican-Lewis LA, Schmidt WF, et al. Br J Sports Med 2013;**47**: i26–i30. effectiveness of altitude (both live high–train high (LHTH) and LHTL) in increasing Hb_{mass} and $\dot{V}O_{2max}$ and the strength of the relationship between changes in Hb_{mass} and $\dot{V}O_{2max}$ in a large number of elite endurance athletes from different sports after different forms of altitude training. The substantial number of participants pooled for analysis offers more statistical power than individual smaller studies with modest sample sizes.

METHODS Subjects

The current study used elite endurance athletes from 10 separate studies spanning a 6-year period across four different sports (cycling, running, triathlon and race walking). The study comprised a total of 145 participants (94 males and 51 females), VO_{2max} 67.7±7.2 (71.9±4.6 male and 61.4±5.9 female) mL/min/kg (mean±SD). The participants were at a minimum 'nationally ranked' athletes, but most had represented Australia in international competition. All participants were part of various altitude studies that were approved by the Australian Institute of Sport Ethics Committee. A summary of each of the 10 studies is listed in table 1.

Experimental overview

The current study investigated the relationship between changes in Hb_{mass} and VO_{2max} after various forms of altitude training, with the minimum requirement that both Hb_{mass} and VO_{2max} were measured pre-altitude and post-altitude. The altitude exposures included (1) classical LHTH at various locations (1350-2700 m), (2) simulated LHTL (3000 m, 14 h/day) in the altitude house at the Australian Institute of Sport (AIS, Canberra, Australian Capital Territory, Australia) or using altitude tents, (3) intermittent hypoxic training (IHT) in the altitude house at the AIS and (4) intermittent hypoxic exposure (IHE) using hypoxic breathing devices. A total of n=83 athletes completed altitude training interventions (1 and 2) and were treated as the Altitude group. The data from control participants and from altitude protocols that would not normally be expected to induce an increase in Hb_{mass} (IHE and IHT alone) were (n=62) all treated as control data. Details of participants, type of altitude, dose of altitude, exposure duration and average VO_{2max} are presented in table 1. The studies included were a combination of seven published 13-19 and three previously unpublished works.

Maximal oxygen uptake

An incremental protocol to volitional exhaustion was used to determine $\dot{V}O_{2max}$. Protocols were specific to each sport and have been described in full previously. ^{13–19} Expired ventilation samples were collected using a custom-built open-circuit indirect calorimetry system with associated in-house software for determination of oxygen uptake. ²⁰ The same open-circuit indirect calorimetry system was used in all studies. Additionally, the typical error (TE, SD of the difference scores divided by $\sqrt{2}$) for $\dot{V}O_{2max}$ established in our laboratory for this system was 2.1–2.4%, ¹⁹ ²¹ which includes the combination of biological and analytical error.

Haemoglobin mass

Total Hb_{mass} was measured with the optimised 2 min carbon monoxide (CO) rebreathing test adapted from Schmidt and Prommer²² for all the studies included in the analysis. Briefly, a CO dose of ~1.2 mL/kg body weight was administered and rebreathed for 2 min. Capillary fingertip blood samples were taken before the start of the test and at ~7 min post

administration of the CO dose. Blood samples were measured a minimum of five times for determination of %HbCO using an OSM three hemoximeter (Radiometer, Copenhagen). Hb_{mass} was calculated from the mean change in HbCO before and after rebreathing CO. This parameter was measured prior to the intervention period and within 1 week after the completion of the intervention period. The TE of Hb_{mass} was 1.8%, ¹⁸ 1.9%, ¹³ 2.0%. ¹⁴ 15 17 2.2% ¹⁹ and 2.4%. ¹⁶

Statistical analysis

A two-tailed Pearson correlation was used to compare the correlation between percentage changes in Hb_{mass} and $\dot{V}O_{2max}$ with statistical significance set at p<0.05. Linear regression analyses were also performed on the percentage changes in Hb_{mass} and $\dot{V}O_{2max}$, and provided a regression equation, goodness of fit (r^2) and significance of the slope (p value). Analyses were conducted both with the intercept allowed to vary freely and forced through the origin. Linear regression was also conducted for a cross-sectional comparison on the cumulative raw data (pre and post) of Hb_{mass} (g/kg) and $\dot{V}O_{2max}$ (mL/min/kg). All analyses were performed using Prism software (2007) V.5.01 (GraphPad Software Inc., San Diego, California, USA).

