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# Psychosocial interventions for stroke survivors, carers and survivor-carer dyads: a systematic review and meta-analysis

Cover title: Stroke psychosocial interventions: a review

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#### **Abstract**

**Objective:** To evaluate the effectiveness of psychosocial interventions on depressive symptoms, anxiety symptoms, quality of life, self-efficacy, coping, carer strain and carer satisfaction among stroke survivors, carers and survivor-carer dyads.

**Data sources:** MEDLINE, CINAHL, PsycINFO, SocINDEX, Cochrane Library, Web of Science and Scopus databases and the grey literature were searched up to September 2018.

**Methods:** A systematic review and meta-analysis of randomized controlled trials of psychosocial interventions for stroke survivors, carers and survivor-carer dyads, compared to usual care. Outcomes measured were: depressive symptoms, anxiety symptoms, quality of life, coping, self-efficacy, carer strain and carer satisfaction.

**Results:** Thirty-one randomized controlled trials (n=5715) were included in the systematic review which found improvements in depressive symptoms, anxiety symptoms, quality of life and coping, though the number of trials assessing each outcome varied. A meta-analysis (11 trials; n=1280) focusing on depressive symptoms found that in seven trials psychosocial interventions reduced depressive symptoms in stroke survivors (SMD: -0.36, 95% CI -0.73 to 0.00; p = 0.05) and in six trials reduced depressive symptoms in carers (SMD: -0.20, 95% CI -0.40 to 0.00; p = 0.05).

**Conclusion:** Psychosocial interventions reduced depressive symptoms in stroke survivors and their carers. There was limited evidence that such interventions reduced anxiety symptoms, or improved quality of life and coping for stroke survivors and carers and no evidence that they improved self-efficacy, carer strain or carer satisfaction.

#### Introduction

Stroke can profoundly impair the psychosocial health of stroke survivors and their carers. <sup>1-3</sup> Psychosocial interventions for stroke survivors, carers and survivor-carer dyads (stroke survivor and carer as single unit) are yet to be comprehensively reviewed. In reality, psychosocial interventions are rarely defined in stroke literature. As a result, past 'psychosocial' reviews have included behavioural, psychological and or social interventions. This review defines psychosocial interventions as those comprised of both psychological and social components. Psychological components address an individuals thought processes and behaviours (e.g., motivational interviewing, counselling), measured using tools that contain psychological sub-scales or questions. Social components relate to an individual's relationship with others, including spouses, family, friends and the broader community (e.g. family counseling, service links), often measured by sub-scales or questions within validated quality of life scales. Recent reviews of psychosocial interventions consistent with this definition for coronary heart disease, chronic kidney disease and diabetes have been shown to reduce depression and anxiety.

This is the first review to examine the effectiveness of psychosocial interventions for stroke survivors, carers and survior-carer dyads. Dyadic theory posits that the patient and carer outcomes are inter-related and inter-dependant, 11,12 therefore, to comprehensively evaluate this literature it is essential to review stroke, carer and dyad using a uniform method.

Previously, the fragmented approach to reviewing psychosocial interventions within the stroke population (e.g. survivor, carer, or dyad only reviews)<sup>1-4</sup> risked omitting key trails from previous reviews and obscuring potential inter-related dydic outcomes. Limited meta-anlysis of this topic makes it difficult to ascertain if psychosocial interventions are effective. 14

The objective of this systematic review and meta-analysis was to evaluate the effectiveness of psychosocial interventions on depressive symptoms, anxiety symptoms, quality of life (QoL), coping, self-efficacy, carer strain and carer satisfaction among stroke survivors, their carers and survivor-carer dyads.

#### Methods

The systematic review and meta-analysis of randomized controlled trials (RCTs) was conducted in accordance with Cochrane Collaboration systematic review methodology and Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guidelines.<sup>15</sup> The review is registered with the PROSPERO database (CRD42017071129).

#### Criteria

This review included RCTs of interventions that contained both psychological<sup>6</sup> and social<sup>7</sup> components.

Inclusion criteria: clinical diagnosis of ischaemic or haemorrhagic stroke, or carer for a stroke survivor; aged18 years or over; psychosocial intervention (i.e. comprised of at least one psychological and one social component);<sup>6,7</sup> usual care comparison group; one or more outcomes: depressive symptoms, anxiety symptoms, QoL, coping, self-efficacy, carer strain or carer satisfaction; and RCT. Exclusion criteria: non-English publications, review papers, conference proceedings, dissertations and books.

# Search strategy

Databases searched: MEDLINE, CINAHL, PsycINFO, SocINDEX, Cochrane Library, Web of Science and Scopus databases and grey literature. Search conducted in September 2018 with no year restriction. Relevant unpublished data were requested from authors.

#### Search terms

Broad search terms were used to capture as many interventions as possible that could contain psychological and social components. For the same reason, the search strategy forwent the use of the term 'RCT" or its synonyms.

Search terms: (Stroke OR "cerebrovascular accident\*" OR

CVA OR "cerebral vascular accident\*" OR apoplex\*) OR (brain OR cerebr\* OR intracran\*) N2 (vascular OR ischemi\* OR infarct\* OR thrombo\* OR emboli\* OR hemorrhag\*) AND (carer\* OR caregiver\* OR "care giver\*" OR caring OR spouse OR famil\* OR partner\* OR sibling\*) AND (Depress\* OR melencholi\* OR dysthemi\* OR mood OR affective disorder OR anxiety OR anxious OR "quality of life" OR coping OR stress OR strain OR satisfaction OR "mental health" OR wellbeing\* OR stress\* OR strain\* OR burden).

# Study selection

Results were uploaded into Covidence online software (<a href="http://www.covidence.org">http://www.covidence.org</a>) after screening for duplication. Two reviewers screened studies via title, abstract and full text (CM, ZJ) and independently assessed them for inclusion. Authors were contacted if it was unclear if the intervention included psychological or social components; trials in which the authors that did not respond could not be assessed for inclusion. Disagreements were resolved through discussion or consultation with a third reviewer (CFS).

