

# Novel oral anticoagulants for the secondary prevention of cerebral ischemia: a network meta-analysis

Aristeidis H. Katsanos, Dimitris Mavridis, John Parissis, Spyridon Deftereos, Alexandra Frogoudaki, Agathi-Rosa Vrettou, Ignatios Ikonomidis, Maria Chondrogianni, Apostolos Safouris, Angeliki Filippatou, Konstantinos Voumvourakis, Nikos Triantafyllou, John Ellul, Theodore Karapanayiotides, Sotirios Giannopoulos, Anne W. Alexandrov, Andrei V. Alexandrov and Georgios Tsivgoulis

## Abstract

**Background:** Novel oral anticoagulants (NOACs) have shown to be both safe and effective for ischemic stroke prevention in patients with nonvalvular atrial fibrillation (NVAF). We conducted a network meta-analysis (NMA) using published data from secondary prevention subgroups of different phase III randomized clinical trials (RCTs) comparing individual NOACs with warfarin.

**Methods:** Eligible studies were identified by searching MEDLINE and SCOPUS and the Cochrane Central Register of Controlled Trials databases. First, we conducted a pairwise meta-analysis for each pairwise comparison, and then we performed NMA to combine direct and indirect evidence for any given pair of treatments. The comparative effects of all NOACs against warfarin were ranked with the surface under the cumulative ranking (SUCRA) curve for each outcome.

**Results:** We identified four RCTs (including 15,240 patients) comparing individual NOACs (apixaban, dabigatran, rivaroxaban) with warfarin. Using indirect evidence, dabigatran was related to a significantly lower risk of hemorrhagic stroke compared with rivaroxaban [risk ratio (RR) 0.28; 95% confidence interval (CI) 0.11–0.75], while rivaroxaban was associated with a significantly lower risk of major gastrointestinal bleeding compared with dabigatran (RR 0.14; 95% CI 0.03–0.74). We also performed clustered ranking plot for the primary efficacy and safety endpoints to identify the treatment with the probably best benefit-to-risk ratio profile.

**Conclusions:** The three NOACs showed differences in terms of safety and efficacy for secondary stroke prevention in NVAF. Our findings can serve only as hypothesis generation and require independent confirmation in head-to-head RCTs, owing to the sparse available evidence and increased uncertainty in both indirect effect estimates and ranking of treatments.

**Keywords:** apixaban, dabigatran, ischemic stroke, novel oral anticoagulant, rivaroxaban, transient ischemic attack

## Introduction

Oral direct inhibitors of both thrombin and factor Xa have now been developed and shown to be both safe and effective for the primary and secondary prevention of stroke and systemic embolism in patients with nonvalvular atrial fibrillation

(NVAF) [Connolly *et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011]. Current guidelines from the American Heart Association/American Stroke Association (AHA/ASA) suggest that the use of vitamin K antagonists (VKAs) or novel oral anticoagulants (NOACs) is indicated for the

*Ther Adv Neurol Disord*

2016, Vol. 9(5) 359–368

DOI: 10.1177/  
1756285616659411

© The Author(s), 2016.

Reprints and permissions:  
[http://www.sagepub.co.uk/  
journalsPermissions.nav](http://www.sagepub.co.uk/journalsPermissions.nav)

Correspondence to:

**Georgios Tsivgoulis, MD**  
Second Department  
of Neurology, Attikon  
University Hospital,  
University of Athens,  
School of Medicine,  
Iras 39, Gerakas Attikis,  
Athens, 15344, Greece  
[tsivgoulisgiorg@yahoo.gr](mailto:tsivgoulisgiorg@yahoo.gr)

**Aristeidis H. Katsanos, MD**  
Department of Neurology,  
University of Ioannina  
School of Medicine,  
Ioannina, Greece  
Second Department  
of Neurology, 'Attikon  
University Hospital',  
School of Medicine,  
University of Athens,  
Athens, Greece

**Dimitris Mavridis, PhD**  
Department of Primary  
Education, University of  
Ioannina, Ioannina, Greece  
Department of Hygiene  
and Epidemiology, School  
of Medicine, University of  
Ioannina, Ioannina, Greece

**John Parissis, MD**  
**Spyridon Deftereos, MD**  
**Alexandra Frogoudaki, MD**

**Agathi-Rosa Vrettou, MD**  
**Ignatios Ikonomidis, MD**  
Second Department  
of Cardiology, 'Attikon  
University Hospital',  
School of Medicine,  
University of Athens,  
Athens, Greece

**Maria Chondrogianni, MD**  
**Apostolos Safouris, MD**  
**Angeliki Filippatou, MD**  
**Konstantinos Voumvourakis, MD**  
Second Department  
of Neurology, Attikon  
University Hospital, School  
of Medicine, University of  
Athens, Athens, Greece

**Nikos Triantafyllou, MD**  
First Department of  
Neurology, 'Attikon  
University Hospital',  
School of Medicine,  
University of Athens,  
Athens, Greece

**John Ellul, MD**  
Department of Neurology,  
University of Patras,  
Patras, Greece

**Theodore Karapanayiotides, MD**  
Second Department of  
Neurology, Aristotelian  
University of Thessaloniki,  
AHEPA University Hospital,  
Thessaloniki, Greece

**Sotirios Giannopoulos, MD**  
Department of Neurology,  
University of Ioannina  
School of Medicine,  
Ioannina, Greece

**Anne W. Alexandrov, PhD**  
Department of Neurology,  
University of Tennessee  
Health Science Center,  
Memphis, TN, USA  
Australian Catholic  
University, Sydney,  
Australia

**Andrei V. Alexandrov, MD**  
Department of Neurology,  
University of Tennessee  
Health Science Center,  
Memphis, TN, USA

prevention of recurrent ischemic stroke (IS) or transient ischemic attack (TIA) in patients with NVAF, whether paroxysmal or permanent, with an individualized agent selection based on risk factors, cost, tolerability, patient preference, potential drug interactions and other clinical characteristics [Kernan *et al.* 2014]. Until now available NOACs have not been directly compared in any head-to-head randomized clinical trial (RCT) [Skjøth *et al.* 2012], and such direct comparisons are unlikely in the near future due to the extreme cost and the large number of patients required for such comparisons [Harenberg *et al.* 2012].

