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Journal article

Assessing temporal variations of Ammonia Nitrogen concentrations and loads in the Huaihe River Basin in relation to policies on pollution source control

Xu, Jing, Jin, Guangqiu, Tang, Hongwu, Zhang, Pei, Wang, Shen, Wang, You-Gan and Li, Ling

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1 **Temporal patterns in concentration, loads and**
2 **sources apportionment of Ammonia Nitrogen in the**
3 **Middle Reaches of Huaihe River, from 1998 to 2013**

4 Jing Xu ^{1,2}, Guangqiu Jin ^{1,2*}, Hongwu Tang ^{1,2}, Pei Zhang ^{1,2}, **Shen Wang,**

5 You-Gan Wang ⁴, Ling Li ^{1,3}, D. A. Barry ⁵

6 ¹ State Key Laboratory of Hydrology-Water Resources and Hydraulic Engineering,

7 Hohai University, Nanjing, China

8 Emails: xujinghhu@hhu.edu.cn, jingq@hhu.edu.cn, hwtang@hhu.edu.cn

9 ² Centre for Eco-Environmental Modelling, College of Water Conservancy and

10 Hydropower Engineering, Hohai University, Nanjing, China

11 ³ School of Civil Engineering, University of Queensland, Queensland, Australia

12 Email: l.li@uq.edu.au

13 ⁴ School of Mathematical Sciences, Queensland University of Technology,

14 Queensland, Australia

15 Email: you-gan.wang@qut.edu.au

16 ⁵ Laboratoire de technologie écologique, Institut d'ingénierie de l'environnement,

17 Faculté de l'environnement naturel, architectural et construit (ENAC), Ecole

18 Polytechnique Fédérale de Lausanne (EPFL), Station 2, 1015 Lausanne, Switzerland

19 Email: andrew.barry@epfl.ch

20

21 **Abstract**

22 The Huaihe River Basin (HRB) is an important basin in eastern China which has
23 been suffering from serious water pollution. In this paper, we analyzed the temporal
24 patterns of ammonia nitrogen (AN) concentration, loads and sources apportionment in
25 the middle reaches of Huaihe River (MRHR), including main stream of Huaihe River
26 (MSHR) and two major tributaries, Shaying River (SR) and Guo River (GR), from 1998
27 to 2013. A modified log-linear model that takes the specific seasonal patterns of the
28 MRHR into account has been developed. The modification is necessary to account for
29 the decreasing ranges and amplitudes of the seasonal cycles over the years which are
30 pretty important in the MRHR but were neglected in the former research. This model
31 also plays an important role in obtaining regular daily AN concentration value from the
32 irregular sampled concentration data for total loads estimation. The AN concentration
33 showed a significant decrease while the annual decline rate ranged from 8.3% to 10.5%
34 in the MSHR and more than 17% in the SR and GR. However, rebounds existed in AN
35 flow-weighted concentration in the first year of all Five-Year Plans (measures for
36 controlling water pollution). The AN loads showed a decreasing tendency but some
37 rebounds occurred in the MSHR which mainly related to magnitude changes in annual
38 flow. The AN loads from point sources had decreased from 50~81% to 3~13% in the
39 MSHR and dropped from 74~93% to 3~28% in the SR and GR. Besides, extreme high
40 AN concentration values (sudden water pollution accidents) usually occurred in wet
41 seasons. So, in order to further decrease the AN concentration, governments could have
42 more initiatives in making stricter upper limitation of contaminants loads during wet

43 seasons, implementing measures for controlling water pollution continuously, reducing
44 non-point sources pollution, carrying out scientific sluice regulation and joint pollution
45 prevention programs in future measures for controlling water pollution.

46 **Keywords:** Middle Reaches of Huaihe River, Ammonia Nitrogen, modified log-
47 linear model, temporal patterns, measures for controlling water pollution

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65 **1 Introduction**

66 Degradation of water quality resulting from excessive contaminants loads which
67 are far more than water environment capacity has become a global problem and causes
68 serious consequences, like eutrophication, acidification and hypoxia [*Bocaniov and*
69 *Scavia, 2016; Park et al., 2015; Williams et al., 2015*]. The Huaihe River Basin (HRB)
70 which is an important basin in eastern China has been suffering from severe water
71 pollution [*Wang et al., 2014*] mainly due to seasonal water shortage and anthropogenic
72 activities like rapid urbanization, intensive industrial and agricultural activities, and
73 changes in land use/ land cover (LULC) [*He et al., 2015; Water pollution prevention*
74 *and control plan for the Huai River Basin (1997-2000), 1996*]. Several large-scale water
75 pollution accidents happened in the HRB and approximate 10 million residents were
76 suffering from unsafe drinking water [*Jiang et al., 2011*], especially from 1992 to 1995
77 [*Chu, 1993; Zhao, 2015; Zhu, 1992*]. Besides, the HRB is the place where has highest
78 cancer incidence in China [*Tian et al., 2013; Zhang et al., 2013*]. All these posed
79 obstacles in regionally social and economic development and drew governments' great
80 concerns. Therefore, measures started to carry out to combat with water pollution in the
81 HRB in 1997, called ninth (1997~2000), tenth (2001~2005), eleventh (2006~2010) and
82 twelfth (2011~2015) Five-Year Plan (FYP) separately. During this period, several
83 measures were applied to reduce point sources emission, such as close highly polluted
84 factories, construct sewage treatment plants and set seasonally upper limitation of
85 contaminants loads that are allowed to discharge into water body [*Water pollution*
86 *prevention and control plan for the Huai River Basin (1997-2000), 1996; Water*

87 *pollution prevention and control plan for the Huai River Basin (2001-2005)*, 2000;
88 *Water pollution prevention and control plan for the Huai River Basin (2006-2010)*,
89 2006; *Water pollution prevention and control plan for the Huai River Basin (2011-2015)*,
90 2010].

91 Previous research found that water quality has improved significantly in the HRB
92 after 2000s due to implementation of measures for controlling water pollution [*Dou et*
93 *al.*, 2016; *Tong et al.*, 2015]. Meanwhile, ammonia nitrogen (AN) has become the most
94 severe pollution in the HRB, especially in two major tributaries, Shaying River (SR)
95 and Guo River (GR) [*Dou et al.*, 2016; *Jiang et al.*, 2011; *Liu*, 2009; *Zhai et al.*, 2014].
96 Considering that many tributaries flow into the middle reaches of the Huaihe River
97 (MRHR) and this area is intensively interrupted by anthropogenic activities [*Zhai et al.*,
98 2014], we focused on analyzing temporal patterns of AN in the MRHR in this paper,
99 including the main stream of Huaihe River (MSHR) and two major tributaries, SR and
100 GR. However, the previous research had a huge deficiency in that they analyzed the
101 original water quality dataset directly and ignored biases that may cause because it is a
102 common sense that samples tend to be collected more frequently during wet-seasons
103 and sudden water pollution accidents [*Wang and Tian*, 2013; *Wang et al.*, 2011]. This
104 means that we should find an appropriate model to regress irregular sampling data to
105 obtain interpolated daily concentration data before analyzing. Model to describe the
106 temporal patterns of water quality concentration considering long-term trend,
107 seasonality of concentration data was firstly developed as a log-linear model based on
108 the ‘rating curves’ [*Colby*, 1956; *Miller*, 1951; *Thompson*, 1987; *Young and Depinto*,

109 1988], then the seven-parameter model was proposed [*Cohn et al.*, 1992]. In order to
110 consider the influence of historical flow, the conception of average discounted flow
111 (ADF) was proposed [*Wang and Tian*, 2013; *Wang et al.*, 2011]. *Zhang and Ball* [2017]
112 found that the effectiveness of ADF is superior that other terms considering the
113 historical influence of flow in nutrients loads estimation. However, because of
114 significant decrease of AN concentration and complex seasonal patterns due to
115 intensive anthropogenic activities [*Dou et al.*, 2016; *Zhai et al.*, 2014], previous log-
116 linear model may not be sufficient to describe temporal patterns of AN concentration
117 in the HRB. In order to develop the modified model, the Seasonal Trend decomposition
118 using Loess (STL) [*Cleveland et al.*, 1990] method will be used to extract seasonal
119 component, which is used widely in analysis of nutrient concentration [*Qian et al.*, 2000;
120 *Stow et al.*, 2015; *Stow et al.*, 2014; *Wan et al.*, 2017], suspended sediment
121 concentration [*Lamon et al.*, 2004] and water level [*Sellinger et al.*, 2008; *Shamsudduha*
122 *et al.*, 2009]. Then seasonal periods were extracted by the Fast Fourier Transform (FFT)
123 [*Iwashita and Shimamura*, 2003], which is the computationally efficient means to
124 calculate the Discrete Fourier Transform (DFT) [*Dilmaghani et al.*, 2007].

125 The objects of this paper are to (1) develop a modified log-linear model which
126 incorporates varying seasonal patterns of AN concentration in the MRHR for the
127 purpose of obtaining daily and regular concentration values from the AN concentration
128 data sampled irregularly to obtain interpolated daily values; (2) analyze temporal
129 patterns in AN concentration, loads and sources apportionment from 1998 to 2013 in
130 the MRHR; (3) evaluate the effectiveness and weakness in measures for controlling AN

131 pollution in the MRHR. Our research is expected to provide a scientific basis for
132 implementation of measures for controlling water pollution in future and guarantee
133 water security and sustainable development in the MRHR.

134 **2 Materials and methods**

135 **2.1 Study area and sampling stations**

136 Huaihe River is one of the most important rivers in eastern China, locating between
137 latitudes 30°~36°N and longitudes 111°~121°E, with the basin area of 270,000 km².
138 Huaihe River originates from the Tongbai Mountain in Henan Province, passing Henan,
139 Anhui and Jiangsu Province from west to east before flowing into the Hongze Lake
140 [Zhai *et al.*, 2014; Zhang *et al.*, 2013]. The research area in this paper is the middle
141 reaches of Huaihe River (MRHR), including main stream of Huaihe River (MSHR)
142 and two major tributaries, Shaying River (SR) and Guo River (GR) (Fig. 1).

143 There are ten monitoring stations in the MRHR which have weekly or monthly
144 sampling AN concentration dataset and daily flow dataset. The time scale of AN
145 concentration in S5, S8, S9 and S10 is from 2003 to 2013 which is from 1998 to 2013
146 in other stations (Table 1). As flow data series is long enough to match with the
147 concentration data, research period in this paper is selected to be from 1998 (or 2003)
148 to 2013. AN concentration dataset used in this paper was provided by monitoring center
149 of Huai River Water Resources Protection Bureau and flow dataset was from
150 hydrographic office of Huaihe River Basin Commission. The concentration of AN was
151 tested by the national standard of water quality testing [*Water quality-Determination of*
152 *ammonia nitrogen-Distillation-neutralization titration*, 2010]. Besides, mass of AN

153 from sewage outfall was available during the period from 2000 to 2013, including
154 industrial and urban domestic sewage discharge.

155 **2.2 Methods**

156 In order to describe the specific seasonality of AN concentration in the MRHR to
157 develop the modified log-linear regression model, the seasonal component of AN
158 concentration should be analyzed first. The Seasonal Trend decomposition using Loess
159 (STL) [Cleveland *et al.*, 1990] method was used to extract long-term trend and
160 seasonality of AN concentration. The STL method is an iterative nonparametric
161 procedure based on a locally weighted regression (LOESS) [Cleveland, 1979;
162 Cleveland and Devlin, 1988] to extract the low-frequency long-term tendency, high-
163 frequency seasonality and residuals components from time series. It consists of inner
164 loops and outer loops. The trend component is decomposed by a continuous loess line
165 and seasonal component is decomposed by 12 month-specific loess line in inner loops.
166 Robust estimates are used in outer loops to keep the long-term trend and seasonal
167 components away from being distorted by outliers. The iterative fitting ends when all
168 components are convergent. By using the STL model, observed concentration values
169 can be decomposed as:

$$170 \quad C = C_T + C_S + C_R \quad (1)$$

171 where C is value of monthly average of observed concentration (mg L^{-1}), C_T is trend
172 component (mg L^{-1}), C_S is seasonal component (mg L^{-1}), C_R is residual which cannot
173 be explained by long-term tendency and seasonality (mg L^{-1}). There are two smooth
174 parameters that represent the window widths of trend and seasonal components. In this

175 paper, seasonal window was chosen to be 21 and trend window to be 9 (time series from
 176 2003 to 2013) or 11 (time series from 1998 to 2013) following the suggestions in
 177 *Cleveland et al.* [1990]. However, the STL fails to provide a useful way to test whether
 178 the increase or decrease tendency in the long-term trend component is significant, thus
 179 we will use the analysis of variance (ANOVA) and Student's t test to compensate.

180 The Fast Fourier Transform (FFT) [*Iwashita and Shimamura, 2003*] was used to
 181 obtain seasonal periods from seasonal component of AN concentration extracted from
 182 the STL. The FFT is the most efficient way to calculate the Discrete Fourier Transform
 183 (DFT) in computers, which can reveal periods (1/frequencies) and relative strengths
 184 (amplitudes) of corresponding periods. In spectrograms, seasonal periods can be
 185 determined by the frequencies corresponded to peak points. However, in order to reach
 186 a compromise between accuracy and complexity of the modified model, amplitudes of
 187 all peak points in each station was ordered and these frequencies whose amplitudes
 188 account for 80% of total amplitudes (Fig. 3) were chosen and included in the modified
 189 model.