#### Data extraction

Means and standard deviations for the meta-analysis of psychosocial interventions were extracted using a customized spreadsheet.

Grades of recommendation, assessment, development and evaluation (GRADE)

Studies were evaluated as per GRADE recommendations including: risk of bias, heterogeneity, participants, intervention, comparison group, outcome/s, study design (PICOS)

criteria, precision and publication bias. <sup>16</sup> Risk of bias was assessed by the two reviewers using Covidence-risk of bias proformas (<a href="https://www.covidence.org">https://www.covidence.org</a>).

#### Statistical measures

For the meta-analysis, the standard mean differences (SMD) of study outcomes were reported as studies used different outcome measures for depressive symptoms and therefore were unable to be converted into a common form. A small effect size was considered to be Hedges' G = 0.2, medium was = 0.5 and large was = 0.8.17 Results using completers only were used only when intention-to-treat (ITT) analysis was not reported.

The p-value used to determine statistical significance was 0.05. The Q-statistic tests the null hypothesis that all of the studies in the meta-analysis have a common effect size. The  $I^2$  statistic reports the proportion of observed variance that reflects differences in true effect-sizes as opposed to sampling error.  $T^2$  is the difference between the study variance or variance of true effect sizes. The standard deviation of true-effects is T.

# Data analysis

Meta-analysis utilized Comprehensive Meta-Analysis (CMA) software version 3. A random-effects model was applied. This is because a fixed effect model is only appriate when it can be assumed the true effect size is identical for all studies, <sup>18</sup> which is not possible for psychological studies that have variations in their delivery and format (e.g., differences in age, socioeconomic factors, length of intervention). <sup>19</sup> Included studies were weighted by sample size/standard error. We compared the outcomes of the intervention group to usual care.

Publication bias was assessed using a funnel plot. 'One study removed' analyses were used to assess the impact of each study on the combined effect <sup>18</sup> and the results of this analysis are only reported in text where the removal of a study affected the outcome.

#### Results

#### Study selection

The PRISMA flow diagram<sup>15</sup> is shown in Figure 1.

# Figure 1 about here

Figure 1. PRISMA flow diagram of included studies.

# Study characteristics

Thirty-one RCTs were identified; 12 targeted stroke survivors,<sup>20-31</sup> 10 carers,<sup>32-41</sup> and 11 survivor-carer dyads.<sup>31,39,42-50</sup> Overall, 3636 stroke survivors and 2079 carers were included between 1997-2018. Sample sizes was 20 to 1008. The mean age of stroke survivors was 57 to 77 years, carers 49 to 76 years. Psychosocial interventions varied in form/content e.g. components, length (Table 1 and Supplementary Table).

**Table 1.** Psychosocial components of interventions.

# Table 1 about here

# GRADE quality assessment

GRADE assessment was completed for the three meta-analysis. Risk of bias (Figure 2) in the meta-analysis of 'interventions delivered to stroke survivors or dyads and depressive symptoms measured in stroke survivors' found that 61% of the risk of bias domains were assessed as low. The funnel plots did not indicate publication bias (Supplementary Figure). Heterogeneity was present, likely due to the diverse delivery modes, content and structure of psychosocial interventions. The PICO (i.e. population, interventions, comparison and outcomes) assessed to be satisfactory to address the research question. An assessment of precision considered the sample sizes and the confidence intervals of each trial; four of the

seven trials had less than 65 participants, therefore the level of evidence was downgraded from high to moderate.

Risk of bias in the meta-analysis of 'interventions delivered to carers or dyads and depressive symptoms measured in carers' found that 55% of the risk of bias domains were assessed as high or unclear; therefore the level of evidence was downgraded from high to moderate.

Funnel plots found no publication bias (Supplementary Figure) and the PICO of the included trials was suitable. An assessment of precision found that one trial<sup>47</sup> only had 10 participants and a large confidence interval; thus the evidence was downgraded.No unexplained heterogeneity was identified. The level of evidence for this meta-analysis was graded moderate.

Risk of bias in the meta-analysis of 'interventions delivered to carers or dyads and depressive symptoms measured in stroke survivors' found that 54% of the risk of bias domains were assessed as high or unclear; therefore the level of evidence was downgraded from high to moderate. There was no publication bias (Supplementary Figure), or unexplained heterogeneity and the PICO were assessed as appropriate. Precision was impacted by small participant groups (n=132). Therefore, the level of evidence for this meta-analysis was graded low.

# Figure 2 about here

Figure 2. Risk of bias.

# Systematic review results

#### Depressive Symptoms

Depressive symptoms were measured in 25 trials, <sup>20-25,27-30,32-34,37,39-47,49,50</sup> of which nine interventions reported significant reductions in this outcome: four of the interventions for stroke survivors, <sup>22,28-30</sup> two for carers <sup>33,40</sup> and three for survivor-carer dyads. <sup>46,47,49</sup> Significant

reductions in depressive symptoms were reported for interventions delivered to stroke survivors that utilized motivational interviewing,<sup>29</sup> transitional care,<sup>30</sup> problem solving and psycho education<sup>22</sup> and anti-depressant medication with behavioural support.<sup>28</sup> Depressive symptoms increased in one trial for stroke survivors.<sup>25</sup> Two telephone-based interventions for carers reduced depressive symptoms.<sup>33,40</sup> One survivor-carer dyad intervention reported a significant reduction in depressive symptoms for stroke survivors but not carers.<sup>47</sup> Two survivor-carer dyad trials reported a significant reduction in depressive symptoms for carers but not stroke survivors.<sup>46,49</sup>

# Anxiety symptoms

Anxiety symptoms were measured in 10 trials. <sup>22,24,25,27,32,37,39,42,44,47</sup> One psychoeducation and service links intervention for stroke survivors reported significant reductions in anxiety symptoms. <sup>22</sup>

# Quality of life

Quality of life was measured in 16 trials, <sup>20,23-27,30-32,36,37,40,41,45,47,48</sup> of which five reported significant improvements in this outcome: three for stroke survivors, <sup>24,27,30</sup> one for carers <sup>36</sup> and one for survivor-carer dyads. <sup>47</sup> Discharge planning, <sup>30</sup> goal setting <sup>24</sup> and community rehabilitation <sup>27</sup> improved QoL in stroke survivors. A telephone and educational peer-support group <sup>36</sup> for carers improved QoL. A problem solving intervention <sup>47</sup> improved QoL for survivors in dyads.