In view of the former considerations we sought to conduct a systematic review and network meta-analysis (NMA) to indirectly compare the efficacy and safety of available NOACs in the secondary prevention of patients with previous IS/TIA and NVAF.

## Methods

### Study design

We performed a NMA using a frequentist model in Stata (Stata Statistical Software: Release 13; StataCorp. LP, College Station, TX). We followed a prespecified study protocol that has been published in the International Register PROSPERO (International Prospective Register of Ongoing Systematic Reviews) [Katsanos *et al.* 2015] and reported the meta-analysis according to the Preferred Reporting Items of Systematic Reviews and Meta-Analyses (PRISMA) statement [Liberati *et al.* 2009].

### Participants and interventions

We included patients with atrial fibrillation on electrocardiogram at screening or within the past 6 months and a history of previous stroke or TIA (duration of neurological symptoms <24 h) [Connolly *et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011]. We excluded patients with: severe heart valve disorder; stroke within 7–14 days from symptom onset or severe, disabling stroke within 3–6 months; increased risk of hemorrhage; creatinine clearance less than 30 ml/min; active liver disease; and pregnancy [Connolly *et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011].

We included therapies with NOACs or VKAs for the secondary prevention of NVAF, and excluded

NOACs or VKAs that were not used for the secondary prevention of patients with IS and NVAF.

### Search strategy and selection criteria

We searched studies of NOACs on secondary IS/TIA prevention in MEDLINE, SCOPUS and the Cochrane Central Register of Controlled Trials (CENTRAL), using the following terms in combination with individual drug names: 'ischemic stroke', 'cerebral ischemia', 'novel oral anticoagulant', 'NOAC', 'apixaban', 'dabigatran', 'rivaroxaban', 'edoxaban' (supplementary online appendix p. 1). References to trials were also sourced from international trials registers via the World Health Organization's trials portal (<http://apps.who.int/trialsearch/>), regulatory agencies, drug companies, the hand-searching of key journals, conference proceedings and other (non-Cochrane) systematic reviews and meta-analyses. No language or other database search restriction was applied.

We included RCTs comparing NOACs with each other or with warfarin (or any other VKA) in the secondary prevention of patients with IS/TIA and NVAF, while we excluded all retrieved observational studies (either prospective or retrospective). Reference lists of all articles that met the criteria and of relevant review articles were examined to identify studies that may have been missed by the database search. All retrieved studies were scanned independently by two reviewers (AHK and GT). In the case of disagreement regarding the literature search results between the two coauthors, the remaining coauthors were consulted and disagreement was resolved with consensus.

Risk of bias was assessed for each included study using the Cochrane Collaboration 'risk of bias' tool [Higgins *et al.* 2011] by two independent review authors (AHK and GT) and all emerging conflicts were resolved with consensus. The quality of evidence derived from the NMA were evaluated using a recently proposed approach by Salanti and colleagues [Salanti *et al.* 2014], which is based on the methodology developed by the Grading of Recommendations Assessment, Development and Evaluation (GRADE) Working Group for pairwise meta-analyses [Guyatt *et al.* 2011].

### Outcomes

Primary outcomes included recurrent stroke or systemic embolism (treatment efficacy) and major bleeding events (treatment safety) [Connolly

*et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011]. Stroke was defined as a sudden, focal neurological deficit due to cerebrovascular ischemia that was neither reversible within 24 h nor due to another readily identified cause, such as tumor, trauma, or seizure [Connolly *et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011]. Systemic embolism was defined as abrupt vascular insufficiency associated with clinical or radiographic evidence of arterial occlusion in the absence of another likely mechanism (e.g. atherosclerosis, trauma, or arterial catheterization) [Connolly *et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011]. Major bleeding was defined as clinically overt bleeding associated with fatality or involving a critical anatomical site (intracranial, spinal, ocular, pericardial, articular, retroperitoneal, or intramuscular with compartment syndrome), a decrease in hemoglobin concentrations of at least 2 g/dL, or transfusion of at least 2 units of whole blood or packed red blood cells [Connolly *et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011].

Secondary outcomes included: ISs; hemorrhagic strokes; all strokes (ISs and hemorrhagic strokes); intracranial bleedings; disabling or fatal strokes; deaths from any cause; cardiovascular deaths; and gastrointestinal major bleedings [Connolly *et al.* 2009; Granger *et al.* 2011; Patel *et al.* 2011].

### Statistical analysis

First, we conducted a standard, pairwise meta-analysis for each pairwise comparison of treatments using a random-effects model (DerSimonian and Laird) to obtain estimates for all primary and secondary outcomes as risk ratios (RRs) with corresponding 95% confidence intervals (CIs) [DerSimonian and Laird, 1986]. Heterogeneity between studies was assessed with the Cochran  $Q$  and  $I^2$  statistics. For the qualitative interpretation of heterogeneity,  $I^2$  values of at least 50% were considered to represent substantial heterogeneity, while values of at least 75% indicated considerable heterogeneity, as per the Cochrane Handbook [Deeks *et al.* 2011].