190 Our modified log-linear model was based on the model from *Wang and Tian* [2013]:

$$191 \ln(C) = \beta_0 + \beta_1 \ln(\text{ADF}) + \beta_2 \ln(Q) + \beta_3 [\ln(Q)]^2 + \beta_4 \sin(2\pi T) + \beta_5 \cos(2\pi T) \quad (2)$$

$$+ \beta_6 \sin(4\pi T) + \beta_7 \cos(4\pi T) + \varepsilon$$

192 where Q is the flow ($\text{m}^3 \text{s}^{-1}$), T is time (year), β_i is fitting coefficient, and ε is the
 193 error which is independent and normally distributed with zero mean.

194 The ADF is defined as:

$$195 \text{ADF}(d) = \frac{(Q_j + dQ_{j-1} + \dots + d^{j-1}Q_1)}{(1 + d + \dots + d^{j-1})}, \quad (3)$$

196 where Q_1 to Q_j represents the flow ($\text{m}^3 \text{s}^{-1}$) in day 1 to day j , and d is the discount
 197 factor ranging from 0.1 to 1, large d values indicate long lagged effects from the history
 198 flow. *Wang and Tian* [2013] suggested that d set to be 0.97/day in their data analysis.

199 Our modified model includes decrease trend and complex periods in seasonal
 200 component of AN concentration:

$$201 \quad \ln(C) = \beta_0 + \beta_1 \ln(\text{ADF}) + \beta_2 \ln(Q) + \beta_3 [\ln(Q)]^2 + \beta_4 T + \quad (4)$$

$$202 \quad (\beta_5 T + \beta_6) \times \left\{ \sum_{i=1}^k [\beta_{5+2i} \sin(2i \times \pi T) + \beta_{6+2i} \cos(2i \times \pi T)] \right\} + \varepsilon$$

202 Here the index i taking values 1, 2 and 3 representing periods of 12, 6 and 4-month,
 203 respectively. In our analysis, k is determined by the observed seasonal trends and it
 204 varies from station to station.

205 In this paper, Pearson's correlation coefficient ρ , adjusted R^2 (taking account of
 206 the number of parameters in the model [*Wherry*, 1931]) are used to evaluate the
 207 goodness-of-fit of linear models. Non-linear models are evaluated by Nash-Sutcliffe
 208 efficiency coefficients (NSE) [*Nash and Sutcliffe*, 1970]. According to guidelines
 209 [*Moriasi et al.*, 2007], $\text{NSE} > 0.5$ indicates that model is acceptable. Outliers in
 210 regression were tested by Cook's distance and DFFITS criterion. If Cook's distance or

211 DFFITS criterion of day i is larger than $\frac{4}{n-k-1}$ or $\sqrt{\frac{2(k+1)}{n}}$, the concentration in

212 day i is considered as an outlier. All analysis was performed in R.3.2.3, which is a well-
 213 known program in statistical analysis.

214 **3 Results and discussion**

215 **3.1 Long-term tendency and seasonality of AN concentration**

216 Trend, seasonal and residuals components were obtained from average monthly AN

217 concentration decomposed by the STL method. In Figure 2, the four panels are average
218 monthly AN concentration, trend, seasonality, and residuals from left to right
219 respectively.

220 Trend components in all stations present a decrease tendency while obvious high
221 concentration period happened in S1 in 1999, S3 in 2003 and S5 in 2007, which is
222 similar to the results in *Dou et al.* [2016] that AN concentration had fluctuations in early
223 years. The three high concentration could be caused by low flow [*Liu, 2009; Tong et al.,*
224 *2015*]. According to *Water Resources Bulletin in the Huaihe River Basin* [1999], rainfall
225 in wet season in 1999 was less than that in normal years by 43%. Thus, without enough
226 flow to dilute, extreme high concentration happened when wastewater containing high
227 AN concentration discharged into the MRHR.

228 Seasonal components in all stations displayed complex periods while the most
229 remarkable period is 12-month period. The FFT was used to extract periods in
230 seasonality. According to spectrograms (Fig. 3), frequencies whose amplitudes account
231 for 80% of total were chosen in each station and turned to periods ($1/\text{frequency}$) (Table
232 2). The most remarkably 12-month period in all stations can be seen as fundamental,
233 and different stations had different periods in harmonics. Two reasons can explain the
234 complex seasonal periods in AN concentration. Firstly, measures for controlling water
235 pollution focused on decline point sources emission like set seasonally upper limitation
236 of contaminants loads that are allowed to discharge into water body [*Water pollution*
237 *prevention and control plan for the Huai River Basin (1997-2000)*, 1996; *Water*
238 *pollution prevention and control plan for the Huai River Basin (2001-2005)*, 2000;

239 *Water pollution prevention and control plan for the Huai River Basin (2006-2010)*,
240 *2006; Water pollution prevention and control plan for the Huai River Basin (2011-2015)*,
241 *2010*], which caused anthropogenic seasonal periods in AN concentration. Second,
242 fertilizing nitrogen is mostly applied in spring and autumn during when are growth
243 seasons in the MRHR. Moreover, flow has seasonal distribution in the HRB which can
244 dilute AN concentration in water body [*Dou et al.*, 2016] and can carry AN loads from
245 non-point sources. In addition, nature log transform of seasonal amplitudes displayed
246 monotonous decrease trend in all stations except S3, S5, and S9 (Fig. 4). Therefore, AN
247 concentration dataset in these three stations will be separated into two part at 2006,
248 2008 and 2008 respectively in regression.

249 Residuals components centered at 0 except the conditions that extreme high
250 concentration happened. These extreme values in concentration may be caused by
251 sudden water pollution accidents, which happened randomly and cannot be explained
252 by long-term trend and seasonality.

253 **3.2 Regression Analysis**

254 The modified log-linear model (Eq. 4) was used to regress and interpolate
255 sampling data to get daily AN concentration. Since detection limit (DL) of AN
256 concentration is 0.025 mg L^{-1} and there are three values below DL in S2, one value in
257 S5, S6 and S7, these data were replaced by 0.0125 mg L^{-1} and 0.025 mg L^{-1} separately
258 in regression. The interpolated daily concentration values are pretty close and Pearson's
259 correlation coefficients ρ are all higher than 0.999. Thus, the concentration values

260 below the DL were chosen to be replaced by 0.0125 mg L^{-1} in this paper.

261 Compared the goodness of fit of the previous model (Eq. 2) and modified model
262 (Eq. 4), an increase was found in adjusted R^2 from 0.530 ± 0.156 to 0.609 ± 0.120
263 (Table 2). Pearson's correlation coefficients ρ between observed and predicted values
264 are from 0.64 to 0.87. Therefore, modified log-linear model can be judged to be satisfied
265 and predicted daily concentration value is reliable for following analysis.

266 According to Cook's distance and DFFITS criterion, several outliers in regressions
267 were found (Table 3). These outliers have the same feature that all observed values were
268 remarkably higher than predicted ones, so it indicates that the outliers were caused by
269 sudden water pollution accidents. There are two outliers happening in March in S1, S9
270 and one outlier in November in S8, during when are dry seasons in the HRB, while the
271 others outliers all happened in wet seasons (May to September) in the HRB. According
272 to the daily flow corresponding to the three outliers, it is reasonable to judge that these
273 three extremely high values were the results of low flow, and a similar conclusion was
274 found in *Liu [2009]* and *Tong et al. [2015]*. *Hagemann and Park [2017]* found that semi-
275 parametric regression models fail to recognize the great effects of diluting contaminants
276 under extremely high flow and tend to overpredict concentrations. This means that these
277 outliers could be even more abnormal in wet seasons. Two reasons were responsible for
278 sudden water accidents usually happened in wet seasons. Firstly, excess AN was
279 discharged into water body during wet seasons. Strict seasonally upper limitation of AN
280 loads from point sources were carried out in dry seasons. As high discharge can dilute
281 AN concentration, limitation controls tend to relax a little in wet seasons. Also, some

282 factories inclined to discharge wastewater without permission or beyond limitation
283 during wet seasons because they thought magnitude of flow in this season is large
284 enough to dilute highly polluted wastewater without causing water pollution accidents
285 [Sun and Yang, 2013]. For example, according to *Water Resources Bulletin in the*
286 *Huaihe River Basin* [1998], the outliers happening in S2, S3 and S4 in May 25, 1998
287 was caused by some factories in tributaries failed to meet the upper limitation of
288 contaminants loads that allowed to discharge into waterbody. Then high polluted water
289 flow into the MSHR caused sudden water pollution accident in S2, S3, S4 (fig. 6(a)).
290 Second reason is that there are many sluices existing in the HRB to combat with water
291 shortage condition in this area. Sluices were usually closed to guarantee water supply
292 in dry seasons. Thus, highly polluted water was more likely to accumulate before these
293 closed sluices. When wet seasons come and sluices open to discharge flood, highly
294 polluted water was instantaneously discharged and caused sudden pollution accidents
295 [Jiang et al., 2011; Zhai et al., 2014]. It also can be proved by daily flow values in the
296 time when outliers happened. For example, in S5, the flow value in 2007/7/16 was 1230
297 $\text{m}^3 \text{s}^{-1}$ and it was the first flood at that year, while the previous five-day-average flow
298 was $336 \text{ m}^3 \text{ s}^{-1}$ (fig. 6(b)). In S6, the flow value in 2010/9/9 was $2740 \text{ m}^3 \text{ s}^{-1}$ and it was
299 a flood peak, while the previous five-day-average flow was $866 \text{ m}^3 \text{ s}^{-1}$ (fig. 6(c)). This
300 indicates that the outliers that happened in S5 and S6 were caused by discharging of
301 large amount of flow containing high polluted contaminants. Therefore, in order to
302 prevent happening of sudden water pollution accidents, stricter limitation of AN loads
303 from sewage outfall and more rigorous monitoring of wastewater discharging from

304 factories should be carried out by officials during wet seasons. Scientific sluice
305 regulation and joint pollution prevention programs that consider both water quantity
306 and quality [Zhai *et al.*, 2014] are also important in preventing sudden water accidents.

307 **3.3 Temporal patterns of AN concentration**

308 In order to test whether significant decrease of AN concentration had happened
309 between each FYP, interpolated daily AN concentration dataset was separated into four
310 parts according to time scale of each FYP. Variance of AN concentration in four parts
311 was calculated and average AN concentration during each FYP was significantly
312 unequal ($p < 2 \times 10^{-16}$). Therefore, Student's t test was conducted for three times during
313 four FYPs in each station to detect whether decrease in AN concentration is significant
314 between each two FYPs. In order to reduce the probability of making a type I error, the
315 Bonferroni method [Qian, 2010] was used to modify the α level:

$$316 \quad \alpha_t = \frac{\alpha}{M}, \quad (5)$$

317 where α is origin level, M is the times conducting the Student's t test. In this case,
318 because Student's t test was conducted for three times, α was set to be 0.05, so α_t
319 was chosen to be 0.017.

320 In Table 4, the AN concentration in the SR and GR was largely higher than the
321 MSHR during the ninth and tenth FYPs. With applying of measures for controlling
322 water pollution, AN concentration had decreased remarkably in the MRHR. Gaps
323 between MSHR and two major tributaries were narrowed. By the twelfth FYP, the
324 largest gap was only 2mg/L because of huge decrease in AN concentration happening

325 in the SR and GR. Decrease in AN concentration was significant ($p<0.017$) only
326 between tenth and eleventh FYP in the MSHR and was significant ($p<0.017$) between
327 all FYPs in two major tributaries, which is corresponding to the results in *Zhai et al.*
328 [2014] that AN concentration decrease significantly in SR, GR. This implies that
329 measures for controlling AN had more effects in two major tributaries than in the
330 MSHR.

331 According to the climatic features in the HRB, we set March to May to be spring,
332 June to August to be summer, September to November to be autumn and December to
333 February to be winter. Then, decline rate of average annual and seasonal AN
334 concentration was calculated (Table 5). Annual decline rates ranged from 8.3% to 10.5%
335 in the MSHR and more than 17% in the SR and GR. AN concentration in winter
336 displayed the highest decline rate than other seasons and concentration in summer
337 displayed the lowest decline rate in the MSHR. While in the SR and GR, decline rate
338 in four seasons was almost equal.

339 Average annual flow-weighted AN concentration is calculated by annual total AN
340 loads divided by annual total flow (Eq. 6):

$$341 \quad C_{FW} = \frac{\sum_{i=1}^{365} Q_i \times C_i}{\sum_{i=1}^{365} Q_i} \quad (6)$$

342 Flow-weighted AN concentration displayed a decrease tendency in general but
343 rebounds always happened in the first year of FYPs (Fig. 7) expect S6 to S10 in twelfth
344 FYP. These rebound rates present in Table 6, which are the largest in tenth FYP and all

345 more than 100% in the MSHR. This rate declined a lot in the eleventh FYP and had a
346 further decline in the twelfth FYP while AN flow-weighted concentration displayed no
347 rebounds in S6 to S10 in the SR and GR. This rebound phenomenon may be caused by
348 the discontinuity of measures' implementation in the process of each FYP.