RESULTS

Changes in Hb_{mass} and VO_{2max}

The correlation between percentage changes in Hb_{mass} and $\dot{V}O_{2max}$ was significant for the altitude and control groups combined, with a slope (95% CI) of 0.48 (0.30 to 0.67) when the intercept was free to vary, and a slope of 0.62 (0.46 to 0.77) when the intercept was constrained through the origin (figure 1). The correlation was also significant for the altitude and control groups, separately (figure 2). For the altitude group, the slope was 0.57 (0.27 to 0.87) when free to vary and when constrained through the origin, the slope was 0.72 (0.51 to 0.92). The corresponding values for the Control group were 0.32 (0.06 to 0.58) and 0.38 (0.12 to 0.64). The Altitude group increased Hb_{mass} by 3.3±3.0% (mean±SD) and $\dot{V}O_{2max}$ by 2.7±4.4%; the corresponding changes in the Control group were 0.8±3.0% and 1.1±3.4%.

Cross-sectional relationship between Hb_{mass} and VO_{2max}

When the intercept was able to vary, the linear regression of cumulative raw data (control and altitude, pre and post) of Hb_{mass} and $\dot{V}O_{2max}$ was as follows

$$\dot{V}O_{2 max}(mL/min/kg) = 3.32 \times \% Hb_{mass}(g/kg) + 22$$

The slope was significant (p<0.0001, r=0.75) with 95% CI of 2.98 to 3.66. When constrained through the origin, the corresponding slope was 4.94 (4.89 to 4.99; figure 3).

DISCUSSION

The main findings of the current study were (1) a significant, very large cross-sectional relationship between Hb_{mass} and $\dot{V}O_{2max}$ and (2) a significant, moderate correlation between changes in Hb_{mass} and $\dot{V}O_{2max}$ in a large group of elite endurance athletes undertaking altitude training. Athletes who were part of LHTL and LHTH altitude interventions increased Hb_{mass} and $\dot{V}O_{2max}$ by ${\sim}3\%$ such that each 1% change in Hb_{mass} will result in a 0.6–0.7% change in $\dot{V}O_{2max}$. Even though significant, the correlation was weak and explained only 15% of the variation, indicating that other factors are still important in increasing $\dot{V}O_{2max}$, apart from an increased Hb_{mass} .

Table 1 Individual study summary								
Year	Sport	Study N (M/F)	Altitude type (N)	Level (m)	Duration (d)	Time at altitude	VO _{2max} (mL/min/kg)	Test mode (Ergometer)
2006 (13)	Cycling	11 (11/0)	LHTL (11)	3000	21	14 h/day	65.32±5.29	Cycle (lode bike)
2007 (14)	Cycling	9 (9/0)	LHTH (5) CON (4)	2700	21	24 h/day	72.16±4.66	Cycle (lode bike)
2007 (17)	Running	32 (21/11)	LHTL (18) CON (14)	3000	21	14 h/day	71.60±6.05	Run (treadmill)
2008 (18)	Running	17 (13/4)	LHTL+TH (8) IHT (9)	3000+2200 2200	21+9 9	14 h/day+60 min 60 min	67.91±7.47	Run (treadmill)
2008 (19)	Walking	16 (8/8)	LHTL (6) CON (10)	3000	21	14 h/d	62.17±7.44	Race walk (treadmill)
2009 (16)	Triathlon	18 (14/4)	LHTL (5) IHE (8) CON (5)	3000 3500–6000	17 17	14 h/day 60 min	72.49±4.74	Run (treadmill)
2010 (15)	Cycling	9 (0/9)	LHTL (5) CON (4)	3000	26	14 h/day	62.20±4.68	Cycle (tode bike)
2011	Walking	10 (4/6)	LHTH (5) CON (5)	1850	28		64.98±6.59	Race walk (treadmill)
2011	Walking	6 (2/4)	LHTL (3) CON (3)	3000	28	14 h/day	60.73±6.60	Race walk (treadmill)
2012	Walking	17 (12/5)	LHTH (7) LHTH (10)	3000/1350 1350	21 21	9 h/day/15 h/day 24 h/day	66.50±6.17	Race walk (treadmill)

There were a total of 83 altitude athletes and 62 control athletes.