Then we performed NMA to combine direct and indirect evidence for any given pair of treatments, and take into account the correlation induced by multi-arm trials [Salanti and Schmid, 2012]. The underlying assumption of transitivity suggests that all pairwise comparisons in the network do not differ with respect to the distribution of effect modifiers [Turner *et al.* 2012]. We presented the

results for the comparative efficacy and tolerability by RR estimates and 95% CI. We assumed that heterogeneity is the same for all treatment comparisons and the assessment of statistical heterogeneity in the entire network was evaluated in light of its estimated empirical distribution. We considered values from 0.1 to 0.5 to be reasonable, while values 0.5 to 1.0 were considered to represent fairly high and above 1.0 fairly extreme heterogeneity [Turner *et al.* 2012]. We also evaluated the ranking of all primary and secondary outcomes using ranking probabilities; which treatment is the most efficacious regimen, the second best, the third best, and so on [Turner *et al.* 2012]. To rank the treatments we used the surface under the cumulative ranking (SUCRA) curve. SUCRAs expressed as percentages compare each intervention to an imaginary intervention that is always the best without uncertainty. A SUCRA of  $x\%$  means that the drug achieves  $x\%$  of the effectiveness of this imaginary drug, thus larger SUCRAs denote more effective interventions [Mavridis *et al.* 2015].

Pairwise analyses were conducted using Review Manager (RevMan) Version 5.3 software (The Cochrane Collaboration, The Nordic Cochrane Centre, Copenhagen). NMA was performed with the Stata Statistical Software Release 13 for Windows (StataCorp.), using the function `mymeta` [White *et al.* 2011] and also the codes developed by Chaimani and colleagues [Chaimani *et al.* 2013] which are available at <http://mtm.uoi.gr/index.php/stata-routines-for-network-meta-analysis>.

### Results

Our literature search highlighted four studies, including 15,240 patients, that were eligible for the systematic review and meta-analysis [Easton *et al.* 2012; Diener *et al.* 2010; Hankey *et al.* 2012; Tanahashi *et al.* 2013]. The PRISMA flow-chart showing electronic searching processes is shown in the online supplementary appendix (Supplemental Figure S1). Studies excluded after retrieving the full text articles are reported in Supplemental Table I of the appendix. The mean age of participants was 70 years and 63% were men. Characteristics of included studies are briefly summarized in Table 1. No significant heterogeneity was found in most baseline characteristics, except for the proportion of VKA-naïve patients which was very low in one study protocol [Hankey *et al.* 2012] compared with the others [Easton *et al.* 2012; Diener *et al.* 2010] and the

**Table 1.** Characteristics of included studies.

| Reference                      | Study name  | Place     | Total patients (n) | Prior Event                                 | Index Tx (n)                           | Other Tx (n) | Reduced dose                | Mean age | Males | Previous MI | CHF   | DM    | HTN   | VKA naive | CrCl<50 ml/min | Aspirin | Individual median TTR | Median follow up (years) | Discontinuation          |
|--------------------------------|-------------|-----------|--------------------|---|--|--------------|-----------------------------|----------|-------|-------------|-------|-------|-------|-----------|----------------|---------|-----------------------|--------------------------|--------------------------|
| Easton <i>et al.</i> [2012]    | ARISTOTLE   | Worldwide | 3436               | IS:28%, TIA:53%, IS+TIA: 9%<br>Unknown: 10% | APX 5 mg (1694)                        | WRF (1742)   | 7%<br><b>(APX 2.5 mg)</b>   | 70.1     | 63%   | 17.0%       | 27%   | 26%   | 83%   | 39%       | 22%            | 31%     | 65% [51–76%]          | 1.8                      | APX: 22.6%<br>WRF: 26.6% |
| Diener <i>et al.</i> [2010]    | RE-LY       | Worldwide | 3623               | IS:54.1%<br>TIA: 37.3%<br>IS+TIA: 8.6%      | DBG 150 mg (1233)<br>DBG 110 mg (1195) | WRF (1195)   | N/A                         | 70.5     | 62.9% | N/A         | N/A   | 22.5% | 76.8% | 44.5%     | N/A            | 39.8%   | N/A                   | 2.0                      | N/A                      |
| Hankey <i>et al.</i> [2012]    | ROCKET AF   | Worldwide | 7468               | IS: 65.7%<br>TIA: 34.3%                     | RVX 20 mg (3754)                       | WRF (3714)   | N/A                         | 71.0     | 61%   | 15.1%       | 51%   | 24.2% | 85%   | 41%       | N/A            | 38%     | 57.1% [42.6–70.1%]    | 1.8                      | N/A                      |
| Tanahashi <i>et al.</i> [2013] | J-ROCKET AF | Japan     | 813                | N/A   | RVX 15 mg (408)                        | WRF (405)    | 19.9%<br><b>(RVX 10 mg)</b> | 70.3     | 82.6% | 6.4%        | 20.5% | 25.2% | 70.7% | 9.3%      | 19.9%          | 36.2%   | N/A                   | 2.5                      | N/A                      |

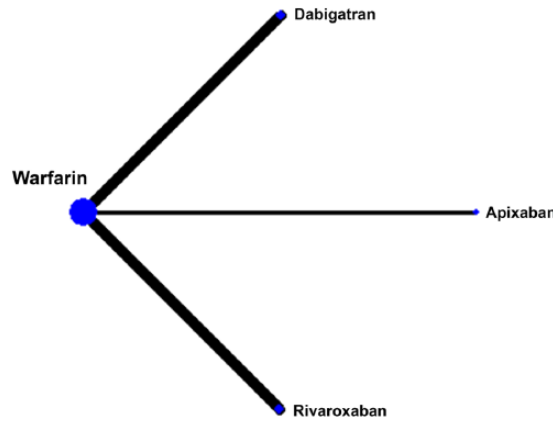
n: number of patients; Tx, treatment; MI, myocardial infarction; CHF, congestive heart failure; DM, diabetes mellitus; HTN, hypertension; VKA, vitamin K antagonist; CrCl, creatinine clearance; TTR, time in therapeutic range; IS, ischemic stroke; TIA, transient ischemic attack; N/A, not available; APX, apixaban; DBG, dabigatran; RVX, rivaroxaban; WRF, warfarin.

racially different study population (only Japanese patients) in J-ROCKET AF [Tanahashi *et al.* 2013].