349 **3.4 Temporal patterns of AN loads and sources apportionment**

350 Average annual AN loads were calculated by:

$$351 \quad Load = \frac{1}{365} \sum_{i=1}^{365} Q_i \times C_i \quad (7)$$

352 AN loads showed a decrease tendency but some rebounds happened which were
353 more often in the MSHR (Fig. 8). From the previous analysis, average annual AN
354 concentration decreased significantly, so rebounds of loads related to the magnitude
355 changes in annual flow, as high flow from surface can carry more fertilizing nitrogen.

356 The point sources of AN loads are mainly from sewage outfalls, including industrial
357 and domestic wastewater. Because of data limitation, non-point sources of AN loads
358 are considered to be fertilizing nitrogen carried by surface runoff. AN mass from
359 sewage outfall has a decrease and amount of fertilizing nitrogen almost has no change
360 from 2000 to 2013 (Fig. 9). Using an exponential model and a linear model to stimulate
361 amount of AN mass of sewage outfalls and fertilizing nitrogen separately, the
362 coefficient of exponential model is 0.10 and slope of linear model is 1.01, which
363 indicates amount of fertilizing nitrogen usage can be considered as stable. Therefore,
364 AN loads from non-point sources are only controlled by magnitude of flow. As AN
365 loads from point sources were exponential decrease, Eq.8 can be used to describe the

366 features of point and non-point sources of AN loads, which is a model used to describe
367 two sources, one is changing with time and another stay stable[*Stow et al.*, 2004].

$$368 \quad Load_i = \beta_1 \times e^{-k \times T} + \beta_2 \times \overline{Q}_i + \varepsilon \quad (8)$$

369 Where T is time, \overline{Q}_i is average annual flow in i year, and $Load_i$ is average annual
370 loads in year i . As Eq.8 is a non-linear model, Nash-Sutcliffe efficiency coefficient
371 (NSE) is used to evaluate goodness-of fit of model. The fitting formula and NSE in each
372 station present in table 7. All NSEs are higher than 0.76, which means Eq.8 to be
373 satisfied. From this model, contribution of point and non-point sources can be
374 calculated. The contribution of point sources has dropped from 50~81% to 3~13% in
375 the MSHR and dropped from 74~93% to 3~28% in the SR and GR (Fig. 10), while *Zhai*
376 *et al.* [2014] also pointed that non-point sources pollution were gradually becoming a
377 prominent threat. It is corresponded to the measures for controlling water pollution
378 which focus on cutting down the amount of AN from point sources emission.
379 Meanwhile, decrease in contribution of point sources caused increase in contribution of
380 non-point sources necessarily. Contribution of non-point sources was more than 50%
381 after 2005 in the MSHR, especially, more than 90% in S3 and S4. Continuing to focus
382 on controlling point sources will not be enough to make a further decrease in AN
383 concentration. This is why decrease trend was no longer significant after the evelenth
384 FYP in the MSHR. Contribution of non-point sources in the SR and GR was less than
385 the MSHR, thus, controlling point sources was still useful in SR and GR in decreasing
386 AN concentration. However, contribution of non-point sources was larger than 90% in
387 S7 and S9, which seems to be a contradiction. This is because there is a limitation

388 existing in Eq. 8. While quantifying loads of non-point sources by flow, some loads
389 from sewage outfalls had been included by mistake. Some factories alongside the SR
390 and GR discharged wastewater without permission or more than limitation during wet
391 seasons to maximize their profits [Sun and Yang, 2013]. In this case, factories
392 discharged more AN loads by sewage outfalls as flow increased, which has the same
393 feature as AN loads from non-point sources. Thus, monitoring factories near S7 and S9
394 should be paid more attention to, especially in wet seasons.

395 **4 Conclusions**

396 In order to provide scientific suggestions for implementation of measures for
397 controlling water pollution in future and guarantee water security and sustainable
398 development in the MRHR, we analyzed temporal patterns in AN concentration, loads
399 and sources apportionment in the MRHR from 1998 to 2013. By results from the STL
400 method and the FFT, a modified log-linear model was developed based on the previous
401 loads estimation log-linear model to interpolate daily AN concentration values.
402 Analyzing these daily AN concentration values, results obtained are summarized as
403 following:

404 (1) Significant decrease in AN concentration happened between tenth to eleventh
405 FYP in the MSHR and between all FYPs in the SR and GR. Annual decline rate of AN
406 concentration ranged from 8.3% to 10.5% in the MRHR and more than 17% in the SR
407 and GR.

408 (2) AN loads showed a decrease tendency but some rebounds happened in the

409 MSHR which related to magnitude changes in annual flow. As measures for controlling
410 water pollution focused on cutting down AN from point sources, the contribution of
411 point sources had decreased from 50~81% to 3~13% in the MSHR and dropped from
412 74~93% to 3~28% in the SR and GR. This implies that non-point sources are gradually
413 becoming main sources of AN loads in the MRHR.

414 (3) Extreme high AN concentration values (sudden water pollution accidents)
415 usually happened in wet seasons which were caused by two reasons. First, factories
416 were tended to discharge polluted wastewater without permission or beyond limitation
417 during wet seasons in the MRHR. Second, highly polluted water that accumulated
418 before closed sluices in dry seasons was instantaneously discharged with the first flood
419 during wet seasons.

420 (4) Rebounds in AN Flow-weighted concentration happened in the first year of
421 each FYP except twelfth FYP in S6 to S10, which indicates that problems exist in the
422 continuity in implementation of measures for controlling water pollution.

423 In order to obtain a further improvement in water quality in the HRB, governments
424 should have more initiatives in making stricter upper limitation of contaminants loads
425 during wet seasons, implementing measures for controlling water pollution
426 continuously, reducing non-point sources pollution, carrying out scientific sluice
427 regulation and joint pollution prevention programs in future measures for controlling
428 water pollution.

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434 be obtained by sending a request to the corresponding author (jingq@hhu.edu.cn).

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Table 1. Details of ten monitor stations in the MRHR, SR and GR.

Station Code	Station Name	Location	Time Scale	Concentration (mg L ⁻¹)		Number of Observations
				Mean	Standard Deviation	
S1	Wang Jiaba	MSHR	1998.1-2013.12	0.69	1.13	812
S2	Lu Taizi	MSHR	1998.1-2013.12	0.65	1.15	743
S3	Wu Jiadu	MSHR	1998.1-2013.12	1.22	1.53	211
S4	Xiao Liuxiang	MSHR	1998.1-2013.12	0.71	1.10	773
S5	Zhou Kou	SR	2003.1-2013.12	6.38	7.72	405
S6	Jie Shou	SR	1998.1-2013.12	3.54	6.27	876
S7	Fu Yang	SR	1998.1-2013.12	2.54	3.95	727
S8	Ying Shang	SR	2003.1-2013.12	1.88	2.44	701
S9	Guo Yang	GR	2003.1-2013.12	2.37	3.82	346
S10	Meng Cheng	GR	2003.1-2013.12	2.35	2.75	696

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579 **Table 2.** Seasonal periods of AN concentration, adjusted-R² of former (R_1^2), modified
 580 log-linear model (R_2^2) and correlation coefficients (ρ) between observed and predicted
 581 value of modified log-linear model.

Station	Periods (month)	R_1^2	R_2^2	ρ
S1	12/6/2	0.504	0.552	0.74
S2	12/6/4/3	0.561	0.617	0.77
S3	12/6/3	0.390(1998-2006) 0.672(2007-2013)	0.472(1998-2006) 0.722(2007-2013)	0.64
S4	12/6/2	0.629	0.673	0.81
S5	12/6/4/3	0.226(2003-2008) 0.367(2009-2013)	0.404(2003-2008) 0.471(2009-2013)	0.61
S6	12/6/4/2	0.735	0.776	0.87
S7	12/6/2	0.728	0.757	0.87
S8	12/4/2	0.601	0.655	0.81
S9	12/6/4	0.407(2003-2008) 0.633(2009-2013)	0.611(2003-2008) 0.707(2009-2013)	0.77
S10	12/6/3/2	0.439	0.504	0.69

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613 **Table 3.** Outliers of AN concentration from modified model. C_1 and C_2 represent
 614 observed and predicted value of AN concentration, respectively.

Station	Time	C_1 (mg L ⁻¹)	C_2 (mg L ⁻¹)
S1	2000/6/10	14.20	0.38
	2004/3/20	21.40	1.33
S2	1998/5/25	21.21	0.34
	1999/5/12	11.00	0.74
S3	1998/5/25	10.43	1.90
	2000/5/18	8.25	1.04
	2001/7/20	11.10	0.40
S4	1998/5/25	18.72	0.60
S5	2007/7/16	24.00	2.05
	2011/8/1	4.00	0.72
S6	2010/9/9	7.00	0.42
	2011/7/26	56.54	0.57
S7	2012/9/10	1.34	0.18
S8	2007/11/12	3.28	0.55
S9	2010/3/10	10.03	2.21

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Table 4. Student's t test on AN concentration between two adjacent Five-Year Plans (FYPs) in ten monitor stations.

Station	M ₁ (mg L ⁻¹)	M ₂ (mg L ⁻¹)	M ₃ (mg L ⁻¹)	M ₄ (mg L ⁻¹)	P ₁₋₂	P ₂₋₃	P ₃₋₄
S1	1.56 ± 1.62	1.03 ± 0.91	0.67 ± 0.49	0.51 ± 0.32	0.083	0.007***	0.067
S2	1.54 ± 1.68	0.96 ± 0.91	0.57 ± 0.44	0.40 ± 0.24	0.061	0.003***	0.018
S3	1.92 ± 1.84	1.84 ± 1.29	0.95 ± 0.84	0.64 ± 0.38	0.076	2 × 10 ⁻⁵ ***	0.016
S4	1.84 ± 2.04	1.19 ± 1.26	0.69 ± 0.67	0.47 ± 0.41	0.090	0.008***	0.052
S5		9.94 ± 5.13	5.66 ± 3.85	2.45 ± 1.59		6 × 10 ⁻⁵ ***	2 × 10 ⁻⁷ ***
S6	21.26 ± 16.38	8.36 ± 6.57	3.00 ± 2.21	1.45 ± 1.07	5 × 10 ⁻⁵ ***	7 × 10 ⁻⁸ ***	1 × 10 ⁻⁵ ***
S7	14.02 ± 11.92	6.14 ± 5.26	2.20 ± 1.99	1.02 ± 0.96	5 × 10 ⁻⁴ ***	7 × 10 ⁻⁷ ***	2 × 10 ⁻⁴ ***
S8		5.42 ± 5.93	1.97 ± 1.82	0.76 ± 0.47		0.002***	6 × 10 ⁻⁶ ***
S9		8.60 ± 5.58	3.24 ± 2.92	0.89 ± 0.90		3 × 10 ⁻⁶ ***	2 × 10 ⁻⁷ ***
S10		6.20 ± 4.34	2.59 ± 1.60	1.05 ± 0.34		2 × 10 ⁻⁵ ***	6 × 10 ⁻¹⁰ ***

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M₁, M₂, M₃ and M₄ are average AN concentration in the period of the ninth, tenth, eleventh and twelfth FYP. P₁₋₂, P₂₋₃ and P₃₋₄ are the *p*-values of Student's t test of ninth to tenth FYP, tenth to eleventh FYP and eleventh to twelfth FYP, respectively. Asterisks mean that a significant (<0.017) decrease happened during these two FYPs.

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Table 5. Annual decline rate of AN concentration in whole year and each season in ten monitor stations.

Station	D_{whole} (%)	D_{Spring} (%)	D_{Summer} (%)	D_{Autumn} (%)	D_{Winter} (%)
S1	8.3	3.6	3.9	9.7	10.8
S2	9.8	7.3	3.0	5.3	13.9
S3	9.2	9.3	6.1	10.4	9.8
S4	10.5	10.0	2.0	6.7	12.8
S5	17.1	17.9	26.2	16.9	12.2
S6	18.4	16.9	15.8	18.0	19.9
S7	18.5	19.8	17.4	15.9	17.5
S8	21.6	23.7	12.9	10.4	23.7
S9	24.9	25.9	29.2	28.0	22.4
S10	19.9	23.3	15.4	13.2	20.9

630 # D_{whole} , D_{Spring} , D_{Summer} , D_{Autumn} and D_{Winter} represent annual decline rate of NN
631 concentration in whole year and each season, respectively.
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653 **Table 6.** Rebound rate in first year of tenth (2001), eleventh (2006) and twelfth (2011)
 654 FYP.

Station	2000~2001	2005~2006	2010~2011
S1	161.1%	56.5%	31.5%
S2	319.2%	65.8%	13.2%
S3	205.6%	40.2%	39.1%
S4	441.2%	93.4%	23.8%
S5		22.9%	2.0%
S6	62.7%	28.0%	-2.7%
S7	174.5%	47.9%	-0.3%
S8		39.8%	-21.2%
S9		14.6%	-29.5%
S10		8.9%	-18.3%

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691 **Table 7.** Fitting formulas and Nash-Sutcliffe efficiency coefficient (*NSE*) of AN loads
 692 from point and non-point sources in ten monitor stations.