#### Coping

Coping was measured in six trials;<sup>35,37,38,46,47,50</sup>: four of interventions for carers<sup>35,37,38,50</sup> and two for survivor-carer dyads<sup>46,47</sup> reported significant improvements. The provision of social support, psycho education and problem solving improved coping in all six trials.<sup>47</sup>

Self-efficacy, carer strain and carer satisfaction

Self-efficacy was measured in five trials.<sup>23,25,26,37,47</sup> three of interventions for stroke survivors,<sup>23,25,26</sup> one for carers<sup>37</sup> and one for survivor carer-dayds.<sup>47</sup> Carer strain was measured in two trials<sup>34,37</sup> and satisfaction measured in three trials.<sup>33,42,51</sup> No significant improvements were found in these outcomes.

# Meta-analysis of depressive symptoms

Only trials measuring depressive symptoms were subjected to meta-analysis because too few trials provided data, such as means and standard deviations. Thus, 11 trials were included in the meta-analysis of depressive symptoms. <sup>20,25,27,28,34,41,44,46,47,49,50</sup> Four trials reported multiple time points for outcome measuresments; <sup>25,28,41,49</sup> in order to reduce heterogeneity between trials we included the time points most immediately after the conclusion of the intervention in our analyses.

In seven trials (n=456), the intervention was delivered to stroke survivors or dyads and depressive symptoms were measured in stroke survivors (Figure 3). Four trials used ITT analysis.  $^{20,25,27,46}$  The psychosocial intervention showed a small effect, compared to usual care, Z=-1.95, p=0.05, *Q*-value=18.50(6*df*),  $I^2$ =67.57,  $T^2$ =0.15, T=0.39.

# Insert Figure 3 about here

**Figure 3.** Forest plot - Interventions delivered to stroke survivors or dyads and depressive symptoms measured in stroke survivors

In six trials (n=561), the intervention delivered to carers or dyads and depressive symptoms were measured in carers (Figure 4). Data collection occurred directly after the intervention in four trials,  $^{41,47,49,50}$  at 18 weeks only in one trial<sup>34</sup> and at six months post-intervention in one trial. In carers, a trend for a small effect of the psychosocial intervention compared to the usual care group was found, Z=-1.94, p = 0.05, Q-value=6.30(5df), I<sup>2</sup>=20.62, T<sup>2</sup>=0.01, T=0.12. Removal of the latter two trials<sup>34,50</sup> resulted in a p of 0.04.

# Insert Figure 4 about here

**Figure 4.** Forest plot - Interventions delivered to carers or dyads and depressive symptoms measured in carers

In four trials (n=263), the intervention was delivered to carers or dyads and depressive symptoms were measured in stroke survivors that the carers cared for (Figure 5). Two trials used ITT analysis.  $^{46,49}$  The psychosocial intervention showed no effect compared to usual care, Z=-1.15, p=0.25, Q-value=0.31(3df), I<sup>2</sup>=0, T<sup>2</sup>=0, T=0.

# Insert Figure 5 about here

**Figure 5.** Forest plot - Interventions delivered to carers or dyads and depressive symptoms measured in stroke survivors

# **Discussion**

This systematic review and meta-analysis evaluated the effectiveness of psychosocial interventions compared to usual care on depressive symptoms, anxiety symptoms, QoL, coping, self-efficacy, carer strain and carer satisfaction among stroke survivors, carers and survivor-carer dyads. In stroke survivors, psychosocial interventions reduced depressive symptoms<sup>22,28-30</sup> and anxiety symptoms<sup>22</sup> and improved QoL,<sup>24,27,30</sup> In carers, such interventions reduced depressive symptoms<sup>33,40</sup> and improved QoL,<sup>36</sup> and coping.<sup>35,37,38,50</sup> In survivor-carer dyads, they reduced depressive symptoms,<sup>46,47,49</sup> QoL,<sup>47</sup> and coping.<sup>46,47</sup> Community-based interventions for stroke survivors reduced depressive symptoms;<sup>28-30</sup> considering that around 90% of stroke survivors return to live in the community this is an important finding.<sup>52</sup> Although major depression affects around 20% of hospital-dwelling stroke survivors<sup>53</sup> only one hospital-based trial<sup>22</sup> was reduced depressive symptoms.

Depressive symptoms are often poorly recognized and addressed in clinical practice<sup>54</sup> despite the call for early intervention.<sup>55</sup>

This review identified a small number psychosocial interventions which reduced depressive symptoms in carers<sup>33,40</sup> and survivor-carer dyads.<sup>46,49</sup> One intervention provided the carer with tips to help identify depressive symptoms.<sup>40</sup> This is important because depressive symptoms often go unrecognised by stroke survivors and carers, as well as clinicians, potentially delaying treatment.<sup>56</sup> Two interventions, delivered to survivor-carer dyads, reduced depressive symptoms in carers, but not in stroke survivors.<sup>46,49</sup> This is not surprising as stroke survivors and carers have different support needs.<sup>1,2</sup> Two interventions<sup>46,49</sup> delivered to survivor-carer dyads reduced depressive symptoms in carers by targeting carer needs (i.e., tailored support, educational resources). Carers often neglect their own needs, therefore self-care should be incorporated into future interventions.<sup>1,2</sup>

This is the first meta-analysis to assess the effectiveness of psychosocial interventions on depressive symptoms in stroke survivors, carers and survivor-carer dyads. Our meta-analysis showed that these interventions reduced depressive symptoms, compared to usual care, with a small Hedge's G effect size. Meta-analysis also showed that psychosocial interventions had a small effect on the depressive symptoms of carers, though half of the six trials had less than 40 participants; larger studies will be needed to confirm findings. We found no evidence that psychosocial interventions for carers reduce depressive symptoms in stroke survivors.