Risk of bias is presented for each study in Supplemental Figure S2 and all domains are summarized in Supplemental Figure S3. Risk of bias was generally low in all studies. Two of the studies did not provide missing data or losses to follow up [Easton *et al.* 2012; Hankey *et al.* 2012], while the method of random sequence allocation was not clearly presented in one study [Tanahashi *et al.* 2013]. One study was considered to have high risk of bias, due to the discovery of new adverse events after the publication of the original study [Connolly *et al.* 2010, 2014]. As for the sponsorship bias, all study protocols reported funding and interference in multiple domains (study design, data collection/analysis, results interpretation, manuscript writing) from the manufacturing companies that produced the corresponding drug under investigation. The quality assessment for all direct analyses using the GRADE Working Group grades of evidence is available in Supplemental Table II (supplementary online appendix p. 3).

In pairwise comparisons for the primary and secondary outcomes no evidence of statistical heterogeneity was seen in general, except for the pairwise analysis of total bleeding ( $I^2 = 92\%$ ) and gastrointestinal major bleeding ( $I^2 = 71\%$ ) (Supplemental Figures S4–S14). NOACs reduced the risk of stroke or systemic embolism (RR 0.85; 95% CI 0.74–0.97), hemorrhagic stroke (RR 0.40; 95% CI 0.23–0.72) and intracranial bleeding (RR 0.42; 95% CI 0.27–0.66) in comparison with warfarin. There was no reduction in the risk of major bleeding (RR 0.85; 95% CI 0.71–1.03), any bleeding (RR 0.86; 95% CI 0.68–1.09), gastrointestinal major bleeding (RR 0.94; 95% CI 0.53–1.66), IS (RR 1.00; 95% CI 0.84–1.19), disabling or fatal stroke (RR 0.86; 95% CI 0.71–1.03), death from any cause (RR 0.91; 95% CI 0.80–1.02) and cardiovascular death (RR 0.91; 95% CI 0.77–1.09).

Networks of eligible comparisons are presented in Figure 1, showing predominantly pairwise comparisons for dabigatran (one study, two arms) and rivaroxaban (two studies, two arms each) compared with apixaban (one study, two arms). It is clear from the network plots in Figure 1 that estimates for all comparisons are formed either directly or indirectly. More, specifically,



**Figure 1.** Network plot for the primary efficacy outcome (the size of nodes is proportional to the number of patients randomized to interventions. Thickness of lines is proportional to the number of studies contributing to the direct comparison).

for studies comparing a NOAC with warfarin there is only direct evidence whereas for studies comparing two NOACs there is only indirect evidence. Hence, the choice is between direct *versus* network estimates for warfarin comparisons and between indirect vs network estimates for NOAC comparisons. Since two out of three comparisons have one study, between-study variation cannot be estimated for these comparisons. By analyzing the entire network of trials simultaneously, assuming a common heterogeneity parameter for all comparisons, we allow borrowing information across the different comparisons which allow estimation of heterogeneity and portray this extra source of uncertainty in the network results. On the other hand, if the assumption that the underlying true effects vary in the same way in all treatment comparisons is not correct we may get a biased estimate for heterogeneity. In this occasion that we have a few number of trials and direct (and indirect) estimates are similar to the network estimates, the joint analysis of all trials seems justifiable.

Data for direct comparisons and network estimates for most primary and secondary outcomes are shown in Table 2 and, for other outcomes in the appendix (Supplemental Table IV). In indirect analyses dabigatran was found to be associated with a lower risk for hemorrhagic stroke (RR 0.28; 95% CI 0.11–0.75) compared with rivaroxaban, while rivaroxaban was associated with lower risk for major gastrointestinal bleeding compared with dabigatran (RR 0.14; 95% CI

**Table 2.** Relative effect of both indirect and network meta-analysis estimates for each pair of drugs accompanied by 95% confidence intervals according to the outcome under consideration.