Station	Fitting formula	<i>NSE</i>
S1	$L = 162e^{-0.186 \times (t-1997)} + 0.405Q$	0.87
S2	$L = 436e^{-0.214 \times (t-1997)} + 0.341Q$	0.82
S3	$L = 634e^{-0.232 \times (t-1997)} + 0.782Q$	0.79
S4	$L = 976e^{-0.566 \times (t-1997)} + 0.415Q$	0.89
S5	$L = 622e^{-0.184 \times (t-2002)} + 1.727Q$	0.76
S6	$L = 1504e^{-0.356 \times (t-1997)} + 1.901Q$	0.91
S7	$L = 3517e^{-0.617 \times (t-1997)} + 1.724Q$	0.94
S8	$L = 775e^{-0.293 \times (t-2002)} + 0.520Q$	0.98
S9	$L = 917e^{-0.766 \times (t-2002)} + 2.372Q$	0.96
S10	$L = 531e^{-0.564 \times (t-2002)} + 1.696Q$	0.99

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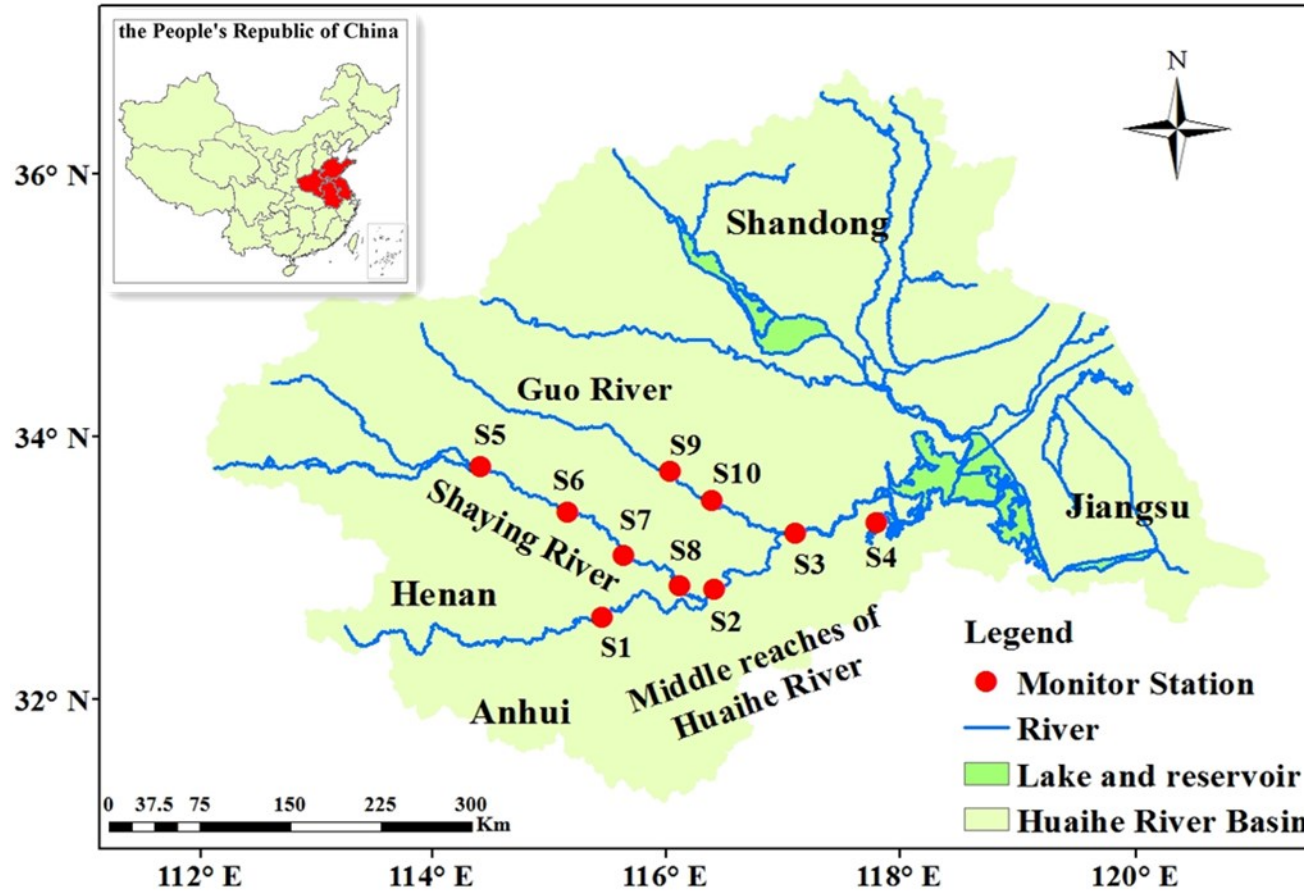
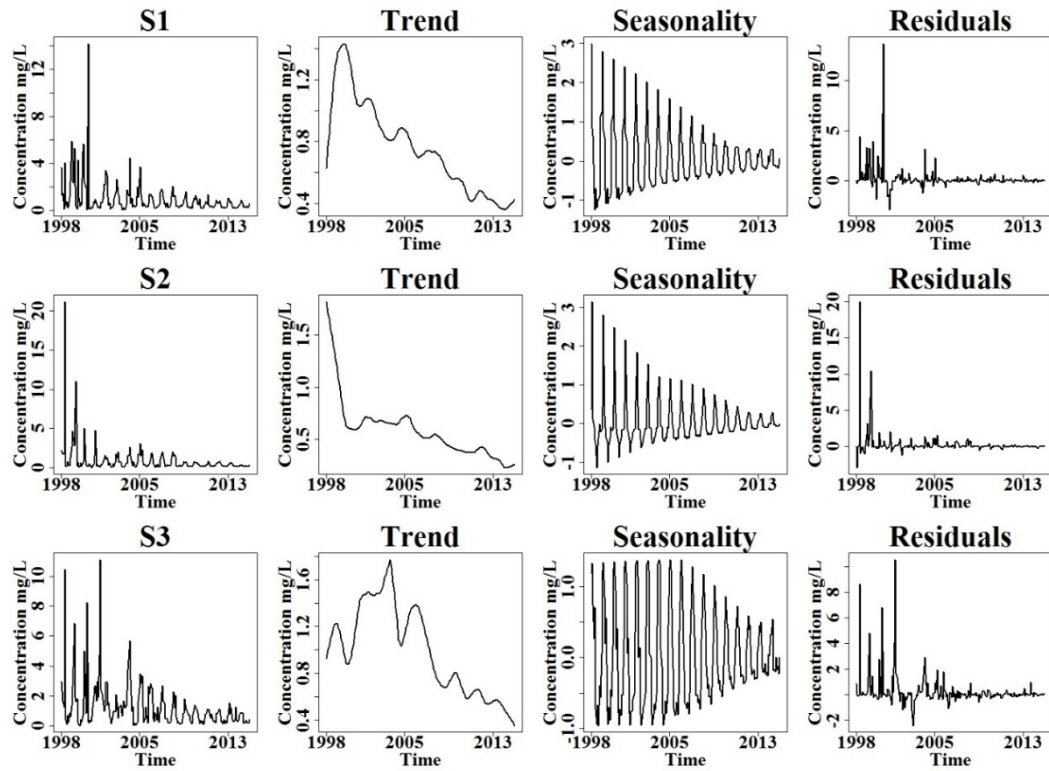
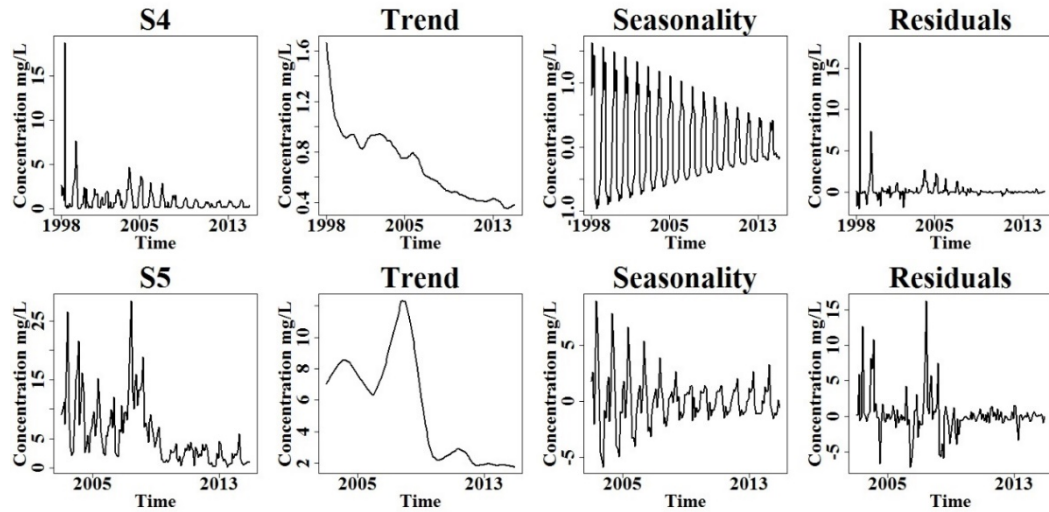


Figure 1. The Huaihe River Basin (HRB) locates in the east of the PRC, covering four provinces. Study area in this paper is the middle reaches of Huaihe River (MRHR), including two major tributaries, Shaying River (SR) and Guo River (GR). The ten monitor stations are shown by red points. Among them, S1 to S4 lie in the MRHR, S5 to S8 lie in the SR and S9 to S10 lie in the GR.

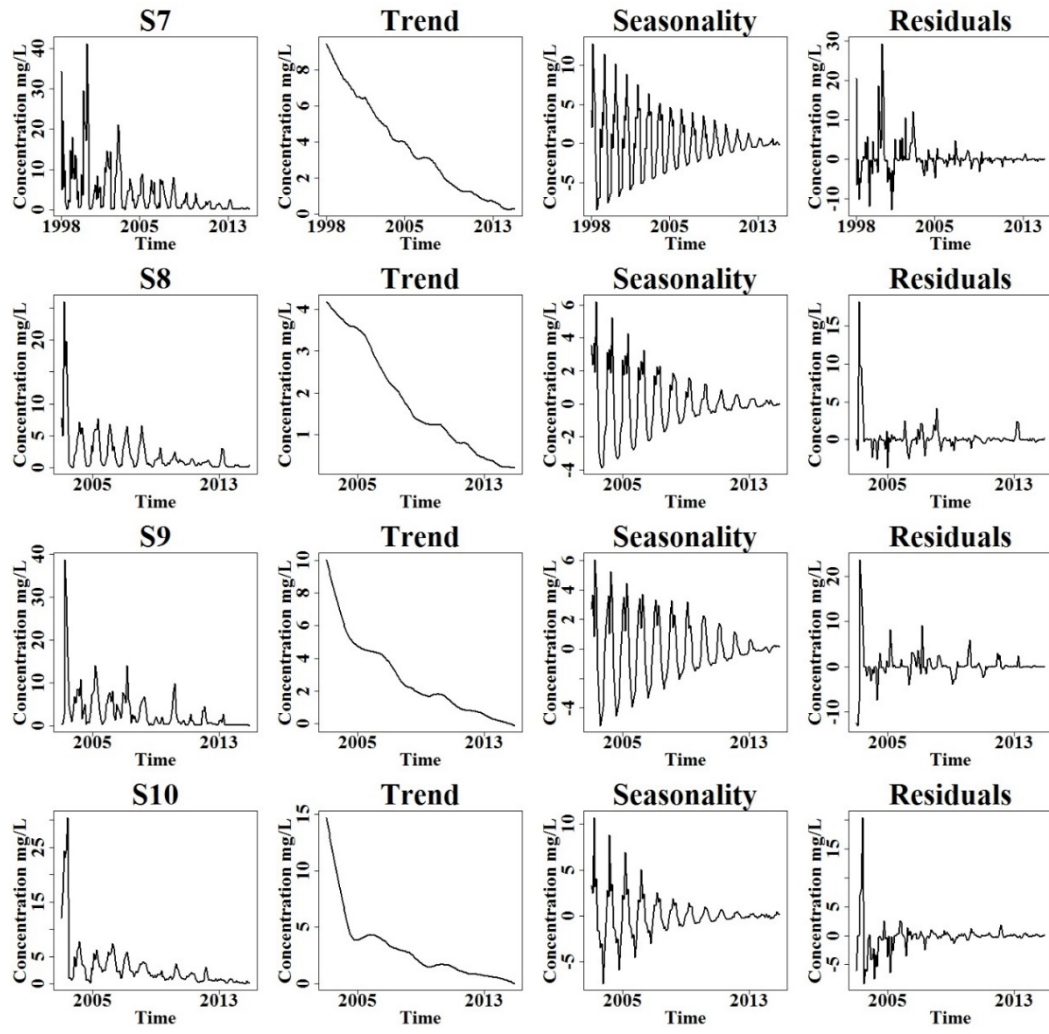
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Figure 2. Average monthly AN concentration and three components decomposed by Seasonal trend decomposition using loess (STL) in ten monitor stations. From left to right, the four panels show average monthly AN concentration, long-term trend, seasonality, and residuals, respectively.