Depressive and anxiety symptoms are associated,<sup>53</sup> but the anxiety remains under-recognised and under-treated amongst stroke survivors.<sup>55</sup> Only one trial reduced anxiety symptoms in stroke survivors.<sup>22</sup> This trial recruited stroke survivors within a week of their stroke onset which may have affected the outcomes as clinical status rapidly improves within the first three to six months post-stroke.<sup>57,58</sup>

Although, our review found that interventions delivered to survivor-carer dyads decreased depressive symptoms among carers, 46,47,49 there was no evidence that they reduced anxiety symptoms. Interestingly, none of the included interventions delivered solely to carers included anxiety symptoms as an outcome of interest. This is of concern given that anxiety symptoms are at least as prevalent as depressive symptoms in carers. 59,60 These findings suggest that interventions need to be more effectively targeted at anxiety as well as depressive symptoms.

Quality of life was reported in five trials: three for stroke survivors stroke three for survivors, <sup>24,27,30</sup> one for carers<sup>36</sup> and one for survivor-carer dyads. <sup>47</sup> This concurs with previous systematic reviews concur that have also found that QoL is difficult to improve. <sup>1,3</sup> Two of the five trials<sup>27,30</sup> reported improved stroke survivor QoL<sup>27,30</sup> through discharge planning and community rehabilitation. Thus these components appear important and should be further investigated as means to promote QoL. Indeed, the absence of effective interventions to improve QoL among stroke survivors may reflect the complexity of the problems that stroke survivors face in enhancing the physical, psychological and social aspects of their health. <sup>61</sup>

Only one trial reported improvements in carer QoL.<sup>36</sup> In reality, stroke survivors and carers have very different experiences and needs post-stroke.<sup>1,3</sup> While stroke survivors QoL is often strongly impacted by physical functioning,<sup>62</sup> that of carers is often affected by the need to adjust to the carer role and a sense of uncertainty.<sup>63</sup> It is likely that the disparity between the experiences of stroke survivors and carers means that each population requires tailored interventions to address their needs. This may also explain why the intervention delivered to survivor-carer dyads improved the QoL stroke survivors but not carers.<sup>47</sup>

All six trials reporting on coping found improvements; two delivered to survivor-carer dyads, 46,47 and four delivered to carers. Theoretical frameworks were used by all trials

measuring coping<sup>35,37,38,50,46,47</sup> utilized such frameworks; this may have contributed to success and therefore should be incorporated into furture interventions.

#### Limitations

Currently, psychosocial interventions are not defined, delivered or evaluated according to a consistent definition; this makes the evaluation of these interventions complicated.<sup>5</sup> There remains some debate about how to define psychosocial interventions.<sup>5,8-10</sup> None-the-less, we maintain that psychosocial interventions must contain both psychological and social components<sup>5</sup> and ensured that the included trials reflected this definition.

Nine non-English publications were excluded; this may limit evidence-base and geographical representativeness. The quality of the trials in the meta-analysis measuring depressive symptoms in carers was moderate and that of the effectiveness of interventions for survivors and carers measuring depressive symptoms in stroke survivors was low. Likewise, 29 trials in the systematic review were assessed as having an unclear or high risk of bias in one or more domains of assessment.

#### **Conclusions**

Psychosocial interventions reduced depressive symptoms among stroke survivors and, to a lesser extent, their carers. There was limited evidence that such interventions improved anxiety symptoms, QoL and coping for stroke survivors and carers and no evidence that they improved self-efficacy, carer strain and carer satisfaction.

# **Declaration of conflicting of interests**

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Online supplemental materials								
Supplementary Figure. Meta-analysis funnel plots.								
Supplementary Figure. A, B & C about here								
Supplementary Table. Study characteristics								
Supplementary Table. about here								

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# **Tables**

 Table 1. Psychosocial components of interventions

Author/s	Personnel	Psychological	Social component	Topics	Individual/	Delivery	Intervention
	delivering the	component		addressed/componen	group/dyad	mode	duration
	intervention			ts			
Alexopoulos et	Therapist	Problem solving;	Family/social support	Problem solving;	Individual	In person	12wk
al., 2012 <sup>20</sup>		cognitive/behavioral		community support			
		support					
Bakas et al.,	Nurse	Problem solving;	Communication;	Behavioral support;	Individual	Telephone	8wk
200941		stress/behavioral	service links	service links			
		management					
Bakas et al.,	Nurse	Problem solving	Service links	Emotional/behavioral	Individual	Telephone	9wk
2015 <sup>40</sup>				support; skill building			
Bishop et al.,	Family	Psycho-education	Family resources	Problem solving;	Dyad	Telephone	6m
2014 <sup>43</sup>	therapist;			family functioning			

	nurse						
Cheng et al.	Nurse	Psycho-education;	Dyad support; social	Problem solving;	Dyad	Telephone,	26w
2018 <sup>50</sup>		coping skills training;	support	coping; social		in person	
		strengths-based		support; carer			
				competence			
Clark et al.,	Social worker	Counseling	Family functioning	Counseling	Dyad	In person	3.5m
2003 <sup>44</sup>							
Draper et al.,	Social worker;	Psycho-education	Communication	Service links; stress	Group	In person	4wk
2007 <sup>32</sup>	psychologist			management			
Ertel et al.,	Social worker;	Cognitive behavioural	Social support;	Self-efficacy; stress	Individual	In person	12wk
2007 <sup>21</sup>	psychologist	therapy	services links	management;	carer/ health		
				problem solving	professional		
Fang et al.,	Not stated	Problem solving;	Services links	Psycho-education;	Individual	In person	Not stated
2017 <sup>22</sup>		Psycho-education		stress management;			
				coping; problem			