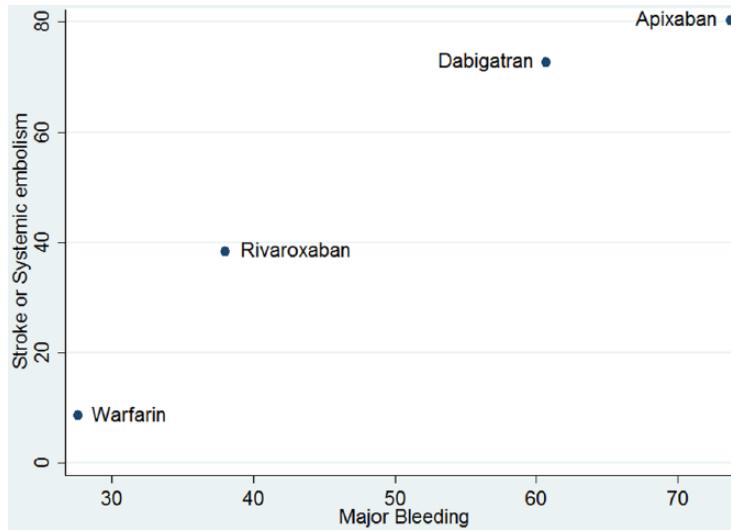
|                                 |                         |                         |                         |
|---------------------------------|-------------------------|-------------------------|-------------------------|
| Stroke or systemic embolism     |                         |                         |                         |
| Apixaban                        | 0.96 (0.65–1.41)        | 0.95 (0.52–1.72)        | 0.77 (0.57–1.03)        |
| 0.95 (0.65–1.40)                | Dabigatran              | 0.99 (0.56–1.75)        | 0.80 (0.63–1.03)        |
| 0.84 (0.59–1.19)                | 0.88 (0.64–1.20)        | Rivaroxaban             | 0.81 (0.48–1.35)        |
| 0.77 (0.57–1.03)                | 0.80 (0.63–1.03)        | 0.92 (0.75–1.11)        | Warfarin                |
| Major bleeding                  |                         |                         |                         |
| Apixaban                        | 0.90 (0.54–1.49)        | 0.78 (0.55–1.10)        | <b>0.75 (0.56–0.99)</b> |
| 0.90 (0.44–1.83)                | Dabigatran              | 0.86 (0.54–1.36)        | 0.83 (0.55–1.25)        |
| 0.78 (0.35–1.72)                | 0.86 (0.44–1.71)        | Rivaroxaban             | 0.96 (0.79–1.18)        |
| 0.75 (0.42–1.34)                | 0.83 (0.55–1.25)        | 0.96 (0.56–1.66)        | Warfarin                |
| Ischemic stroke                 |                         |                         |                         |
| Apixaban                        | 1.09 (0.47–2.50)        | 0.86 (0.61–1.22)        | 0.75 (0.48–1.19)        |
| 0.90 (0.43–1.88)                | Rivaroxaban             | 0.79 (0.37–1.68)        | 0.69 (0.31–1.56)        |
| 0.86 (0.57–1.30)                | 0.96 (0.52–1.78)        | Warfarin                | 0.88 (0.66–1.17)        |
| 0.76 (0.44–1.29)                | 0.84 (0.42–1.68)        | 0.88 (0.63–1.22)        | Dabigatran              |
| Hemorrhagic stroke              |                         |                         |                         |
| Dabigatran                      | 0.50 (0.18–1.42)        | <b>0.28 (0.11–0.73)</b> | <b>0.20 (0.09–0.46)</b> |
| 0.51 (0.18–1.47)                | Apixaban                | 0.55 (0.24–1.28)        | <b>0.40 (0.21–0.77)</b> |
| <b>0.28 (0.11–0.75)</b>         | 0.55 (0.24–1.29)        | Rivaroxaban             | 0.72 (0.43–1.22)        |
| <b>0.20 (0.09–0.46)</b>         | <b>0.40 (0.21–0.77)</b> | 0.72 (0.43–1.22)        | Warfarin                |
| Intracranial bleeding           |                         |                         |                         |
| Dabigatran                      | 0.82 (0.32–2.06)        | 0.46 (0.20–1.05)        | <b>0.31 (0.15–0.63)</b> |
| 0.83 (0.29–2.34)                | Apixaban                | 0.56 (0.27–1.15)        | <b>0.38 (0.21–0.68)</b> |
| 0.48 (0.19–1.21)                | 0.58 (0.20–1.68)        | Rivaroxaban             | 0.68 (0.45–1.04)        |
| <b>0.31 (0.16–0.61)</b>         | <b>0.38 (0.17–0.83)</b> | 0.64 (0.32, 1.29)       | Warfarin                |
| Gastrointestinal major bleeding |                         |                         |                         |
| Rivaroxaban                     | 0.21 (0.04–1.10)        | <b>0.18 (0.04–0.81)</b> | <b>0.14 (0.03–0.67)</b> |
| 0.21 (0.04–1.30)                | Apixaban                | 0.84 (0.45–1.56)        | 0.64 (0.29–1.43)        |
| <b>0.18 (0.04–0.89)</b>         | 0.84 (0.37–1.93)        | Warfarin                | 0.76 (0.46–1.26)        |
| <b>0.14 (0.03–0.74)</b>         | 0.64 (0.24–1.70)        | 0.76 (0.46–1.27)        | Dabigatran              |

All relative effect estimates are presented as risk ratios (RRs). RRs smaller than one favor the row drug, whereas RR larger than one favor the column drug. RRs in the upper diagonal represent the indirect estimate for the three novel oral anticoagulants (NOACs) and the direct estimate for each NOAC versus warfarin, while RRs in the lower diagonal represent the network estimates for all comparisons. Significant differences in the relative effects between a pair of drugs are given in bold.

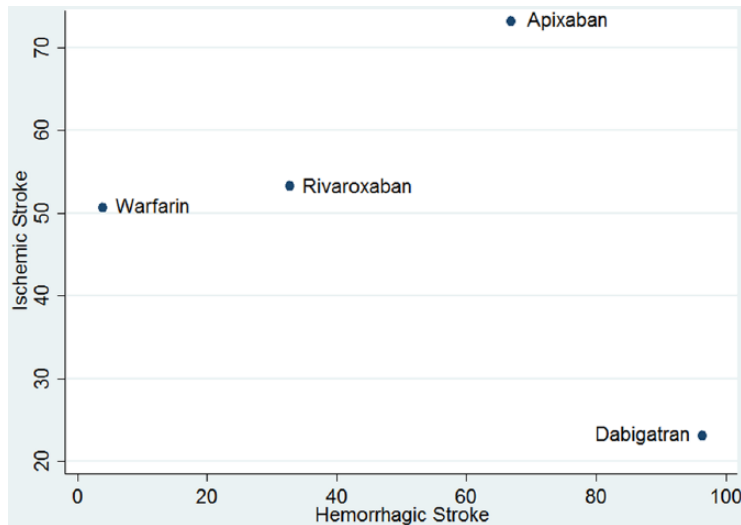
0.03–0.74). No significant associations between NOACs were identified in other primary or secondary outcomes.

The comparative effects of all NOACs were ranked using SUCRA values. We created hierarchies of effect size on the basis of SUCRA rankings for all outcomes (Supplemental Figures S15–S24). Finally, we created scatter plots of the SUCRA values for the primary efficacy (stroke or systemic embolism) and safety (major bleeding) outcomes (Figure 2), and for the SUCRA values of the IS plotted against the hemorrhagic stroke

(Figure 3). In both clustered ranking plots Apixaban was highlighted as the treatment with the probably best benefit-to-risk ratio profile among NOACs. More specifically, apixaban was ranked as the most likely treatment option to reduce stroke/systemic embolism as well as major bleeding (Figure 2). Moreover, it was ranked as the most likely treatment option to reduce IS and the second most likely treatment option to reduce hemorrhagic stroke (Figure 3). However, our confidence in both indirect effect estimates and ranking of treatments was considered limited (Supplemental Table III).