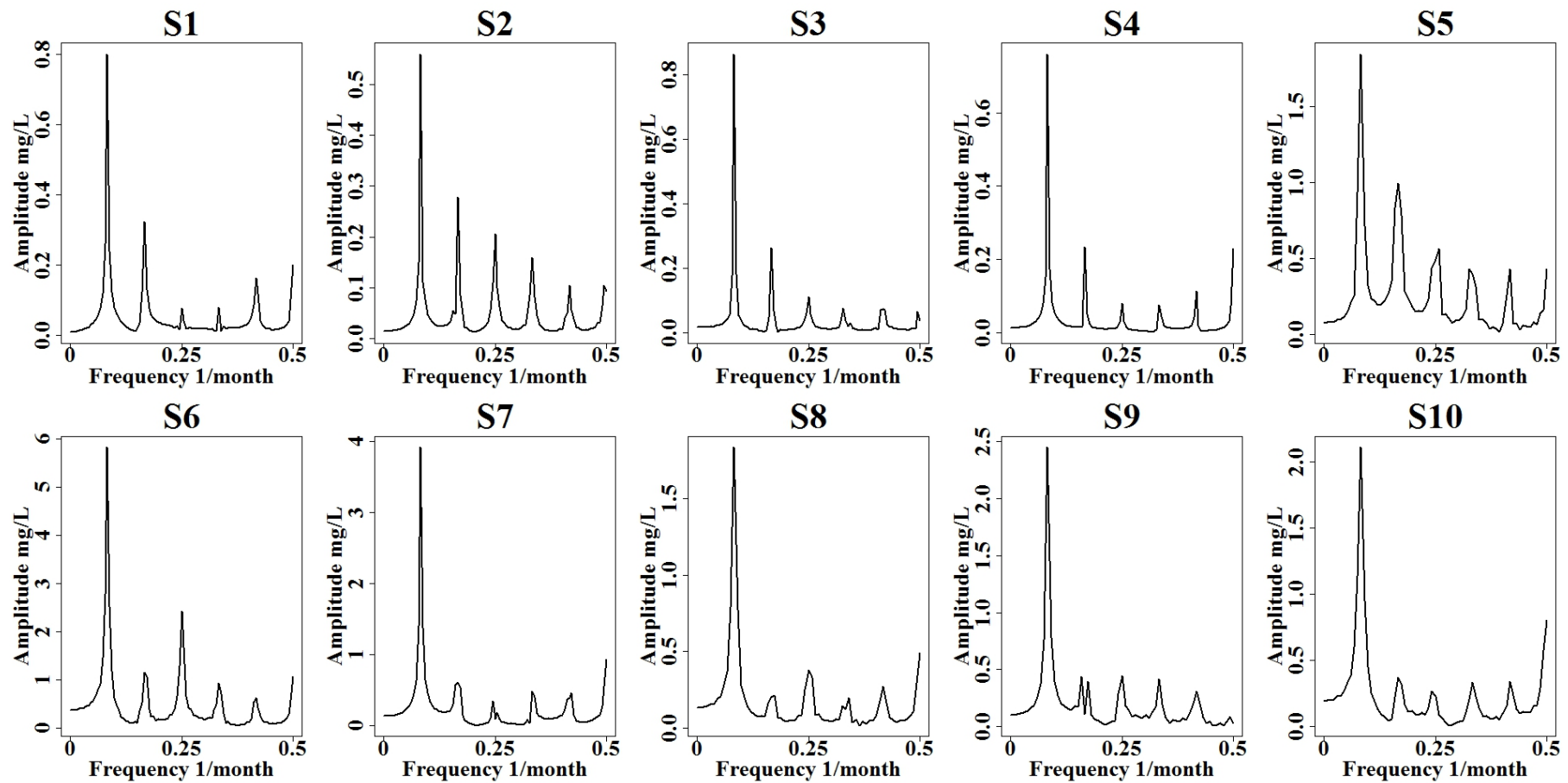
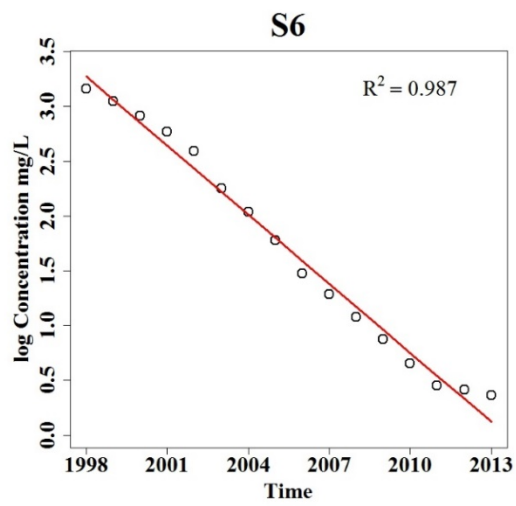
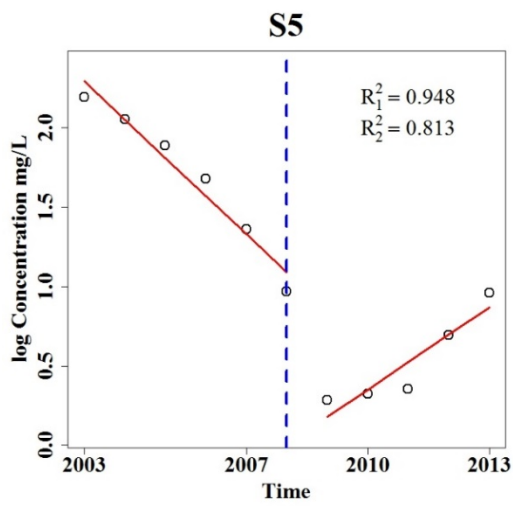
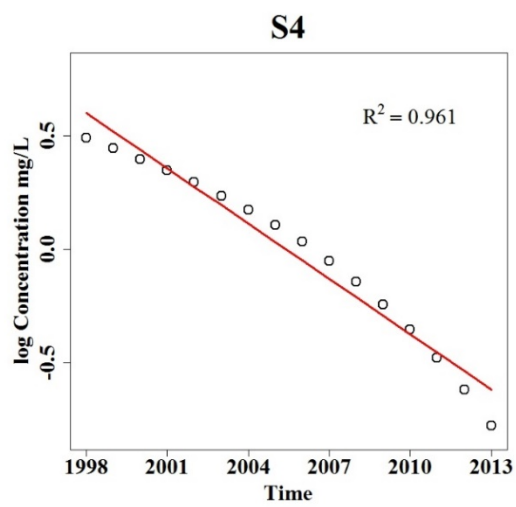
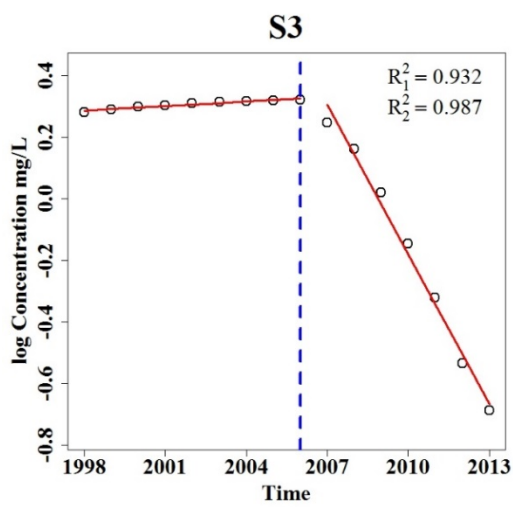
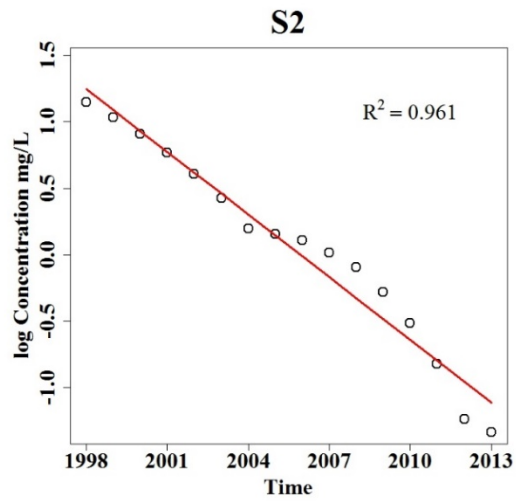
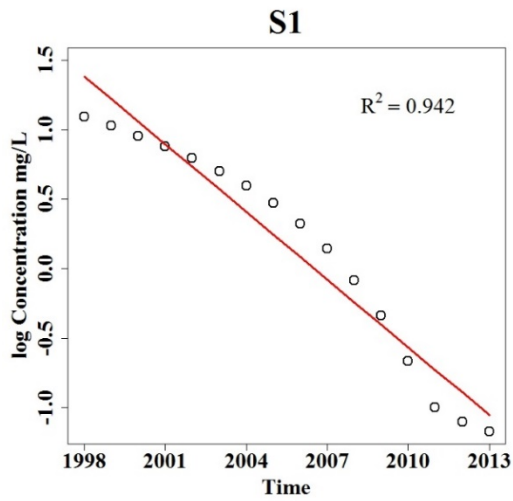


Figure 3. Spectrum analysis of seasonal components of AN concentration in ten monitor stations. The seasonal periods for each station can be calculated by the frequency corresponded to peak points. In order to reach a compromise between accuracy and complexity of model, amplitudes of all peak points in each station is sorted from large to small separately. Frequencies whose amplitudes account for 80% of total is chosen and turned to periods (1/frequency), which are included in modified log-linear model.



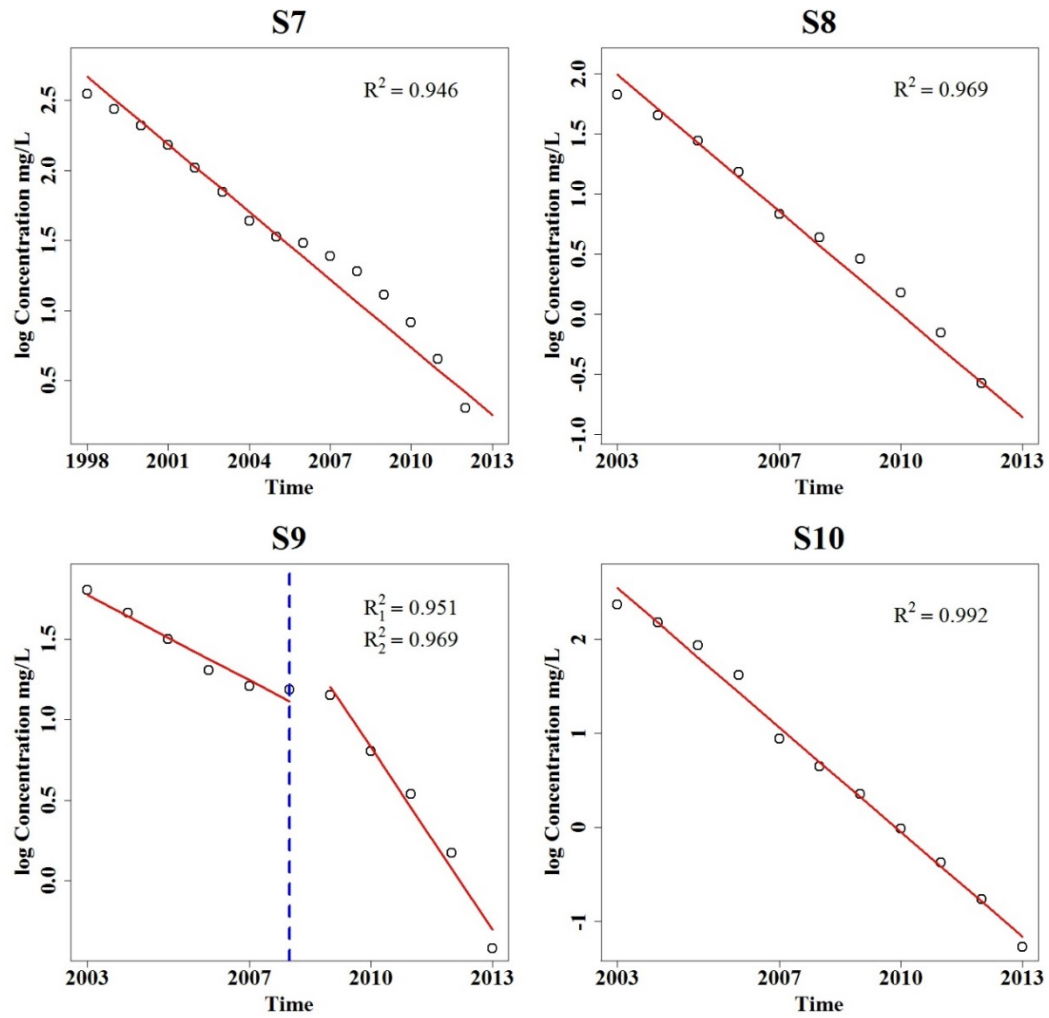
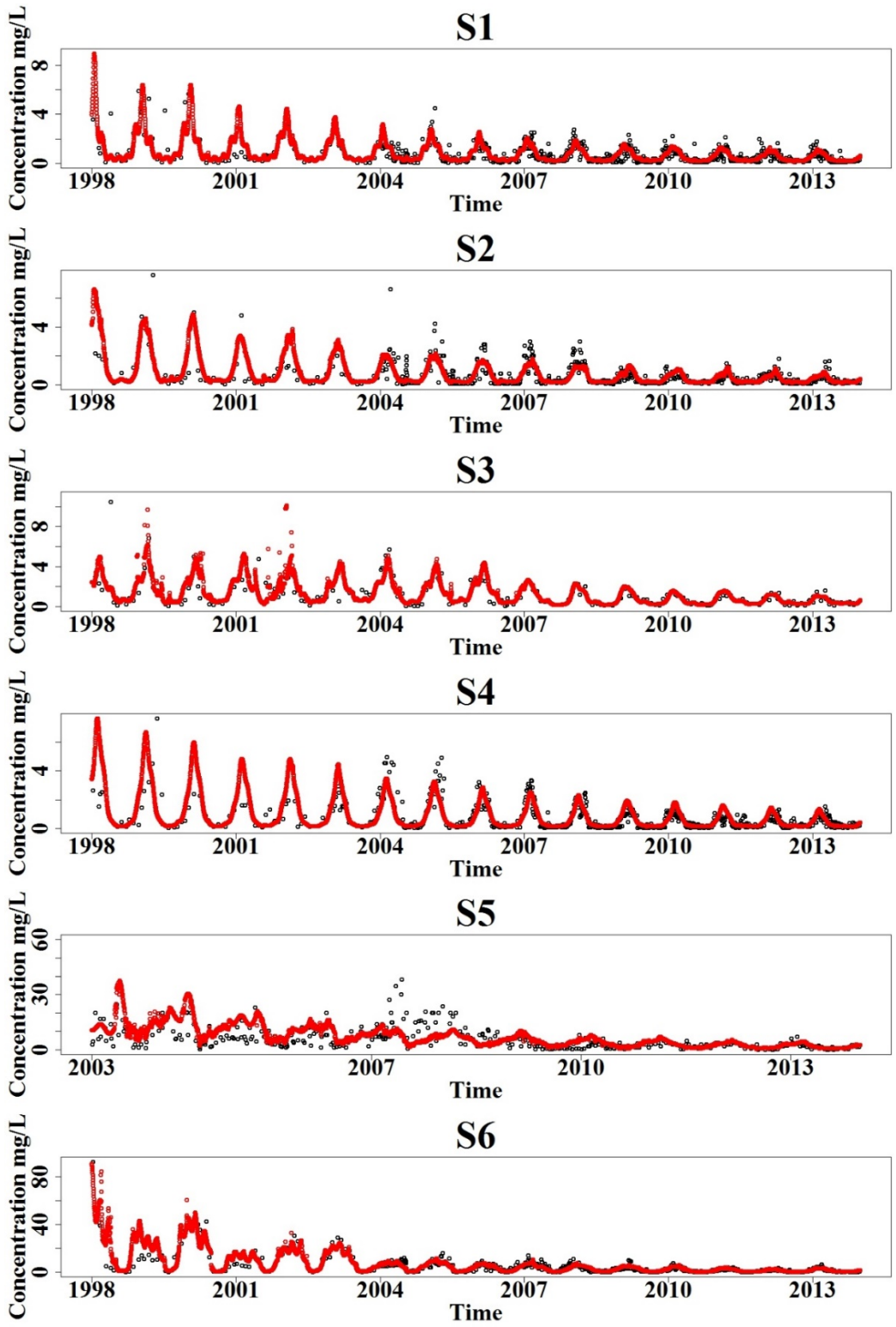