 $\dot{V}O_{2max}$ values means±SD.

CON, control; F, female; IHE, intermittent hypoxic exposure; IHT, intermittent hypoxic training; LHTL, live high-train low; LHTH, live high-train high; M, male.

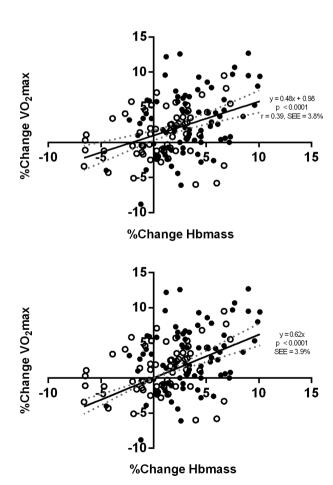


Figure 1 Linear regression (and 95% CI) for changes between preintervention and postintervention for Hb_{mass} and VO_{2max} , n=145. Regression slope (solid line) and 95% CIs (dashed line) are shown. Top panel is for intercept free to vary, and bottom panel intercept constrained through the origin. Open circles are control participants and filled circles are altitude participants.

Cross-sectional relationship between Hb_{mass} and VO_{2max}

The current study yielded a strong relationship between Hb_{mass} and $\dot{V}O_{2max}$ (r=0.75), with a slope of ~4.9 when constrained through the origin; this implies that every additional gram of Hb will increase $\dot{V}O_{2max}$ by ~4.9 mL/min. Other cross-sectional studies indicate a similarly strong relationship between Hb_{mass} and VO_{2max}, which is independent of sex or age.²³ A close association between absolute Hb_{mass} and VO_{2max} (r=0.72) was reported in 131 males of varying training status.²⁴ Likewise, strong correlations between Hb_{mass} and $\dot{V}O_{2max}$ have been reported across a range of sports and degrees of training status, with the slope of the regression line ~4 in each instance, although in the present study the slope is closer to 5 when the regression is forced through the origin. In practical terms, this relationship translates to a change in \dot{VO}_{2max} of ~4–5 mL/min for every 1 g change in Hb_{mass} . ²³ ²⁵ ²⁶ Furthermore, the relationship is consistent with the theoretical calculation of O₂ transport during maximal aerobic exercise. The strong relationship between Hb_{mass} and VO_{2max} has two important implications; first, that a high Hb_{mass} is an important prerequisite for a high $\dot{V}O_{2max}$, ²³ ²⁷ and second, that alterations to Hb_{mass} have the potential to alter $\dot{V}O_{2max}$ and possibly performance.

Successful endurance performance is highly correlated with an athlete's $\dot{V}O_{2max}$. ²⁸⁻³² Other physiological and performance factors are important and include sustaining a high percentage of $\dot{V}O_{2max}$ for the event duration (fractional utilisation or lactate threshold)³³ ³⁴ and exercising with relatively low energy expenditure (good economy). ³⁰ ³³ ³⁵ These factors in isolation are not necessarily better predictors of performance than $\dot{V}O_{2max}$, although in elite athletes where an already high $\dot{V}O_{2max}$ is present, these factors may become more important. A three-factor model ($\dot{V}O_{2max}$, running economy, lactate threshold) was reported to highly predict the within-subject changes in performance (measured by peak running speed) during a 17-week training period in well-trained distance runners. ³⁶ When holding each variable constant, to ascertain the effect of a predictor variable independently of all the other predictor

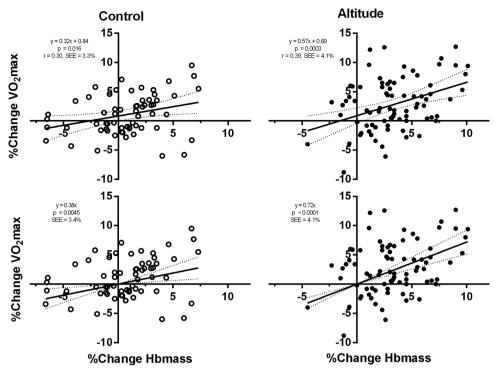


Figure 2 Linear regression (and 95% CI) for changes between preintervention and postintervention for Hb_{mass} and VO_{2max}, control (n=62), altitude (n=83). Regression slope (solid line) and 95% CIs (dashed line) are shown. Top panels are for intercept free to vary, and bottom panels for intercept constrained through the origin. Left-side graphs are control groups (including IHE and IHT alone) and right-side graphs are altitude groups.