**Figure 2.** Clustered ranking plot for efficacy (stroke or systemic embolism) and safety (major bleeding).



**Figure 3.** Clustered ranking plot for ischemic stroke and hemorrhagic stroke risks.

### Discussion

Our NMA, overcoming the major limitation of conventional pairwise meta-analyses, provides evidence-based hierarchies for the efficacy and safety of available oral anticoagulants for the secondary prevention of IS/TIA recurrence in patients with NVAf. Another major finding of our NMA is that all NOACs are beneficial compared with warfarin, a finding which is not directly visible from the individual studies due to the rare probability of events and the resulting low statistical power of the studies. Based on current evidence apixaban was highlighted as having the

probably best benefit-to-risk ratio, while significant differences on the risk of hemorrhagic stroke and gastrointestinal bleeding between dabigatran and rivaroxaban emerged in the indirect analysis.

Our findings lend support to current AHA/ASA recommendations that classify apixaban as the NOAC with the highest level of evidence (Class I; Level of Evidence A) for the prevention of recurrent stroke in patients with history of stroke or TIA in comparison with dabigatran (Class I; Level of Evidence B) and rivaroxaban (Class IIa; Level of Evidence B) [Kernan *et al.* 2014].

Moreover, in subgroup analysis of AVERROES trial (Apixaban Versus Acetylsalicylic Acid to Prevent Stroke in Atrial Fibrillation Patients who have Failed or are Unsuitable for Vitamin K Antagonist Treatment), apixaban 5 mg twice daily was found to be an efficacious alternative to aspirin for secondary stroke prevention of NVAF patients who are unsuitable for VKA therapy [Diener *et al.* 2012]. Interestingly, apixaban exhibited a similar profile to aspirin in terms of safety (hemorrhagic events), while it was shown to be more effective in terms of efficacy (reduction of ischemic events). Even though economic evaluation studies performed in different health systems suggest that all NOACs (apixaban [Stevanović *et al.* 2014], dabigatran [Wouters *et al.* 2013], rivaroxaban [Kleintjens *et al.* 2013]) are cost-effective alternatives to VKAs, apixaban was highlighted as the most economically efficient alternative to warfarin in a French study that compared together warfarin, all NOACs and aspirin for stroke prevention in NVAF patients [Lanitis *et al.* 2014].

In other NMAs assessing the efficacy and safety of NOACs in the prevention of thromboembolic events in NVAF patients apixaban was found to be associated with fewer bleeding events compared with edoxaban, dabigatran and rivaroxaban [Fu *et al.* 2014; Mantha and Ansell, 2012; Lip *et al.* 2012]. In a previously published indirect comparison analysis of NOACs on secondary IS prevention in patients with NVAF, dabigatran 110 mg was associated with a lower risk for hemorrhagic stroke (HR 0.15; 95% CI 0.03–0.66) and intracranial bleeding (HR 0.27; 95% CI 0.10–0.73) compared with rivaroxaban. No significant differences were found for the aforementioned outcomes between rivaroxaban and the higher dose of dabigatran (150 mg) [Rasmussen *et al.* 2012]. However, it should be noted that this indirect comparison analysis [Rasmussen *et al.* 2012] included a smaller number of RCTs (three *versus* four in the present study) and patients (14,427 *versus* 15,240 in the present study), while SUCRA probabilities were not used to rank the different NOACs in terms of safety and efficacy endpoints. Last but not least, GRADE methodology was not employed in this indirect comparison analysis [Rasmussen *et al.* 2012] to critically evaluate the quality of evidence derived from this NMA.

Our study has several limitations. First, no direct comparisons (head-to-head RCTs) among NOACs were available and thus a formal statistical

method could not be used to test the network consistency [Salanti, 2012]. We considered the transitivity assumption to hold true for the network as possible effect modifiers were balanced among included trials. The majority of patients (>90%) in the included trials had a CHADS<sub>2</sub> score of more than 3, due to the history of prior cerebral ischemic event, and thus the imbalances in CHADS<sub>2</sub> score noticed in meta-analyses of the entire studies population [Pengo *et al.* 2012; Cope *et al.* 2015] were not found in the subgroups of patients with prior IS/TIA and NVAF. However, it should be noted that the individual TTR was not mentioned in two out of four study protocols [Diener *et al.* 2010; Tanahashi *et al.* 2013], while the other two trials reported relatively similar individual median TTR values of 65% (51–76%) [Easton *et al.* 2012] and 57.1% (42.6–70.1%) [Hankey *et al.* 2012], respectively. In a recent meta-analysis of RE-LY, ROCKET AF, ARISTOTLE, and ENGAGE AF–TIMI 48 trials, comparing the efficacy and safety of new oral anticoagulants with warfarin in patients with atrial fibrillation, a significant interaction of TTR on the treatment effect of NOACs was found [Ruff *et al.* 2014]. More specifically, no association was detected between NOACs (in comparison with warfarin) and reduced risk of stroke or systemic embolism in the subgroup of patients with TTR ≥66% (RR 0.93; 95% CI 0.76–1.13). In contrast, there was a significant reduction of 31% in the risk of stroke or systemic embolism in patients randomized to NOACs (in comparison with warfarin) in patients with TTR <66% (RR 0.69; 95% CI 0.59–0.81) [Ruff *et al.* 2014]. Also, in contrast to the fact that women suffer more frequently cardioembolic strokes, it should be noted that the trials included predominantly male patients (Table 1).