Figure 4. Amplitudes of seasonal components from the STL.



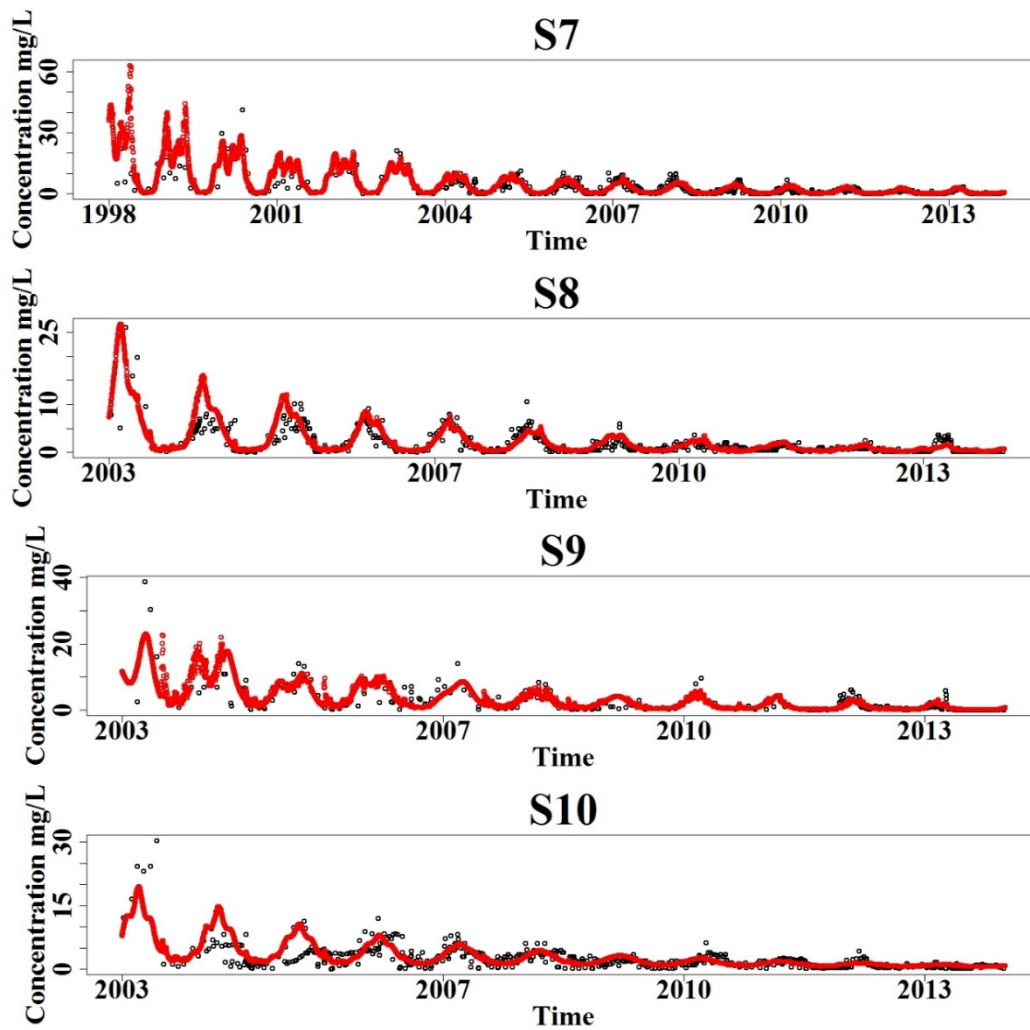


Figure 5. Value of sampling data and daily data interpolated by modified log-linear model of AN concentration in ten monitor stations. Sampling data and daily data is shown by black and red points respectively.

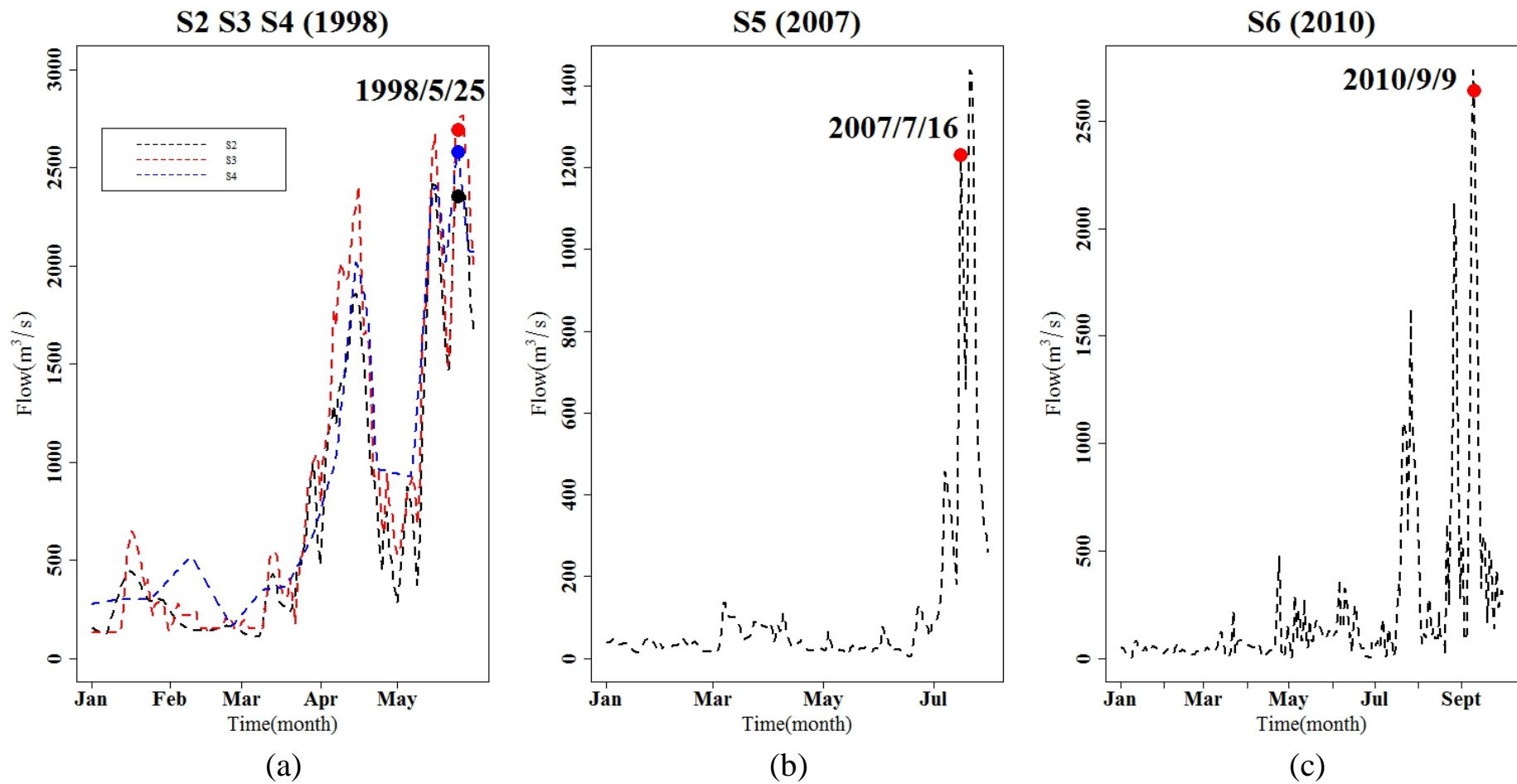
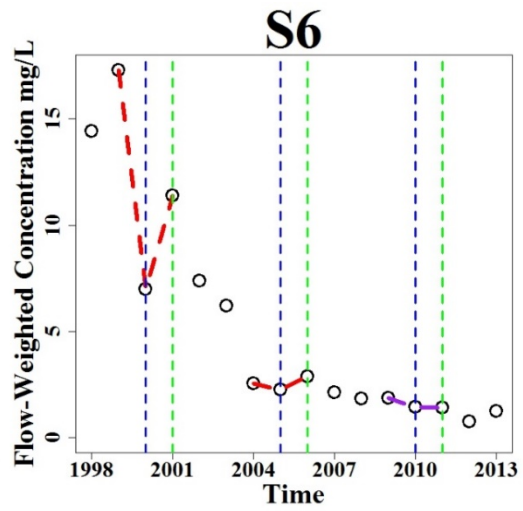
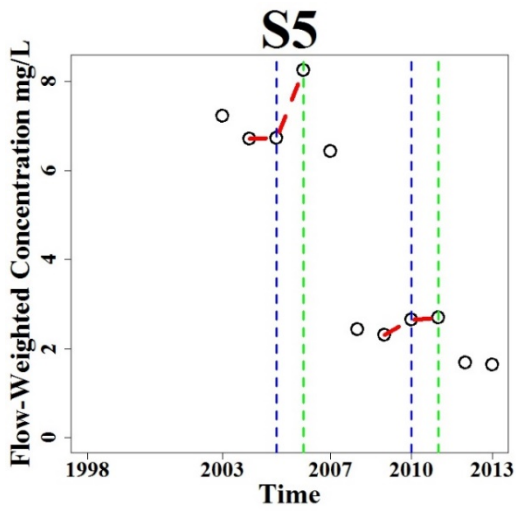
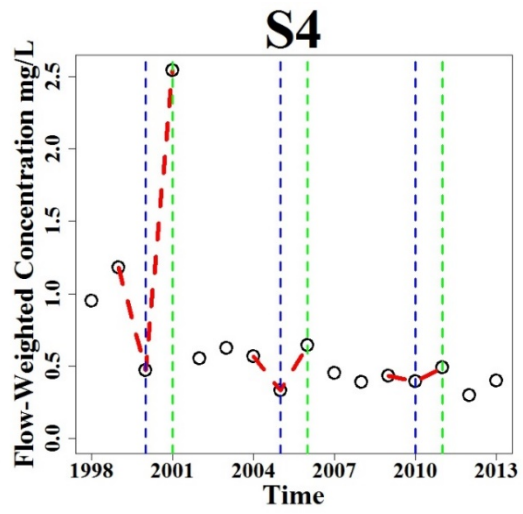
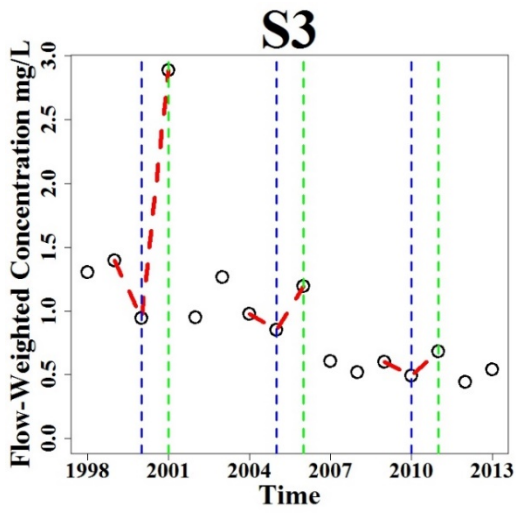
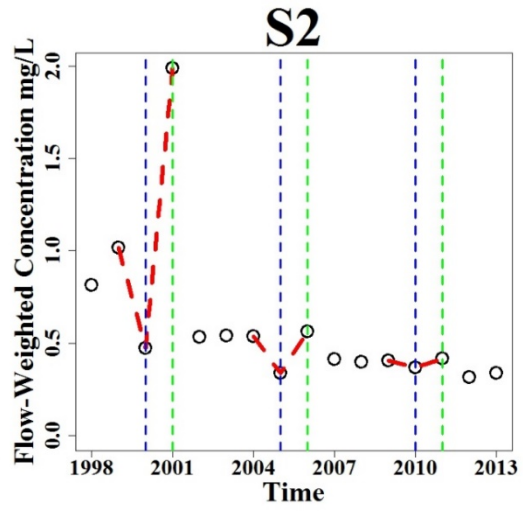
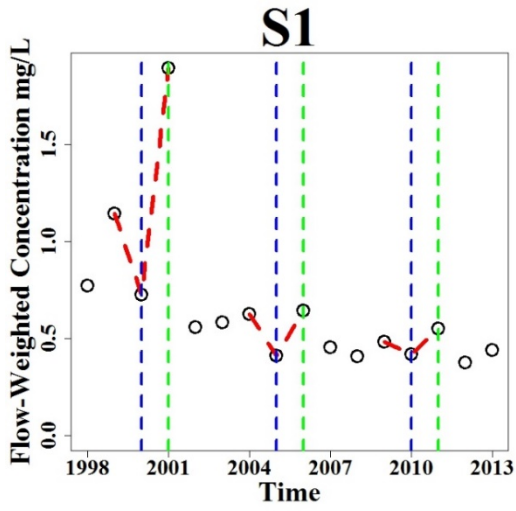