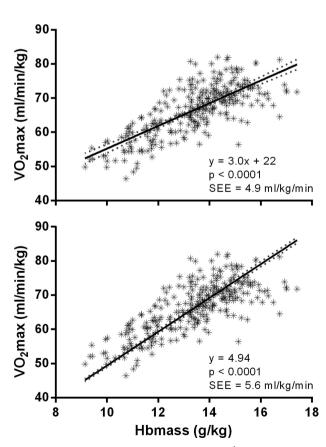


Figure 3 Linear regression between Hb_{mass} and VO_{2max} in raw units. Regression slope (solid line) and 95% CIs (dashed line) are shown. Data are cumulative for pre-exposure and postexposure to altitude, n=290. Top panel is for intercept free to vary, and bottom panel intercept constrained through the origin.

variables, $\dot{V}O_{2max}$ was the best predictor of performance with a 1% improvement in $\dot{V}O_{2max}$ resulting in a 0.5% improvement in peak running speed, but the running economy was also a moderate predictor of performance.³⁶

Changes in Hb_{mass} and VO_{2max}

Interestingly, the Hb_{mass}-VO_{2max} relationship appears to uncouple somewhat following altitude training, with disproportionate changes being reported in Hb_{mass} and VO_{2max}. A weak association (r=0.32) between changes in Hb_{mass} and $\dot{V}O_{2max}$ was reported following 3 weeks of LHTL simulated altitude training combined with hypoxic training. 18 In fact, when the individual data are examined, some athletes displayed substantial increases in Hb_{mass} (>5%) with no change in VO_{2max}, whereas others who experienced minor reductions in Hb_{mass} increased $\dot{V}O_{2max}$ by ~5%. ¹⁸ Similarly, despite a ~4% increase in Hb_{mass} observed in elite runners following ~400 h of simulated LHTL (~2900 m), only a trivial change in $\dot{V}O_{2max}$ was observed and, not surprisingly, the relationship between changes in Hb_{mass} and $\dot{V}O_{2max}$ was also trivial (r=0.04).³⁷ It has been reported that after 24 days of LHTL, the Hb_{mass} increased by 5.3% (~44 g) in a group of orienteers, accompanied by a 4.1% increase in VO_{2max} (~145 mL/min), which in terms of the mean data appears in line with the expected increase in $\dot{V}O_{2max}/g$ of Hb_{mass.} ³⁸ Indeed, the relationship between the change scores was ~0.7 when the group was divided into men (r=0.75) and women (r=0.68); however, when the group data are combined, the relationship becomes much weaker (r=0.35, p=0.29). Clark et al13 report a trivial correlation between changes in Hbmass and VO_{2max} in well-trained cyclists following 21 days of simulated LHTL (r=0.09, p=0.32); however, the slope of the regression line appears to indicate that a 1% increase in Hb_{mass} is associated with a 0.8% increase in $\dot{V}O_{2max}$. The only study to report a significant (albeit weak) correlation (r=0.4, p=0.02) between changes in red cell volume (measured using Evan's Blue) and $\dot{V}O_{2max}$ was in a group of collegiate runners following 4 weeks of LHTL, where 5% and 9% increases in red cell volume and $\dot{V}O_{2max}$ were reported.¹

The current study suggests that the relationship between increases in Hb_{mass} and VO_{2max} is slightly stronger in athletes undertaking altitude training compared to control athletes, although the variance explained was ~15%, which is similar to that reported previously $(r^2=0.14)^1$ for a 9% increase in red cell volume associated with a 5% increase in $\dot{V}O_{2max}$. When participants (n=18) received recombinant EPO injections (50 IU/kg 3×/week) for a period of 25 d, they increased $\dot{V}O_{2max}$ more proportionately with the increase in Hb_{mass} ($r^2=0.28$) when compared with the current data set,³ adding support to this apparent uncoupling of the Hb_{mass}-VO_{2max} relationship with altitude training. On the other hand, when nonathletic, altitude adapted participants return to near sea-level, they show a $\dot{V}O_{2max}$ similar to lowlanders despite a 13% increase in $Hb_{mass.}^{40}$ The lack of adaptation in $\dot{V}O_{2max}$ during training at hypoxia may be related to impairment in vascular regulation and reduced cardiac output after altitude training. 10 11 Interestingly, 23 consecutive nights of LHTL simulated altitude exposure (3000 m) depressed VO_{2max} by 7% with only a trivial increase in Hb_{mass}. This, along with other non-haematological adaptations that occur with training at altitude, may explain why $\dot{V}O_{2max}$ does not increase more proportionately with the increase in Hb_{mass}. Finally, it should be considered that even with a small TE of measurement for VO_{2max} and Hb_{mass}, it is quite likely that in some of the studies with smaller samples, as well as in the current study, these errors contribute to an obfuscation of the relationship between the two. However, the results of the current study are quite likely to be more robust, given the relatively large sample size and hence greater statistical power, as well as using only one method for measuring Hb_{mass}.