				solving; service links			
Forster et al.,	Stroke	Problem solving	Healthcare/service	Goal setting; service	Individual	In person	Not stated
2015 <sup>31</sup>	coordinators		links	links			
Glass et al.,	Mental health	Self-efficacy	Family systems	Social/emotional/beh	Individual/	In person	6m
2004 <sup>23</sup>	worker; social			avioral support	carer/family		
	worker;						
	psychologist						
Goldberg et al.,	Psychiatrist;	Stress management	Community resources	Stress management;	Individual/dy	In person	Not stated
1997 <sup>45</sup>	psychologist;			problem solving;	ad		
	recreational			community links			
	therapist;						
	social worker						
Grant et al.,	Nurse	Social problem solving	Social problem solving	Problem solving;	Dyad	Telephone,	12wk
2002 <sup>33</sup>				family support		in person	
Harrington et	Rehabilitation	Goal setting	Social participation;	Peer support; goals	Group	In person	8wk

al., 2010 <sup>24</sup>	clinicians;		peer activities						
	psychologist								
Hartke et al.,	Psychologist;	Psycho-education	Communication	Dyad/emotional/beha	Group	Telephone	8wk		
2003 <sup>34</sup>	social worker;			viorial support;					
	nurse			community links					
Hoffmann et	Psychologist;	Cognitive behavioural	Family support	Intervention 1-	Individual	In person	8wk		
al., 2015 <sup>25</sup>	occupational	therapy; psycho-		Coping:					
	therapist	education;	education;		cognitive/behavioral				
		motivational		support; coping;					
		interviewing; coping		psycho-education					
				Intervention 2 - Self-					
				management:					
				problem solving;					
				services links					
Inci et al.,	Clinician	Problem solving;	Family support	Family support	Individual/gr	In person	10wk		

2016 <sup>35</sup>		psycho-education			oup		
Johnston et al.,	Clinician	Cognitive behavioural	Dyad support	Coping; self-	Individual	Telephone/in	5wk
2007 <sup>39</sup>		therapy; goal setting	pal setting manager			person	
				Cognitive behavioural			
				therapy; goal setting			
Kendall et al.,	Clinician	Problem solving; goal	Peer support;	Emotional support;	Group	In person	6wk
2007 <sup>26</sup>		setting	communication	service links			
Larson et al.,	Nurse	Psycho-education	Carer support	Peer support	Group	In person	6m
2005 <sup>36</sup>							
Markle-Reid et	Nurse;	Behavioral change;	Collaborative care;	Self-management	Individual	In person	<12m
al., 2011 <sup>27</sup>	rehabilitation	counseling	community/carer				
	clinicians;		support				
	social worker						
Mitchell et al.,	Nurse;	Problem solving;	Caregiver/social	Antidepressants; CBT;	Individual/ca	In person	8wk
2009 <sup>38</sup>	prescriber	motivational	support	social support	regiver		

		*****					
		interviewing					
Ostwald et al.,	Nurse;	Counseling	Community links	Coping; community	Dyad	Telephone/in	Psychosocial:
2014 <sup>46</sup>	occupational			links; stress		person	6m.
	therapist;			management			Information:
	physical						12m
	therapist						
Robinson-	Nurse	Problem solving;	Family/dyad	Counseling; goal	Dyad	In person	6 sessions
Smith et al.,		coping skills; self-	functioning	setting;			
2016 <sup>47</sup>		efficacy; counseling		communication;			
				social/dyad support			
Rodgers et al.,	Rehabilitation	Psycho-education;	Communication	Communication	Group/indivi	Telephon/in	6 sessions
1999 <sup>42</sup>	clinicians;	behavioral support			dual	person	
	nurse;						
	psychologist;						
	social worker;						

	carer group						
Shyu et al.	Nurses	Behavioral support;	Dyad support	Planning; emotional	Dyad/carer	Telephone	Hospital: 4-5
2010 <sup>48</sup>		counseling		support		/in person	sessions
							Telephone: 1
							session
							Home-based:
							1
							week/month
Smith et al.,	Nurse	Emotional support;	Dyad support;	Emotional/stress	Group	In person	Not stated
2012 <sup>49</sup>		psycho-education	peer/online forum	support			
van den Heuvel	Nurses	Problem solving	Dyad support	Problem solving; dyad	Group/indivi	In person	Groups: 8wk
et al., 2000 <sup>37</sup>				support; services links	dual		Home-based:
							8-10wk
van den Heuvel	Nurses	Problem solving	Dyad support	Problem solving; dyad	Group/indivi	In person	Groups: 8wk
et al., 2002 <sup>38</sup>				support; services links	dual		Home-based:

						8-10wk
Nurse;	Motivational	Social support	Counseling; social	Individual	In person	4wk
psychologist	interviewing		support; goal setting;			
			self-efficacy			
Nurse	Behavior support	Family support	Behavioral/emotional	Individual	In person	5wk
			/family/social support			
	psychologist	psychologist interviewing	psychologist interviewing	psychologist interviewing support; goal setting; self-efficacy  Nurse Behavior support Family support Behavioral/emotional	psychologist interviewing support; goal setting; self-efficacy  Nurse Behavior support Family support Behavioral/emotional Individual	psychologist interviewing support; goal setting; self-efficacy  Nurse Behavior support Family support Behavioral/emotional Individual In person

# Supplementary Table. Study characteristics

Reference	Country	Setting	Participants	Intervention	Control group	Time of	Relevant
				group		assessment	outcome
							measures
Alexopoulos et	USA	Hospital	SS depressed	SS Education and	SS Education	Pre-post	HAM-D, SIS
al., 2012 <sup>20</sup>		rehabilitation	(randomized	psychosocial	only (n=12;		
		and home-based	n=24)	counseling	mean age 69;		
				(n=12; mean age	female=5 [42%])		
				72; female=6			
				[50%])			
Bakas et al.,	USA	Via telephone	CG (randomized	SS Education and	CG Counseling	Pre-post	SSQOL-Pr, PHQ-
200941			n=40)	psychosocial	and active		9
				counseling	listening (n=19;		
				(n=12; mean age	mean age 58;		
				72; female=6	female=16		