Figure 6. The flow values correspond to outliers in S2, S3, S4 in 1998, S5 in 2007 and S6 in 2010. In (a), black, red and blue lines represent flow in S2, S3 and S4 in 1998 respectively.



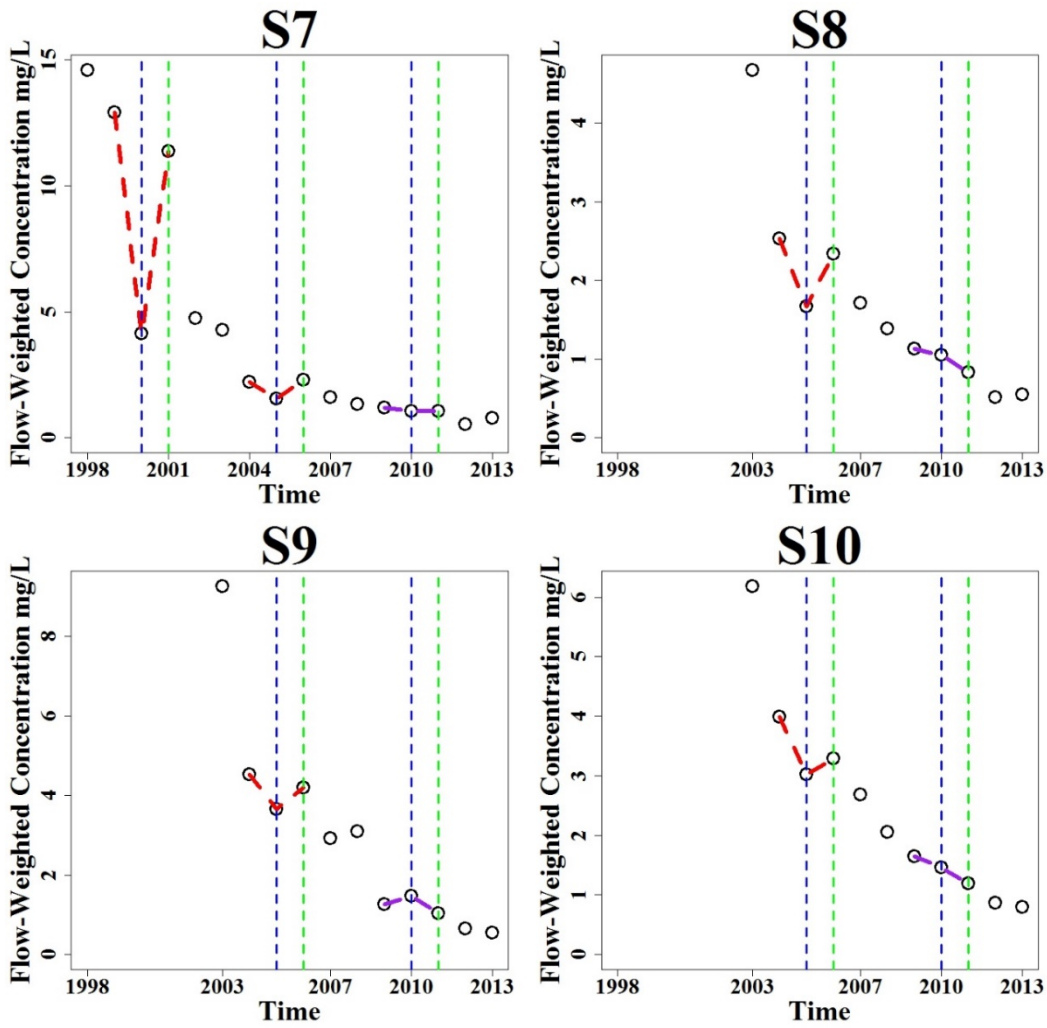
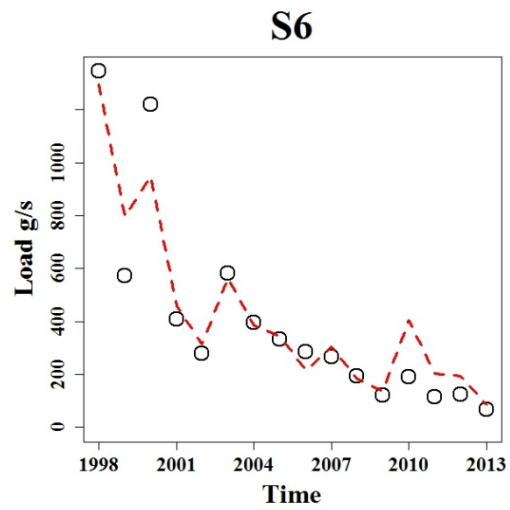
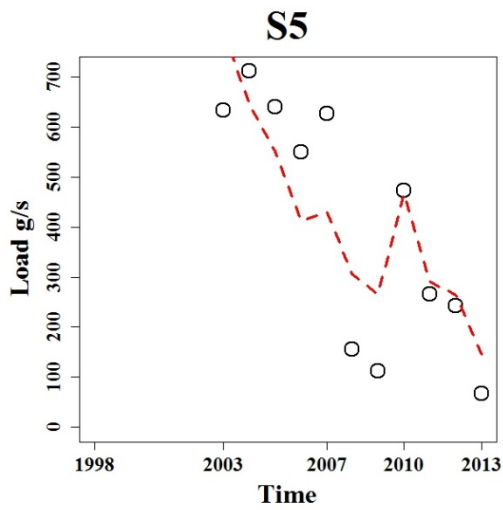
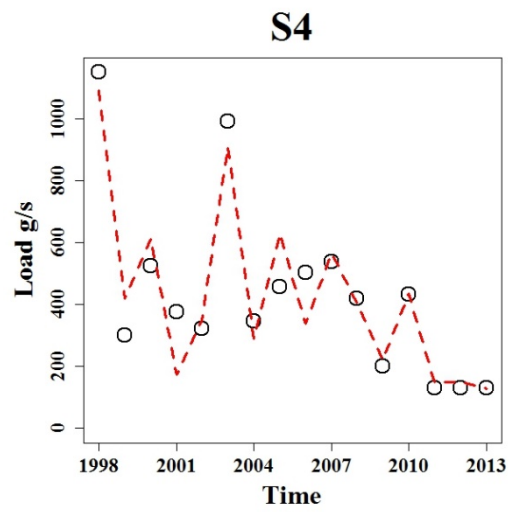
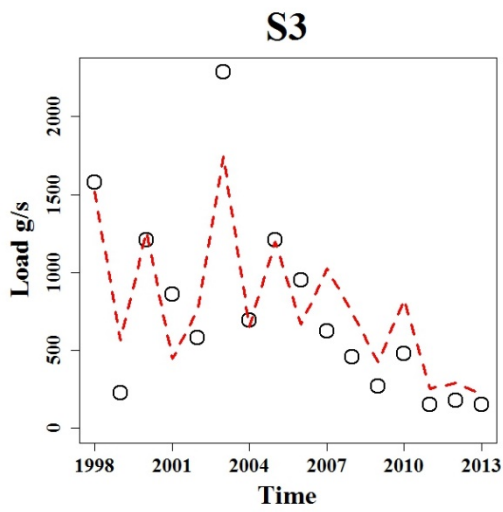
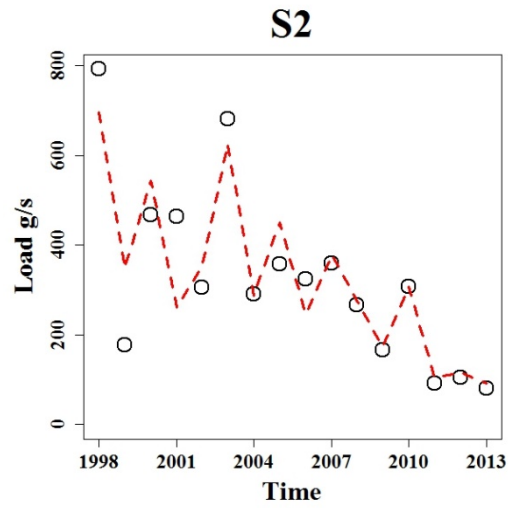
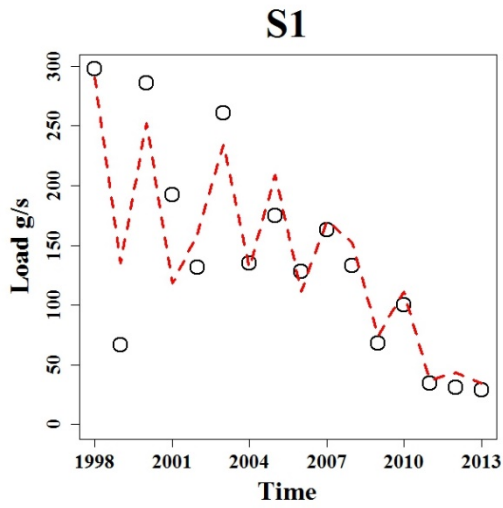


Figure 7. Average annual of AN flow-weighted concentration is calculated by annual total AN loads divided by annual total flow which is shown as black circles. Blue and green dotted lines are the last year of a Five-year Plan (2000, 2005, 2010) and the first year of next Five-year Plan (2001, 2006, 2011), respectively.