The differences in the changes in the Hb_{mass}-VO_{2max} relationship between the altitude and control athletes (figure 2) are most likely a result of individual variation.¹⁷ However, using the component estimates of TE, the combined error of measurement for changes between successive measures of Hb_{mass} and $\dot{V}O_{2max}$ at the 95% level could be as large as $\sim \pm 8\%$ (1.96× $\sqrt{2}$ ×($\sqrt{(2^2+2.2^2)}$), which is a consequence of analytical and biological variation in both tests. Factors such as illness, training (or detraining), fatigue and iron stores may all potentially affect changes in Hb_{mass} and VO_{2max}. 42 43 Interestingly, for the control group, there was a scatter of data (for changes in Hb_{mass} and VO_{2max}) about the origin in positive and negative directions suggestive of measurement error, whereas the Altitude group showed a preponderance of points in the positive/positive quadrant for both measures, inferring that altitude is an effective intervention to increase Hb_{mass} and hence VO_{2max}. In addition, the significant relationship for changes in Hb_{mass} and VO_{2max} found for the Control group most likely reflects not just measurement error but also real changes in these markers secondary to factors such as training/

When acutely exposed to hypoxia, it is not just $\dot{V}O_{2max}$ that is affected. All the functional systems of the body are affected, including the central nervous system, respiratory system, cardio-vascular system and muscles, a process that is mediated at the tissue level through rapid oxygen sensing. ⁴⁴ The transcription factor hypoxia-inducible factor-1 (HIF-1), present in every tissue of the body, is the global regulator of oxygen homeostasis and plays a critical role in the acute cardiovascular and respiratory responses to hypoxia. ⁴⁵ Improvement in many of these responses may be a factor in their variable response to increasing $\dot{V}O_{2max}$ after exposure to altitude.

In summary, the current data indicate that increases in Hb_{mass} were significantly, albeit weakly, correlated with increases in $\dot{V}O_{2max}$ in elite endurance athletes after altitude training interventions with an approximate 1% increase in Hb_{mass} resulting in a 0.6–0.7% increase in $\dot{V}O_{2max}$. However, since the relationship is not perfect, other factors must be considered, which affect changes in $\dot{V}O_{2max}$, in addition to other responses from altitude that may impact on performance.

What are the new findings?

- ► This study confirms the strong cross-sectional relationship between haemoglobin mass (Hb_{mass}) and maximal oxygen uptake (VO_{2max}) in a sample of 145 elite athletes.
- ▶ Adequate moderate altitude exposure is effective for increasing Hb_{mass} and VO_{2max} in elite endurance athletes by ~3%. But the correlation between these changes is weak and explains less than one-sixth of the variation, indicating that other factors are still important in increasing VO_{2max} after altitude training, apart from an increased Hb_{mass}.

How might it impact on clinical practice in the near future?

- Altitude training can be undertaken as a helpful method to improve endurance performance in already highly trained athletes, but it should be incorporated as part of an annual plan
- Race performance may improve post-altitude as a result of increased haemoglobin mass and maximal oxygen uptake, but other non-haematological factors are also quite likely important. Therefore, altitude training studies should not be limited to measuring these two variables alone.

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Contributors PUS was involved in the conception and design, acquisition of data, analysis, interpretation of data, drafting of the article and approved the final version. LAG-L was involved in the acquisition of data, analysis and interpretation of data, and critically revised the article and approved the final version. WFS was involved in the conception and design, and critically revised the article and approved the final version. CJG was involved in the conception and design, analysis and interpretation of data, and critically revised the article and approved the final version.

Competing interests None.

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