				[50%])	[84%])		
Bakas et al.,	USA	Home-based	CG (randomized	CG Enhanced	CG UC plus	Pre-post	SSQOL-Pr, PHQ-
2015 <sup>40</sup>			n=254)	psychosocial	information and		9
				support (n=123;	active listening		
				mean age 54;	(n=131; mean		
				female 96 [78%])	age 54; female		
					103 [78%)		
Bishop et al.,	USA	Home-based	Dyad	Dyad	Dyad UC (n =26)	Pre-post	GDS
2014 <sup>43</sup>			(randomized	Psychosocial			
			n=49)	telecounseling			
				plus UC (n=23)			
Cheng et al.,	Hong Kong	Hospital and	Dyad	Dyad Enhanced	Dyad UC (n=64)	Pre-post	CES-D, PSI
2018 <sup>50</sup>		home-based	(randomized	psychosocial			
			n=128)	support (n=64)			
Clark et al.,	Australia	Hospital	Dyad	Dyad Post-	Dyad UC (n=30;	Pre-post	GDS, HADS

2003 <sup>44</sup>		rehabilitation	(randomized	discharge	mean age 71;		
		service	n=62)	education and	female n=11		
				counseling. SS	[37%]) and CG		
				(n=32; mean age	(mean age 69)		
				73; female n=13			
				[41%]) and CG			
				(mean age 71)			
Draper et al.,	Australia	Hospital	CG of aphasic SS	CG Enhanced	CG Wait list	Pre-post	GHQ, QLQ
2007 <sup>32</sup>		rehabilitation	(randomized	psychosocial	control (n=20;		
		service	n=39)	support (n=19;	mean age 60)		
				mean age 64)			
Ertel et al.,	USA	Hospital and	SS (randomized	SS Enhanced	SS UC and stroke	Pre-post	CES-D
2007 <sup>21</sup>		rehabilitation	n=291)	psychosocial	education		
		services		support (n=146;	(n=145; mean		
				mean age 69;	age 70;		
				female=71	female=71		

				[49%])	[49%])		
Fang et al.,	Singapore	Hospital	SS (randomized	SS Enhanced	SS UC (n=19)	Pre-post	HADS
2017 <sup>22</sup>			n=42)	psychosocial			
				support (n=23)			
Forster et al.,	UK	Home-based	Dyads and single	Dyad and single	Dyad and single	Pre-post	EQ-5D
2015 <sup>31</sup>			SS (randomized	SS Longer term	SS UC SS (n=399;		
			SS n=800 and CG	stroke care. SS	mean age 72;		
			n=208)	(n=401; mean	female n=181		
				age 71;	[45%]) and CG		
				female=186	(n=100; mean		
				[46%]) and CG	age 61; female		
				(n=108; mean	68 [68%])		
				age 61;			
				female=73			
				[68%])			

Glass et al.,	USA	Home-based	SS (randomized	SS Enhanced	SS UC and	Pre-post	CES-D, REFFI,
2004 <sup>23</sup>			n=291)	psychosocial	standard stroke		QoL 5-item scale
				support (n=143;	information		
				mean age 69;	(n=141; mean		
				female n= 70	age 70; female		
				[48%])	n=70 [50%])		
Goldberg et al.,	USA	Home-based	Dyad	Dyad Case	Dyad UC (n=20;	Pre-post	CES-D, QoL scale
199745			(randomized	management	median age 72;		not specified
			n=41)	post-discharge	female n=9		
				(n=21; median	[45%])		
				age 72; female			
				n=11 [52%])			
Grant et al.,	USA	Home-based	CG (randomized	CG Enhanced	CG Sham	Pre-post	CSQ, CES-D
2002 <sup>33</sup>			n=74)	psychosocial	intervention OR		
				support	UC		

Harrington et al.,	UK	Leisure and	SS (randomized	SS Exercise and	SS UC (n=124;	Pre-post	WHOQoL-Bref,
2010 <sup>24</sup>		community	n=243)	education	mean age70;		HADS
		centers		program (n=119;	female n=57		
				mean age 71;	[46%])		
				female n=54			
				[45%])			
Hartke et al.,	USA	Home-based	CG (randomized	CG Enhanced	CG UC (n=45;	Pre-post	CES-D, BI
2003 <sup>34</sup>			n=88)	psychosocial	mean age 70;		
				support (n=43;	female n=35		
				mean age 70;	[78%])		
				female n=32			
				[74%])			
Hoffmann et al.,	Australia	Hospital and	SS (randomized	SS Coping skills	SS UC (n=10;	Pre-post	MADRS, HADS,
2015 <sup>25</sup>		home-based	n=33)	(n=11; mean age	mean age 57;		SAQOL-g, SSEQ
				64; female n=4	female n=4		
				[36%]) OR SS	[40%])		

				self-			
				management			
				(n=12; mean age			
				61; female n=3			
				[25%])			
Inci et al., 2016 <sup>35</sup>	Turkey	Home-based and	CG (randomized	CG enhanced	CG UC (n=36;	Pre-post	FCCI
		community	n=70)	psychosocial-	female n=36		
				based program	[100%])		
				(n=34; female			
				n=34 [100%])			
Johnston et al.,	UK	Home-based and	Dyad and single	Dyad and single	Dyad and single	Pre-post	HADS,
2007 <sup>39</sup>		via telephone	CG (randomized	CG Workbook-	CG SS UC (n=100;		Satisfaction with
			SS n=203 and CG	based	mean age 69;		Services Scale
			n=not stated)	psychosocial	female n=39		
				support ( n=103;	[39%]) and CG		
				mean age 69;	(mean age 61)		

				female n=40			
				[39%]) and CG			
				(mean age 63)			
Kendall et al.,	Australia	Community	SS (randomized	SS Enhanced	SS UC (n=42;	Post	SSQOL, SE Scale
2007 <sup>26</sup>			n=100)	psychosocial	mean age 66;		
				support (n=58;	female n=16		
				mean age 66;	[38%])		
				female n=17			
				[29%])			
Larson et al.,	Sweden	Hospital	CG spousal	CG Enhanced	CG UC (n=50)	Pre-post	Visual analogue
2005 <sup>36</sup>			(randomized	psychosocial			scale
			n=100)	support with			
				education and			
				telephone			
				support (n=50)			