Second, in NMA we decided to pool together both dabigatran doses (110 and 150 mg) and both rivaroxaban doses (15 and 20 mg). This decision was made to increase the statistical power of the analysis, and after reassurance from pairwise analyses that no heterogeneity was detected in all comparisons between the aforementioned doses. Third, even though the risk of bias was considered to be generally low in all study protocols, the discovery of new adverse events after the publication of the original study in the RE-LY trial [Connolly *et al.* 2010 2014] had a substantial impact in the evaluation of this study and consequently on the validity of all network findings. Finally, as both RE-LY [Connolly *et al.* 2009] and ROCKET AF trials [Patel *et al.*



2011] excluded per study protocol patients with severe stroke within 6 and 3 months before screening, respectively, there is uncertainty as to whether the results of this meta-analysis can also be valid for patients with severe stroke.

In conclusion, our NMA detected differences among NOACs in terms of safety and efficacy for secondary stroke prevention. Dabigatran and rivaroxaban differ in terms of prevention of major bleeding and hemorrhagic stroke, while apixaban appears to present with the optimal benefit/risk profile among the three NOACs. Even though the findings of the present meta-analysis could be considered in the appropriate NOAC selection for patients with a high risk for intracerebral or gastrointestinal hemorrhage, they may serve only for hypothesis generation and require independent confirmation in future head-to-head RCTs. A large three arm trial with all NOACs may be the most appropriate setting to delineate the differences between them.

### Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: Dr Georgios Tsivgoulis has been supported by the European Regional Development Fund: Project FNUSA-ICRC (grant number CZ.1.05/1.1.00/02.0123). Dimitris Mavridis received research funding from the European Research Council (grant number IMMA 260559)

### Conflict of interest statement

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

### References

Chaimani, A., Higgins, J., Mavridis, D., Spyridonos, P. and Salanti, G. (2013) Graphical tools for network meta-analysis in STATA. *PLoS One* 8: e76654.

Connolly, S., Ezekowitz, M., Yusuf, S., Eikelboom, J., Oldgren, J., Parekh, A. *et al.* (2009) Dabigatran versus warfarin in patients with atrial fibrillation. *N Engl J Med* 361: 1139–1151.

Connolly, S., Ezekowitz, M., Yusuf, S., Reilly, P. and Wallentin, L. For the Randomized Evaluation of Long-Term Anticoagulation Therapy Investigators (2010) Newly identified events in the RE-LY trial. *N Engl J Med* 363: 1875–1876.

Connolly, S., Wallentin, L. and Yusuf, S. (2014) Additional events in the RE-LY trial. *N Engl J Med* 371: 1464–1465.

Cope, S., Clemens, A., Hammès, F., Noack, H. and Jansen, J. (2015) Critical appraisal of network meta-analyses evaluating the efficacy and safety of new oral anticoagulants in atrial fibrillation stroke prevention trials. *Value Health* 18: 234–249.

Deeks, J., Higgins, J. and Altman, D. (2011) Chapter 9: Analysing data and undertaking meta-analyses. Cochrane Handbook for Systematic Reviews of Interventions website, Updated March 2011, [http://handbook.cochrane.org/chapter\\_9/9\\_analysing\\_data\\_and\\_undertaking\\_meta\\_analyses.htm](http://handbook.cochrane.org/chapter_9/9_analysing_data_and_undertaking_meta_analyses.htm) (accessed 4 February 2014).

DerSimonian, R. and Laird, N. (1986) Meta-analysis in clinical trials. *Control Clin Trials* 7: 177–188.

Diener, H., Connolly, S., Ezekowitz, M., Wallentin, L., Reilly, P., Yang, S. *et al.* (2010) Dabigatran compared with warfarin in patients with atrial fibrillation and previous transient ischaemic attack or stroke: a subgroup analysis of the RE-LY trial. *Lancet Neurol* 9: 1157–1163.

Diener, H., Eikelboom, J., Connolly, S., Joyner, C., Hart, R., Lip, G. *et al.* (2012) Apixaban versus aspirin in patients with atrial fibrillation and previous stroke or transient ischaemic attack: a predefined subgroup analysis from AVERROES, a randomised trial. *Lancet Neurol* 11: 225–231.

Easton, J., Lopes, R., Bahit, M., Wojdyla, D., Granger, C., Wallentin, L. *et al.* (2012) Apixaban compared with warfarin in patients with atrial fibrillation and previous stroke or transient ischaemic attack: a subgroup analysis of the ARISTOTLE trial. *Lancet Neurol* 11: 503–511.

Fu, W., Guo, H., Guo, J., Lin, K., Wang, H., Zhang, Y. *et al.* (2014) Relative efficacy and safety of direct oral anticoagulants in patients with atrial fibrillation by network meta-analysis. *J Cardiovasc Med (Hagerstown)* 15: 873–879.

Gage, B. (2009) Can we rely on RE-LY? *N Engl J Med* 361: 1200–1202.

Granger, C., Alexander, J., McMurray, J., Lopes, R., Hylek, E., Hanna, M. *et al.* (2011) Apixaban versus warfarin in patients with atrial fibrillation. *N Engl J Med* 365: 981–992.

Guyatt, G., Oxman, A., Vist, G., Kunz, R., Brozek, J., Alonso-Coello, P. *et al.* (2011) Rating the quality of evidence - study limitations (risk of bias). *J Clin Epidemiol* 64: 407–415.