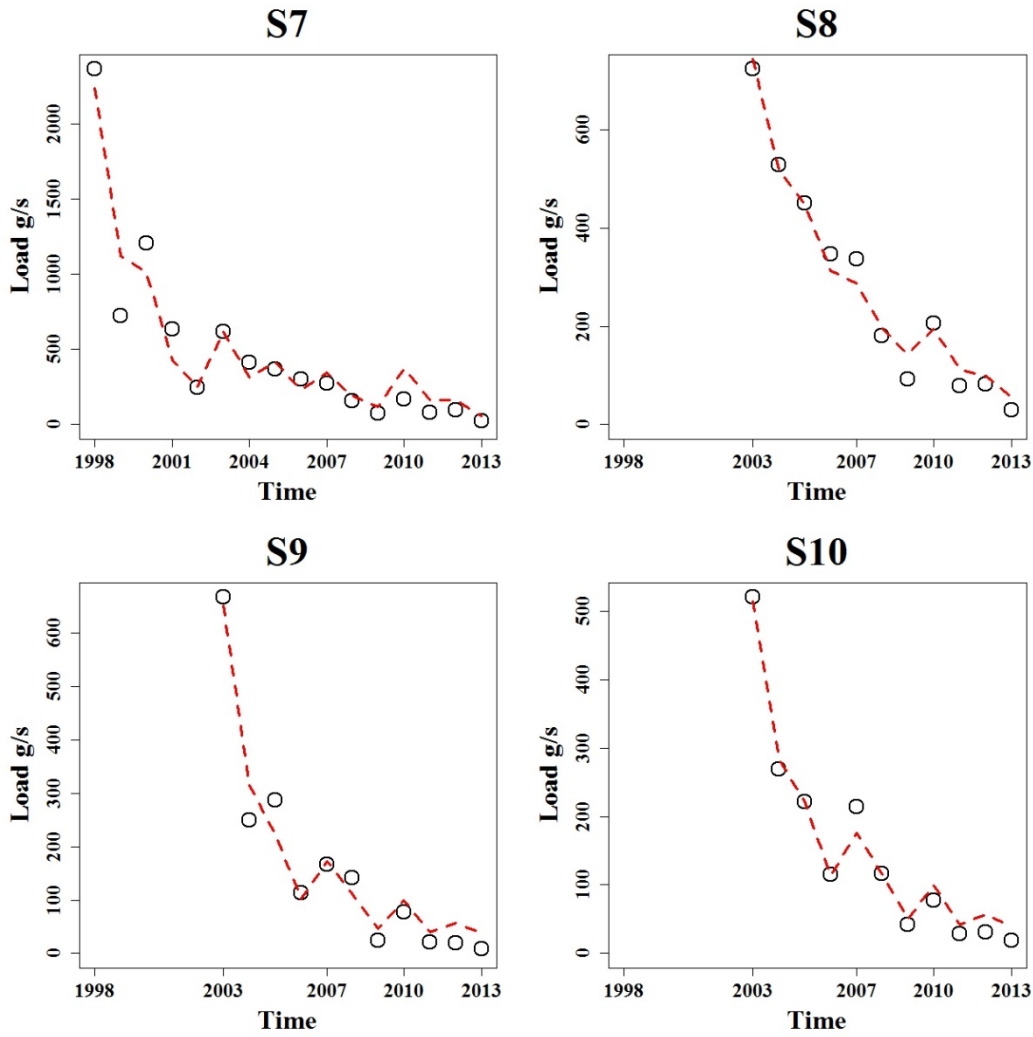


Figure 8. Average annual of AN loads and fitting lines. Average annual of AN loads and fitting AN loads is shown by black circles and red dotted lines, respectively.

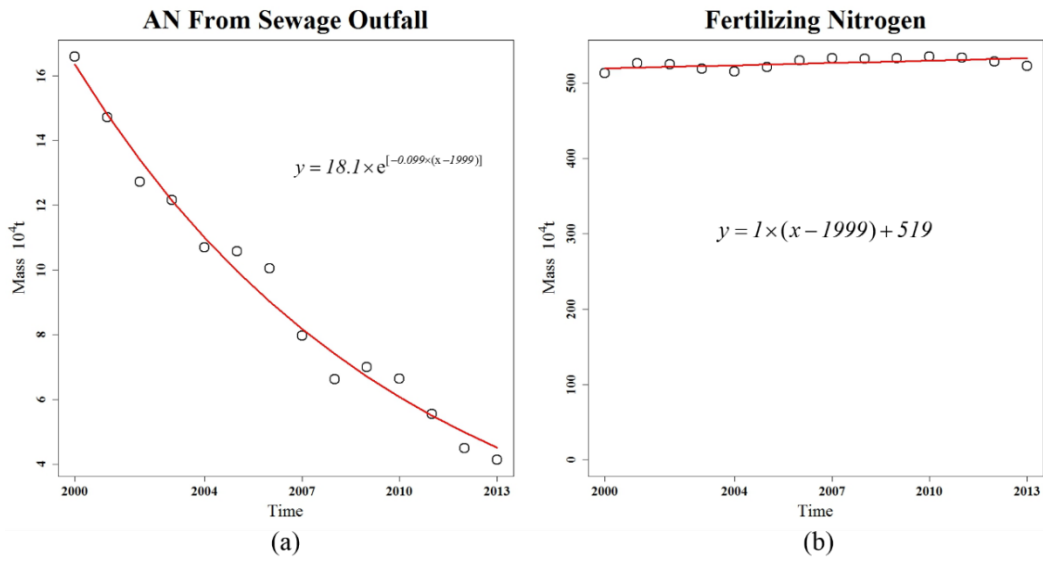
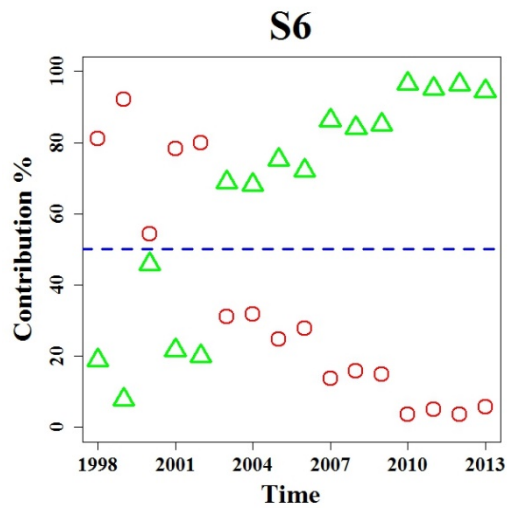
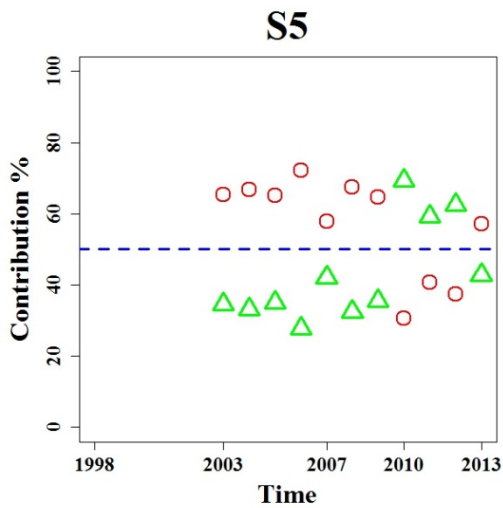
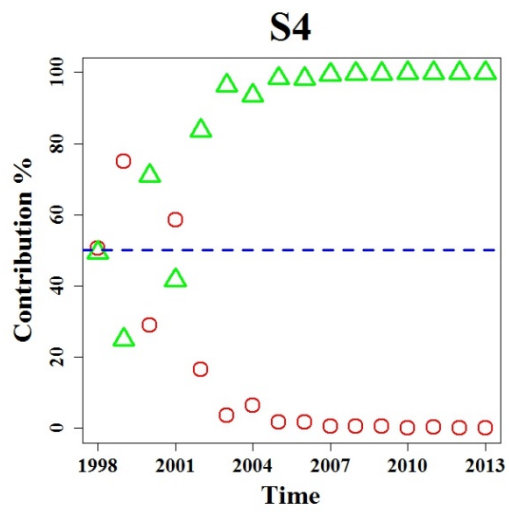
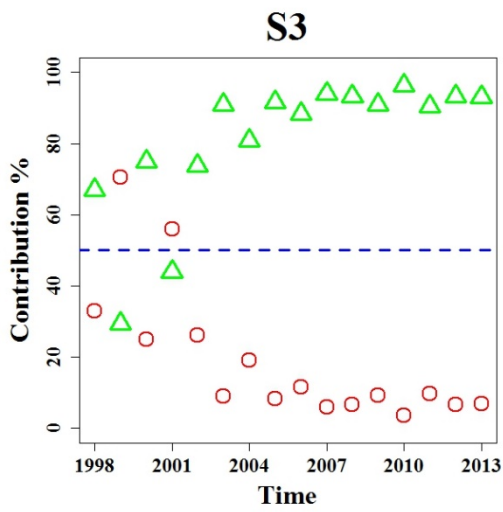
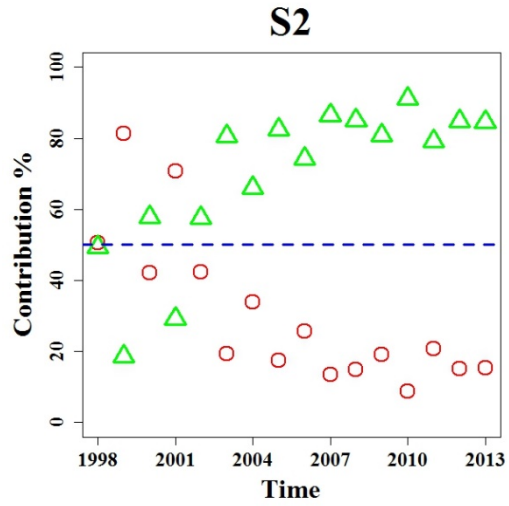
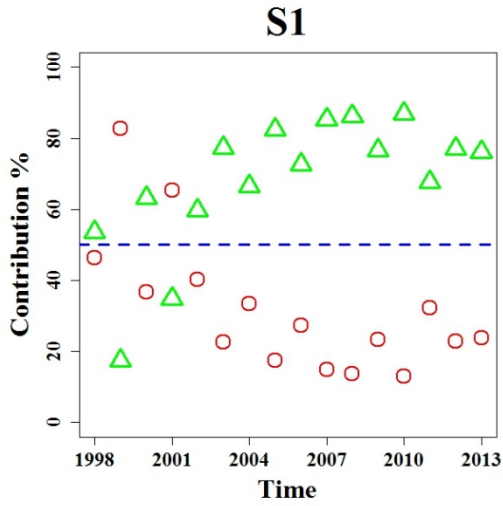


Figure 9. Annual total AN mass from sewage outfall (a) and mass of fertilizing nitrogen (b) in the HRB.



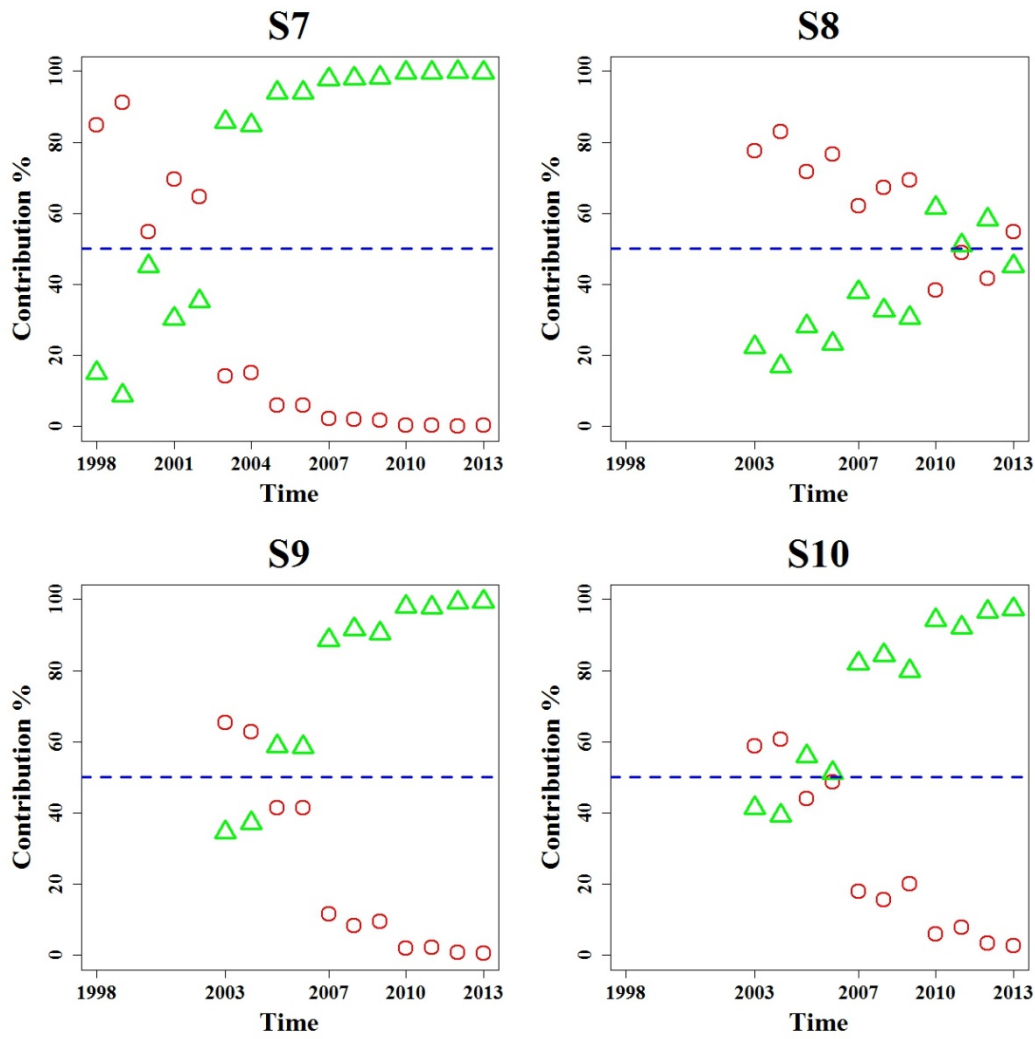


Figure 10. Contribution of AN loads from point and non-point sources in ten monitor stations. Point and non-point sources are shown by red circles and green triangles, respectively.