Markle-Reid et	Canada	Home-based and	SS (randomized	SS Specialist	SS Community-	Pre-post	SIS, CES-D, K-10,
al., 2011 <sup>27</sup>		community	n=101)	interdisciplinary	based stroke		SF-36
				support (n=43;	rehabilitation		
				mean age 76;	with usual		
				female n=22	homecare		
				[51%])	services (n=39;		
					mean age 71;		
					female n=15		
					[38%])		
Mitchell et al.,	USA	Community	SS depressed	SS Brief	SS UC plus	Pre-post	HRSD, GDS
2009 <sup>38</sup>			(randomized	psychosocial	antidepressant		
			n=101)	behavioral	(n=53; mean age		
				intervention plus	57; female n=21		
				antidepressant	[40%])		
				(n=48; mean age			
				57; female n=19			

				[40%])			
Ostwald et al.,	USA	Home-based	Dyad	Dyad Brief	Dyad	Pre-post	F-COPES, GDS
2014 <sup>46</sup>			(randomized	psychosocial	Information plus		
			n=159 dyads)	behavioral and	UC SS (n=79;		
				information SS	mean age 66;		
				(n=80; mean age	female n=15		
				67; female n=25	[19%]) and CG		
				[31%]) and CG	(n=79; mean age		
				(n=80; mean age	61; Female n=64		
				64; female n=55	[81%])		
				[69%])			
Robinson-Smith	USA	Home-based	Dyads	Dyad Enhanced	Dyad UC SS	Pre-post	DCI, QLI-stroke,
et al., 2016 <sup>47</sup>			(randomized	psychosocial	(n=5; mean age		SUPPH, CES-D
			n=10 dyads)	program. SS	77; female n=2		
				(n=5; mean age	[40%]) and CG		
				65; female n=2	(n=5; mean age		

				[40%]) and CG	76; female n=2		
				(n=5; mean age	[40%])		
				65; female n=2			
				[40%])			
Rodgers et al.,	UK	Hospital	Dyad	Dyad Education	Dyad UC	Pre-post	HADS,
1999 <sup>42</sup>			(randomized SS	and enhanced	Information and		Satisfaction
			n=204 and CG	psychosocial	hotline phone		Questionnaire
			n=176)	support SS	number SS		
				(n=121; median	(n=83; median		
				age 74; female	age 76; female		
				n=62 [51%]) and	n=45 [54%]) and		
				CG (n=107;	CG (n=69;		
				median age 58;	median age 60;		
				female n=75	female n=46		
				[70%])	[67%])		
Shyu et al.,	Taiwan	Hospital and	Dyads	CG discharge	Dyads UC SS	Post	SF-36

2010 <sup>48</sup>		home-based	(randomized	preparation	(n=86; mean age		
			n=158)	program SS	74; female n=41		
				(n=72; mean age	[48%])		
				74; female n=44			
				[61%])			
Smith et al.,	USA	Internet-based	Dyad, male SS	Dyad online	Dyad Limited	Pre-post	CES-D, PHQ-9
2012 <sup>49</sup>			(randomized SS	information SS	online resources		
			n=38 and female	(n=15; mean age	SS (n=17; mean		
			CG n=38)	60; female n=0	age 59; female		
				[0%]) and CG	n=0 [0%]) CG		
				(n=15; M age 55;	(n=17; M age 55;		
				female n=15	female n=17		
				[100%])	[100%])		
van den Heuvel	The Netherlands	Home-based and	CG (randomized	CG group	CG UC (n=42;	Pre-post	Utrecht Coping
et al., 2000 <sup>37</sup>		community	n=212)	education	mean age 61;		list, CSI, custom
_				(n=110; mean	female n=30		efficacy

				age 66; female	[71%])		questionnaire
				n=82 [75%]) OR			
				CG home-based			
				stroke education			
				(n=60; mean age			
				63; female n=43			
				[73%])			
van den Heuvel	The Netherlands	Home-based and	CG (randomized	CG group	CG UC (n=42;	Pre-post	Utrecht Coping
et al., 2002 <sup>38</sup>		community	n=212)	education	mean age 61;		List
				(n=110; mean	female n=30		
				age 66; female	[71%])		
				n= 82 [75%]) or			
				CG home-based			
				stroke education			
				(n=60; mean age			
				63; female n=42			

				[71%])			
Watkins et al.,	UK	Hospital and	SS (randomized	SS Motivational	SS UC n=207;	Pre-post	Yale Depression
2007 <sup>29</sup>		home-based	n=411)	interviewing	median age 70;		Screen
				(n=204; median	female n=85		
				age 70; female	[41%])		
				n=86 [40%])			
Wong et al.,	Hong Kong	Hospital and	SS (randomized	SS Transitional	SS UC (n=54;	Pre-post	CES-D-HK, WHO-
2015 <sup>30</sup>		home-based	n=108)	care program	mean age 72;		QOL- SRPB
				(n=54; mean age	female n=34		
				66; female n=34	[63%])		
				[63%])			