Hankey, G., Patel, M., Stevens, S., Becker, R., Breithardt, G., Carolei, A. *et al.* (2012) Rivaroxaban compared with warfarin in patients with atrial fibrillation and previous stroke or transient ischaemic

- attack: a subgroup analysis of ROCKET AF. *Lancet Neurol* 11: 315–322.
- Harenberg, J., Marx, S. and Wehling, M. (2012) Head-to-head or indirect comparisons of the novel oral anticoagulants in atrial fibrillation: what's next? *Thromb Haemost* 108: 407–409.
- Higgins, J., Altman, D., Gotzsche, P., Jüni, P., Moher, D., Oxman, A. *et al.* (2011) The Cochrane Collaboration's tool for assessing risk of bias in randomised trials. *BMJ* 343: d5928.
- Katsanos, A., Mavridis, D., Frogoudaki, A., Rossa-Vrettou, A., Ikonomidis, I., Paraskevidis, I. *et al.* (2015) Novel oral anticoagulants for the secondary prevention of cerebral ischemia: a network meta-analysis. PROSPERO 2015:CRD42015025178. Available at: [http://www.crd.york.ac.uk/PROSPERO/display\\_record.asp?ID=CRD42015025178](http://www.crd.york.ac.uk/PROSPERO/display_record.asp?ID=CRD42015025178)
- Kernan, W., Ovbiagele, B., Black, H., Bravata, D., Chimowitz, M., Ezekowitz, M. *et al.* (2014) Guidelines for the prevention of stroke in patients with stroke and transient ischemic attack: a guideline for healthcare professionals from the American Heart Association/American Stroke Association. *Stroke* 45: 2160–2236.
- Patel, M., Mahaffey, K., Garg, J., Pan, G., Singer, D., Hacke, W. *et al.* (2011) Rivaroxaban versus warfarin in nonvalvular atrial fibrillation. *N Engl J Med* 365: 883–891.
- Kleintjens, J., Li, X., Simoons, S., Thijs, V., Goethals, M., Rietzschel, E. *et al.* (2013) Cost-effectiveness of rivaroxaban versus warfarin for stroke prevention in atrial fibrillation in the Belgian healthcare setting. *Pharmacoeconomics* 31: 909–918.
- Lanitis, T., Cotté, F., Gaudin, A., Kachaner, I., Kongnakorn, T. and Durand-Zaleski, I. (2014) Stroke prevention in patients with atrial fibrillation in France: comparative cost-effectiveness of new oral anticoagulants (apixaban, dabigatran, and rivaroxaban), warfarin, and aspirin. *J Med Econ* 17: 587–598.
- Liberati, A., Altman, D., Tetzlaff, J., Mulrow, C., Gøtzsche, P., Ioannidis, J. *et al.* (2009) The PRISMA statement for reporting systematic reviews and meta-analyses of studies that evaluate health care interventions: Explanation and elaboration. *J Clin Epidemiol* 62: e1–e34.
- Lip, G., Larsen, T., Skjøth, F. and Rasmussen, L. (2012) Indirect comparisons of new oral anticoagulant drugs for efficacy and safety when used for stroke prevention in atrial fibrillation. *J Am Coll Cardiol* 60: 738–746.
- Mantha, S. and Ansell, J. (2012) An indirect comparison of dabigatran, rivaroxaban and apixaban for atrial fibrillation. *Thromb Haemost* 108: 476–484.
- Mavridis, D., Giannatsi, M., Cipriani, A. and Salanti, G. (2015) A primer on network meta-analysis with emphasis on mental health. *Evid Based Ment Health* 18: 40–46.
- Pengo, V., Crippa, L., Falanga, A., Finazzi, G., Marongiu, F., Moia, M. *et al.* (2012) Phase III studies on novel oral anticoagulants for stroke prevention in atrial fibrillation: a look beyond the excellent results. *J Thromb Haemost* 10: 1979–1987.
- Rasmussen, L., Larsen, T., Graungaard, T., Skjøth, F. and Lip, G. (2012) Primary and secondary prevention with new oral anticoagulant drugs for stroke prevention in atrial fibrillation: indirect comparison analysis. *BMJ* 345: e7097.
- Ruff, C., Giugliano, R., Braunwald, E., Hoffman, E., Deenadayalu, N., Ezekowitz, M. *et al.* (2014) Comparison of the efficacy and safety of new oral anticoagulants with warfarin in patients with atrial fibrillation: a meta-analysis of randomised trials. *Lancet* 383: 955–962.
- Salanti, G. (2012) Indirect and mixed-treatment comparison, network, or multiple-treatments meta-analysis: many names, many benefits, many concerns for the next generation evidence synthesis tool. *Res Synth Methods* 3: 80–97.
- Salanti, G., Del Giovane, C., Chaimani, A., Caldwell, D. and Higgins, J. (2014) Evaluating the quality of evidence from a network meta-analysis. *PLoS One* 9: e99682.
- Salanti, G. and Schmid, C. (2012) *Research Synthesis Methods* special issue on network meta-analysis: introduction from the editors. *Res Synth Methods* 3: 69–70.
- Skjøth, F., Larsen, T. and Rasmussen, L. (2012) Indirect comparison studies - are they useful? Insights from the novel oral anticoagulants for stroke prevention in atrial fibrillation. *Thromb Haemost* 108: 405–406.
- Stevanović, J., Pompen, M., Le, H., Rozenbaum, M., Tieleman, R. and Postma, M. (2014) Economic evaluation of apixaban for the prevention of stroke in non-valvular atrial fibrillation in the Netherlands. *PLoS One* 9: e103974.
- Tanahashi, N., Hori, M., Matsumoto, M., Momomura, S., Uchiyama, S., Goto, S. *et al.* (2013) Rivaroxaban versus warfarin in Japanese patients with nonvalvular atrial fibrillation for the secondary prevention of stroke: a subgroup analysis of J-ROCKET AF. *J Stroke Cerebrovasc Dis* 22: 1317–1325.
- Turner, R., Davey, J., Clarke, M., Thompson, S. and Higgins, J. (2012) Predicting the extent of heterogeneity in meta-analysis, using empirical data from the Cochrane Database of Systematic Reviews. *Int J Epidemiol* 41: 818–827.
- White, I. (2011) Multivariate random-effects meta-regression: updates to mvmeta. *Stata J* 11: 255–270.
- Wouters, H., Thijs, V. and Annemans, L. (2013) Cost-effectiveness of dabigatran etexilate in the prevention of stroke and systemic embolism in patients with atrial fibrillation in Belgium. *J Med Econ* 16: 407–414.