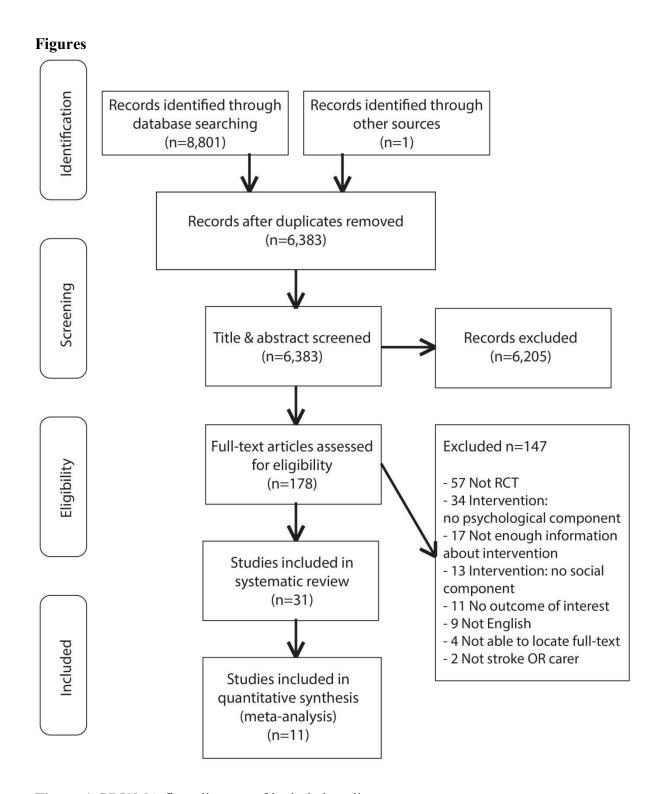


Figure 1. PRISMA flow diagram of included studies

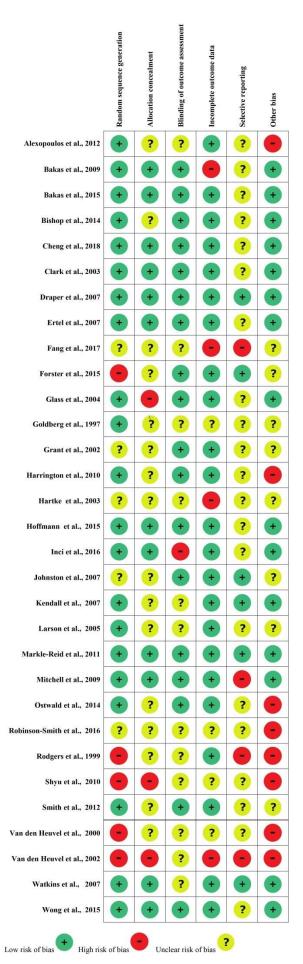
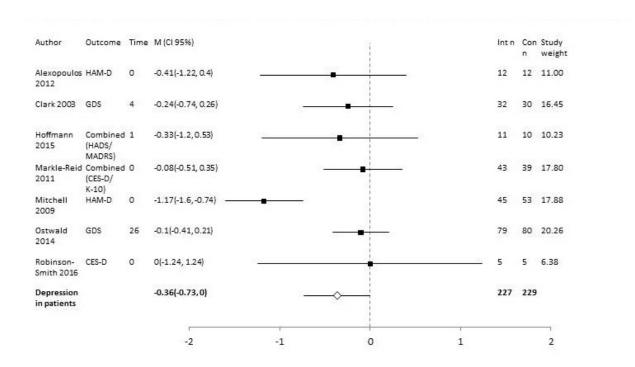
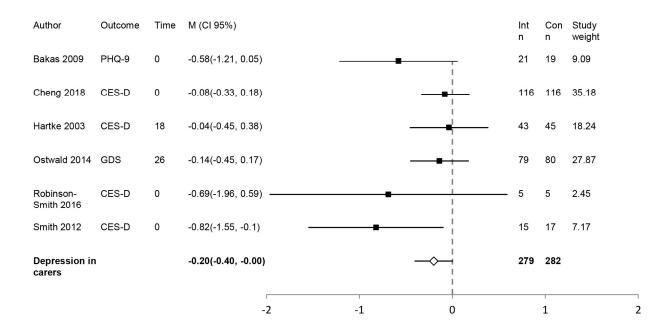


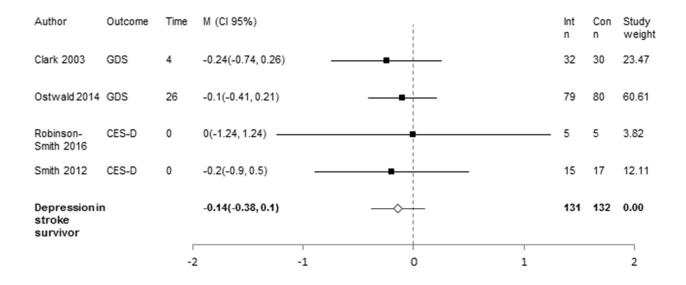
Figure 2. Risk of bias



**Figure 3.** Forest plot - Interventions delivered to stroke survivors or dyads and depressive symptoms measured in stroke survivors

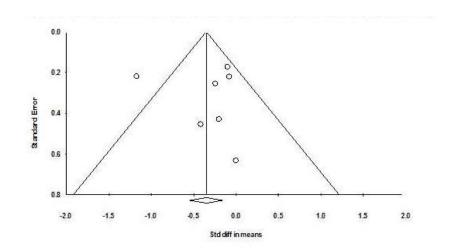


**Figure 4.** Forest plot - Interventions delivered to carers or dyads and depressive symptoms measured in carers

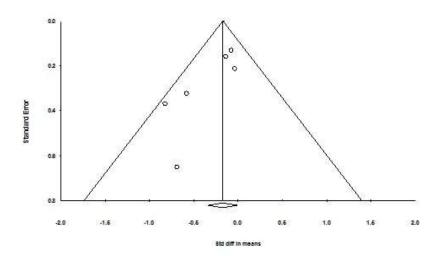


**Figure 5.** Forest plot - Interventions delivered to carers or dyads and depressive symptoms measured in stroke survivors

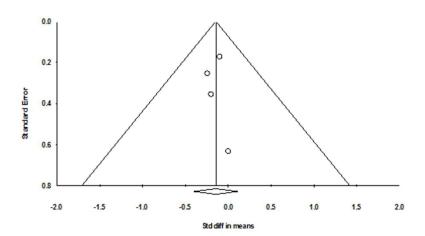
## Supplementary Figure. Meta-analysis funnel plots



**Supplementary Figure A.** Funnel plot - Interventions delivered to stroke survivors or dyads and depressive symptoms measured in stroke survivors



**Supplementary Figure B.** Funnel plot - Interventions delivered to carers or dyads and depressive symptoms measured in carers



**Supplementary Figure C.** Forest plot - Interventions delivered to carers or dyads and depressive symptoms measured in stroke survivors